

Heavy-flavour measurements with the ALICE experiment at the LHC



ALICE

Róbert Vértesi

Wigner Research Centre for Physics
Centre of Excellence of the Hungarian Academy of Sciences
Budapest

vertesi.robert@wigner.mta.hu



Heavy-flavour (HF) probes

- Heavy quarks are produced early

$$\tau_{c,b} \sim \frac{1}{2} m_{c,b} \sim 0.1 \text{ fm} \ll \tau_{\text{QGP}} \sim 5\text{-}10 \text{ fm}$$

Collins, Soper, Sterman, NPB 263 (1986) 37.

- Heavy quarks are (almost) conserved

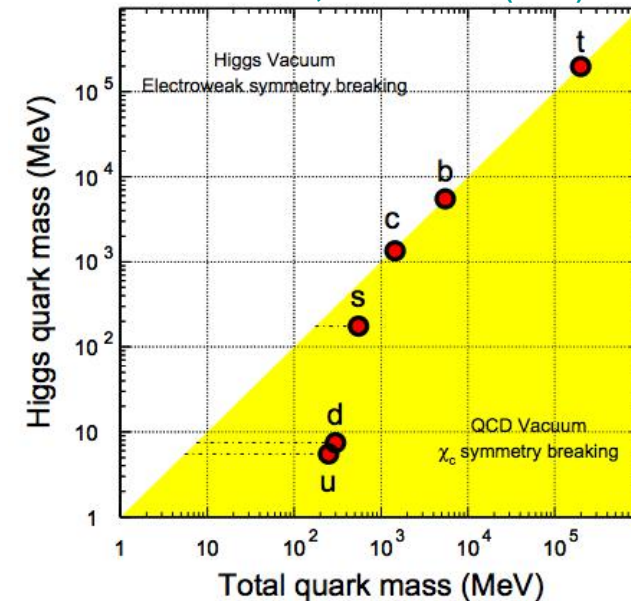
$$m \gg T_{\text{QGP}} \quad (m_c \sim 1.5 \text{ GeV}, m_b \sim 5 \text{ GeV})$$

- No flavour changing
- Negligible thermal production

→ Very little production or destruction in the sQGP

Rapp, Hees, ISBN:978-981-4293-28-0

X. Zhu et al, PLB 647 366 (2007)



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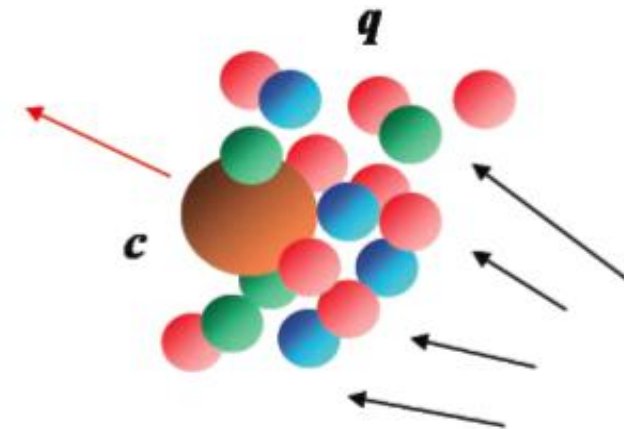
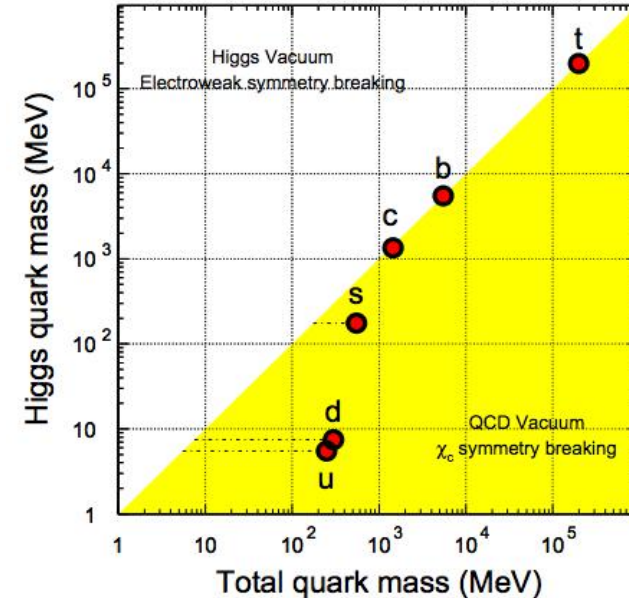
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- Transport through the whole system

- Heavy quark kinematics in the sQGP
- Access to **transport properties** of the system
- ...exits the medium also at **low momenta**
- Hadronization** (fragmentation, coalescence)
- Heavy vs. light? Charm vs. bottom?**

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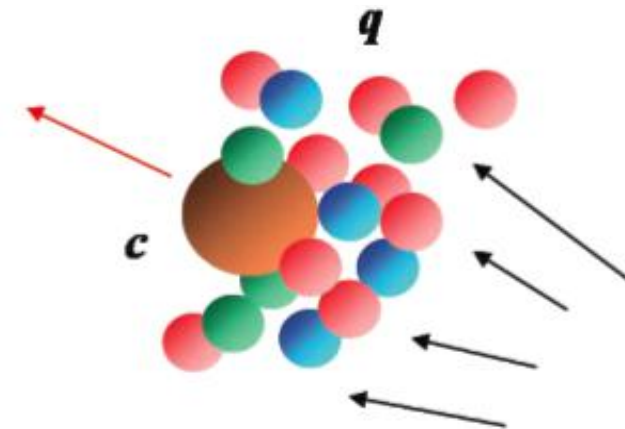
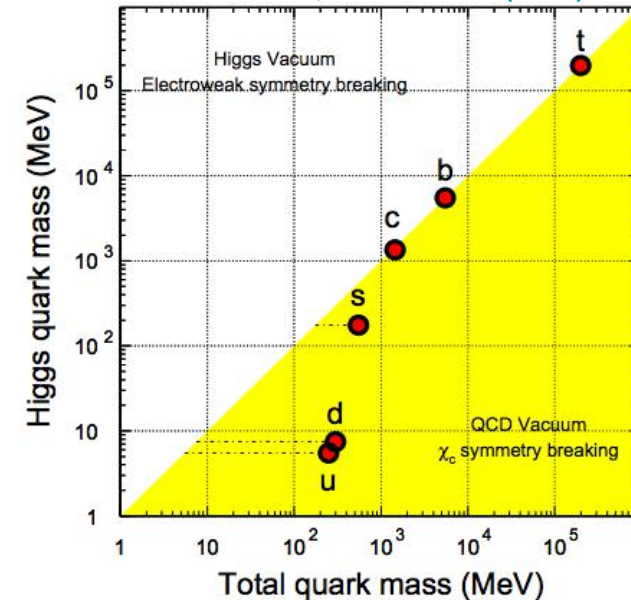
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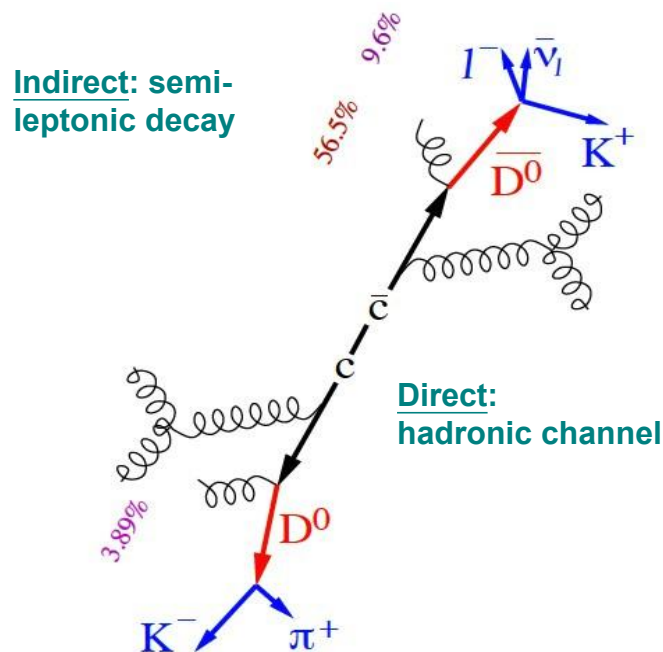
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Penetrating probes down to low momenta!

Experimental access to open HF

- Heavy quarks (**c**,**b**) hadronize into mesons (**D**,**B**) or baryons (Λ_c ...)
- These hadrons later decay weakly into light mesons
- Experimental access:
identification of decay products

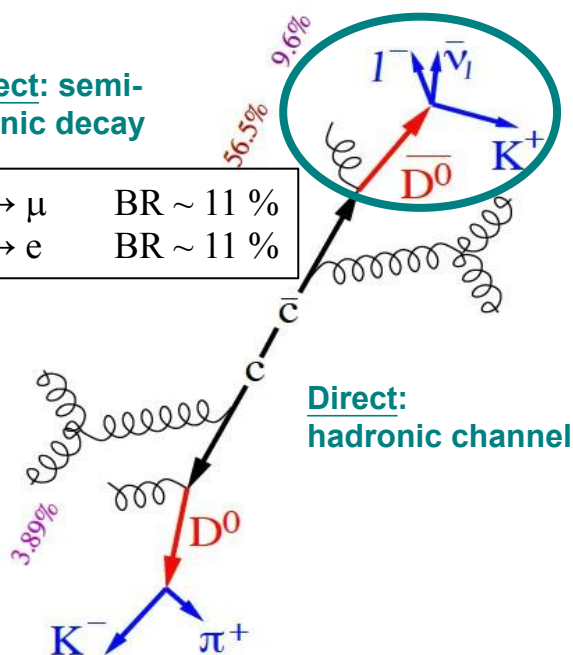


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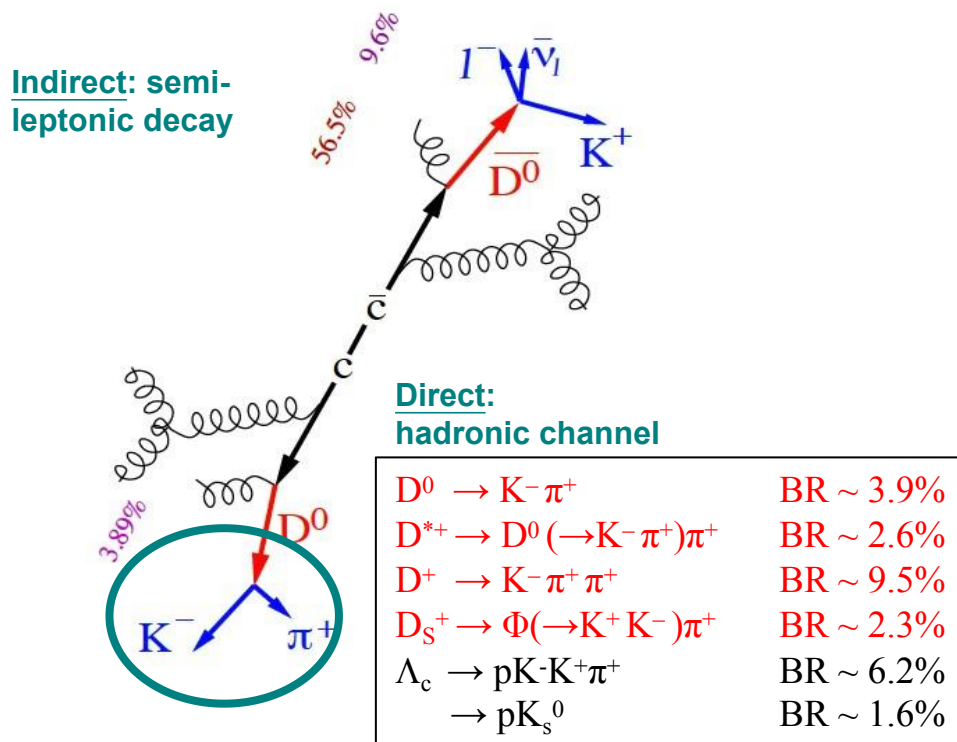
Indirect: semi-leptonic decay

$c, b \rightarrow \mu$	BR ~ 11 %
$c, b \rightarrow e$	BR ~ 11 %



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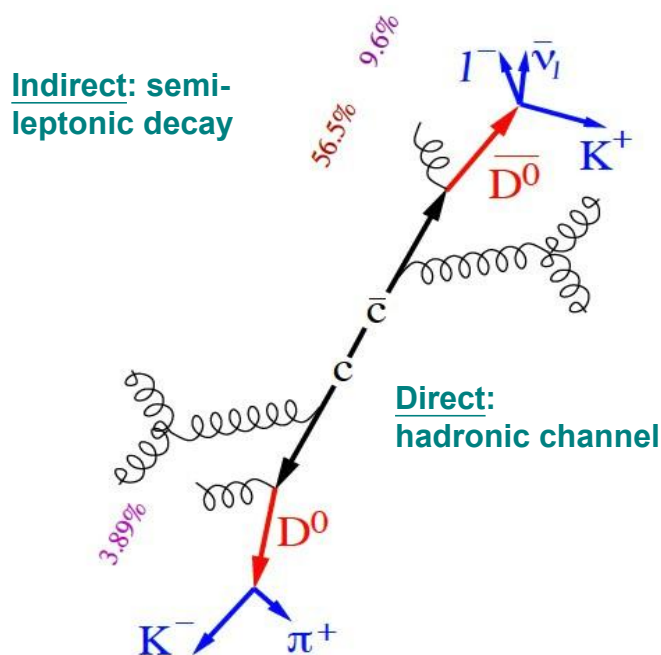


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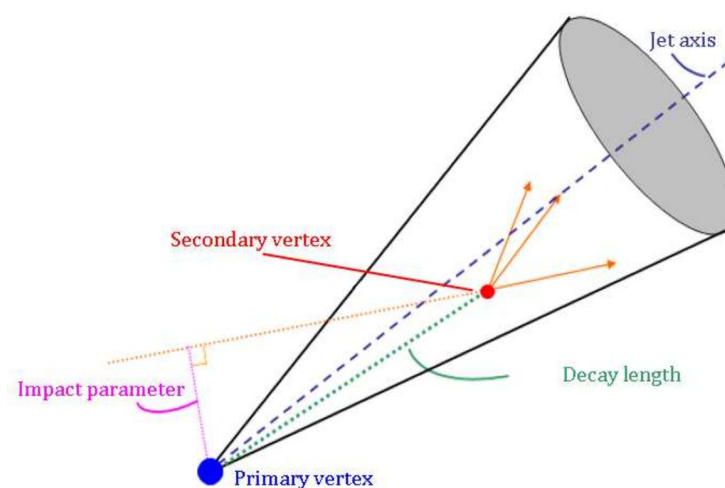
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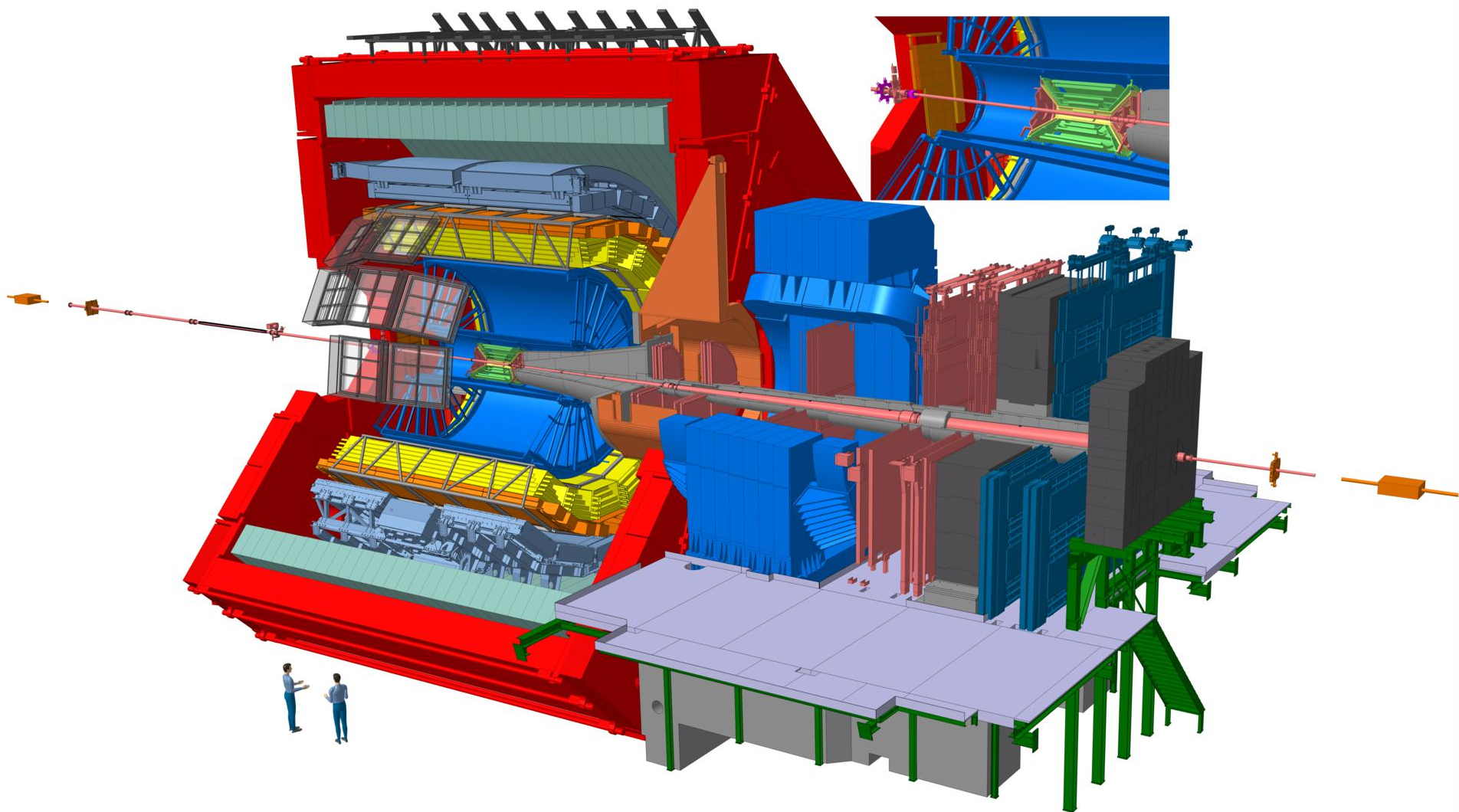


finding the location of the decay
(secondary vertex)



Lifetime of heavy quarks	$c\tau(\mathbf{D}) \sim 100\text{-}300 \text{ mm}$
	$c\tau(\mathbf{B}) \sim 400\text{-}500 \text{ mm}$
Secondary vertex resolution	$< 100 \text{ mm}$

ALICE



A dedicated heavy-ion experiment at the LHC, excellent PID

ALICE

EMCal: energy, electron ID

TRD: hadron rejection by transition radiation

TOF: identification by precise time of flight

central barrel: $|\eta| < 0.9$

Heavy quark lifetimes: $c\tau(D) \sim 100\text{-}300 \mu\text{m}$
 $c\tau(B) \sim 400\text{-}500 \mu\text{m}$
 Secondary vertex resolution: $\sim 100 \mu\text{m}$

ITS: charged-particle tracking, secondary vertex

TPC: charged-particle tracking, identification

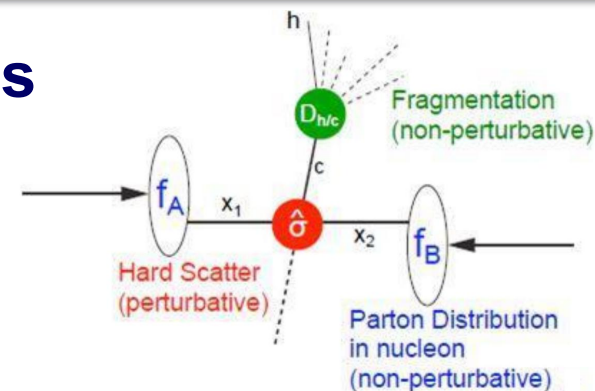
Muon spectrometer:
 forward: $-4 < \eta < -2.5$
 muon trigger and tracking

A dedicated heavy-ion experiment at the LHC, excellent PID

Heavy flavour in small systems

Production cross sections in pp collisions

- **Primary (vacuum) pQCD benchmark**



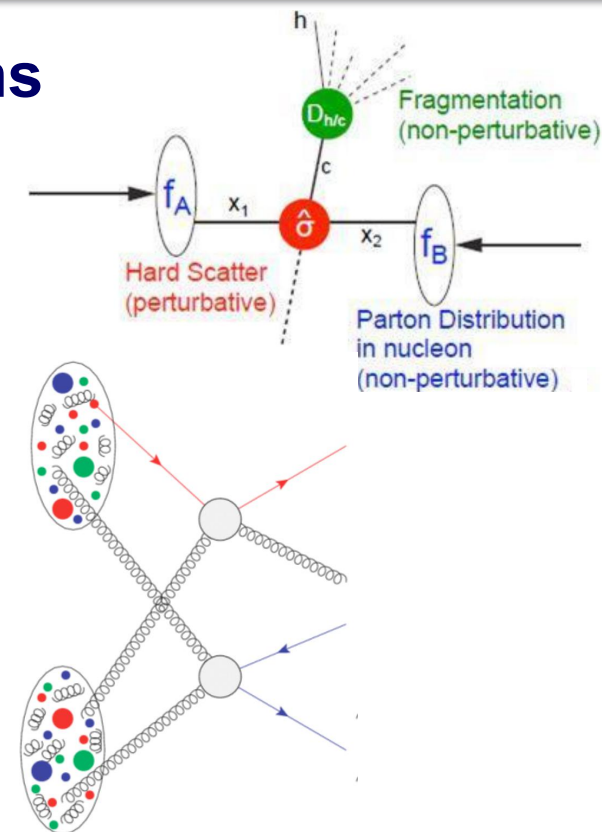
Heavy flavour in small systems

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HF production vs. event activity

- Interplay between hard and soft processes
- Link between initial and final state
- Role of collective effects** in small collision systems with high multiplicity? MPI?



Heavy flavour in small systems

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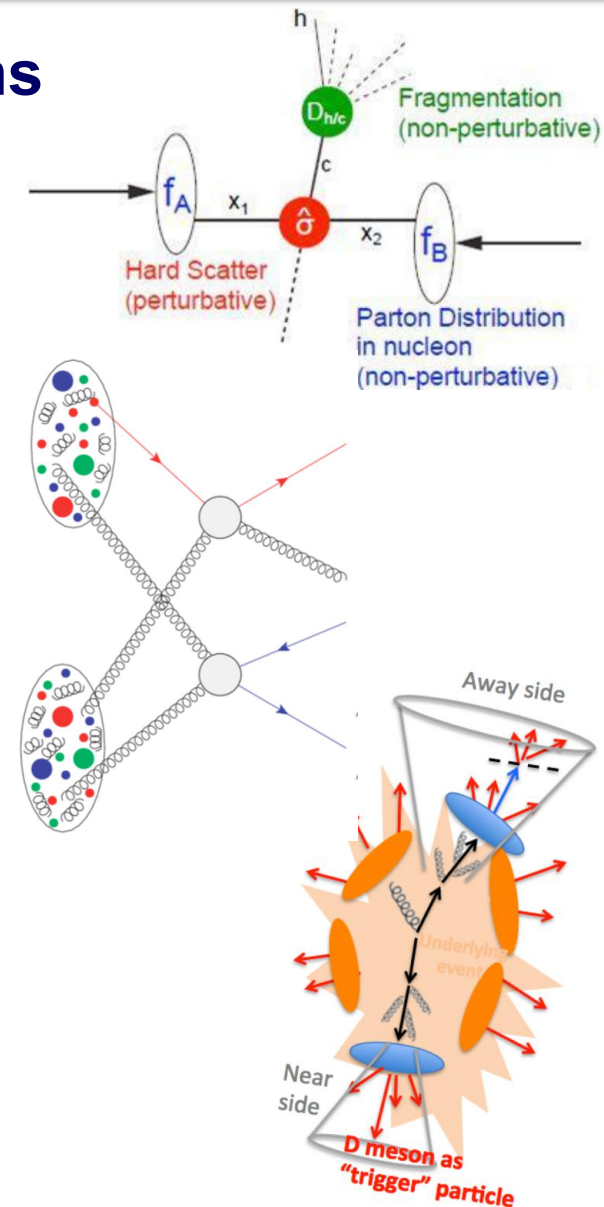
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Jet and correlation observables

- **Fragmentation of charm vs. light quarks**
- Properties of jets with charm content
- Contribution of gluon splitting to HF yields



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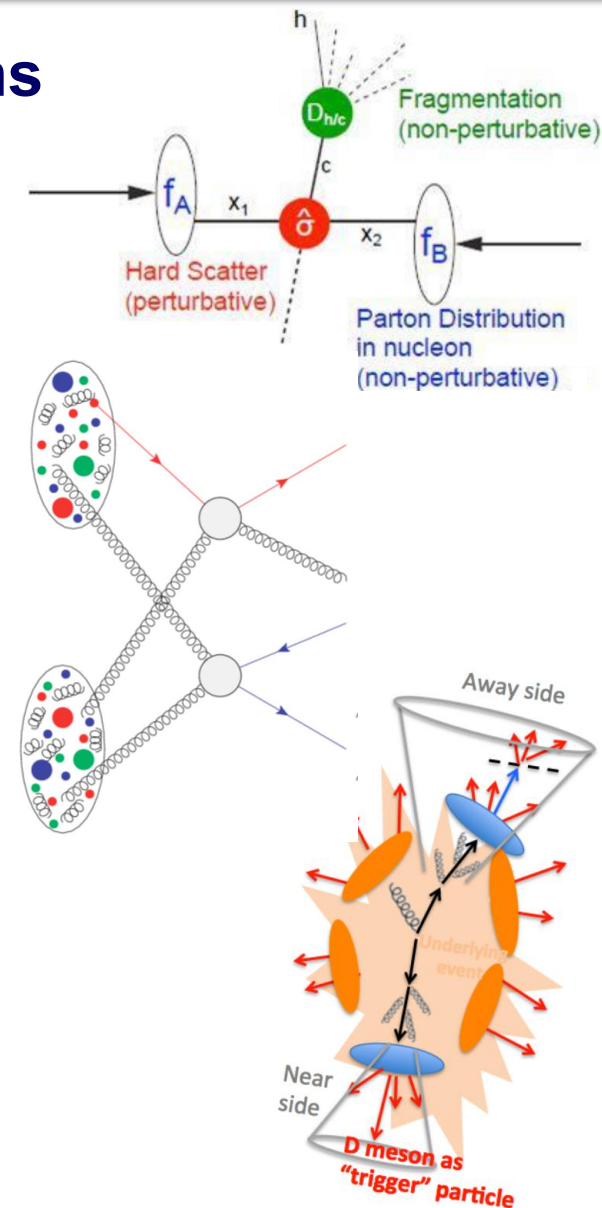
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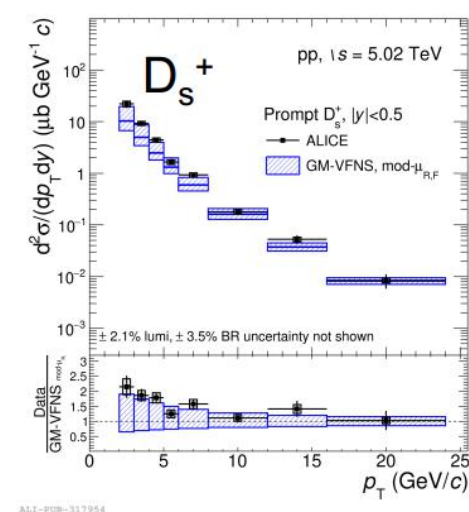
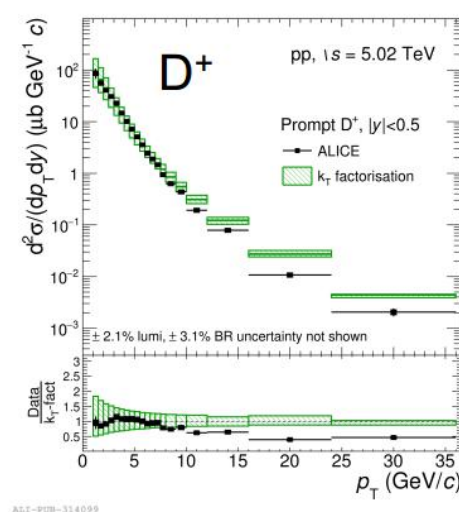
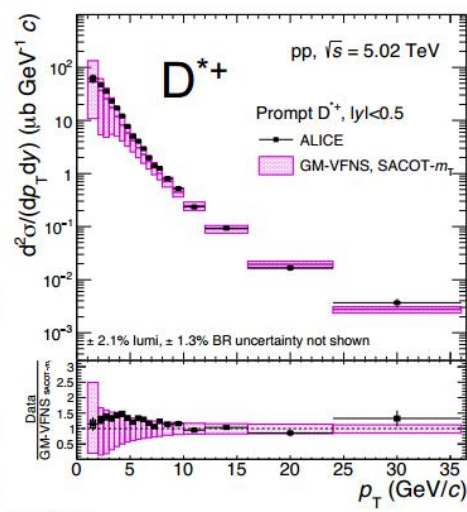
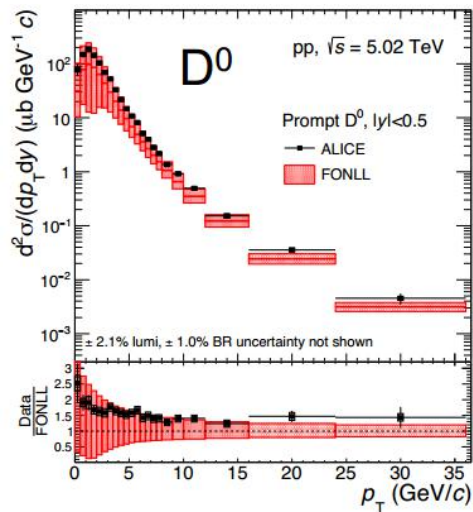
Mesons and baryons

- **Tests of fragmentation models**



D (charmed) mesons in QCD vacuum

Eur.Phys.J. C79 (2019) no.5, 388



FONLL: JHEP 10 (2012) 137

GM-VFNS SACOT- m_T :
 JHEP 05 (2018)

k_T -factorization:
 PRD 98, no. 1 (2018)

GM-VFNS mod $\mu_{R,F}$:
 JHEP 12 (2017); NPB925 (2017)

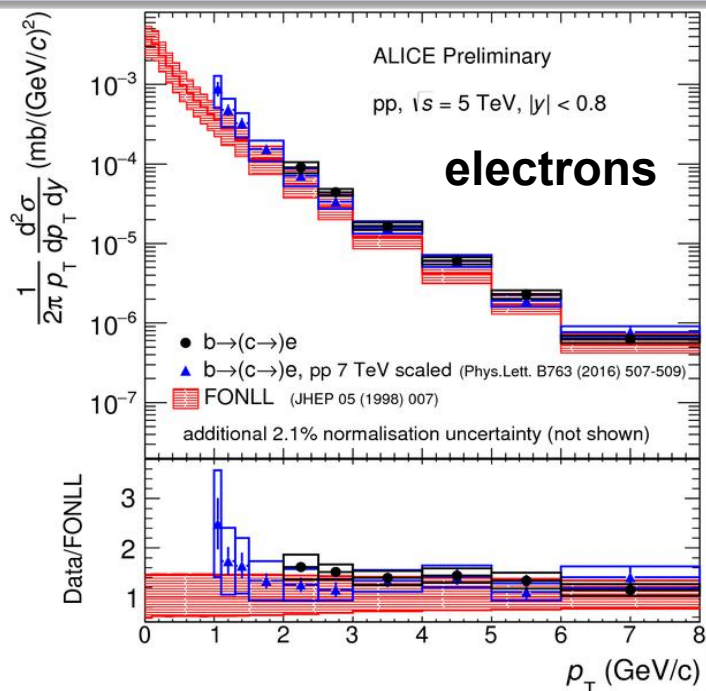
$\sqrt{s}=5.02$ TeV pp: new, high-precision D⁰, D^{*+}, D⁺, D_s⁺ measurements

- D⁰ down to low momenta ($p_T > 0$ GeV/c): no topological cuts, only PID
- New reference for heavy-ion systems (p-Pb and Pb-Pb)

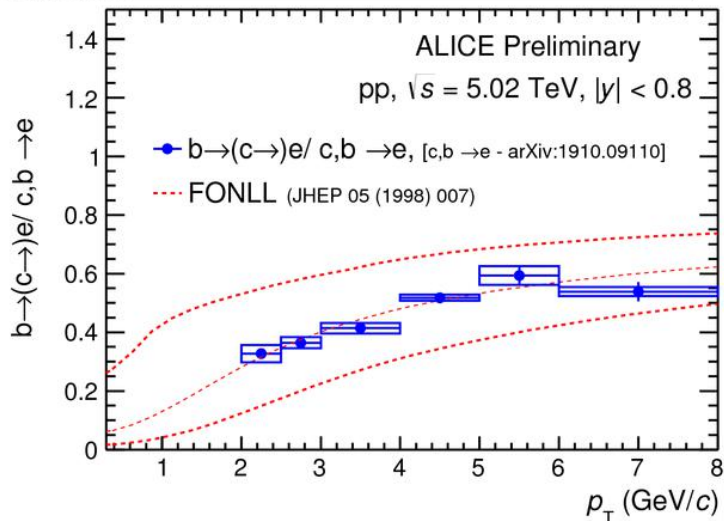
A detailed test of pQCD models

- Data well described by models based on factorization
- Data provide strong restriction for models

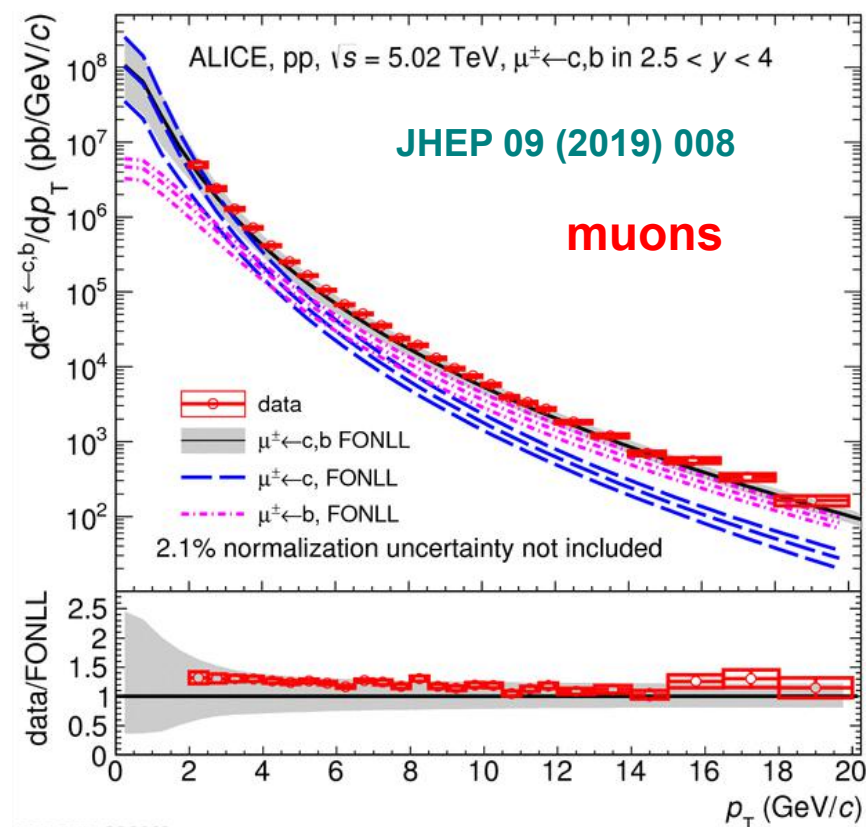
HF electrons and muons



ALI-PREL-329790



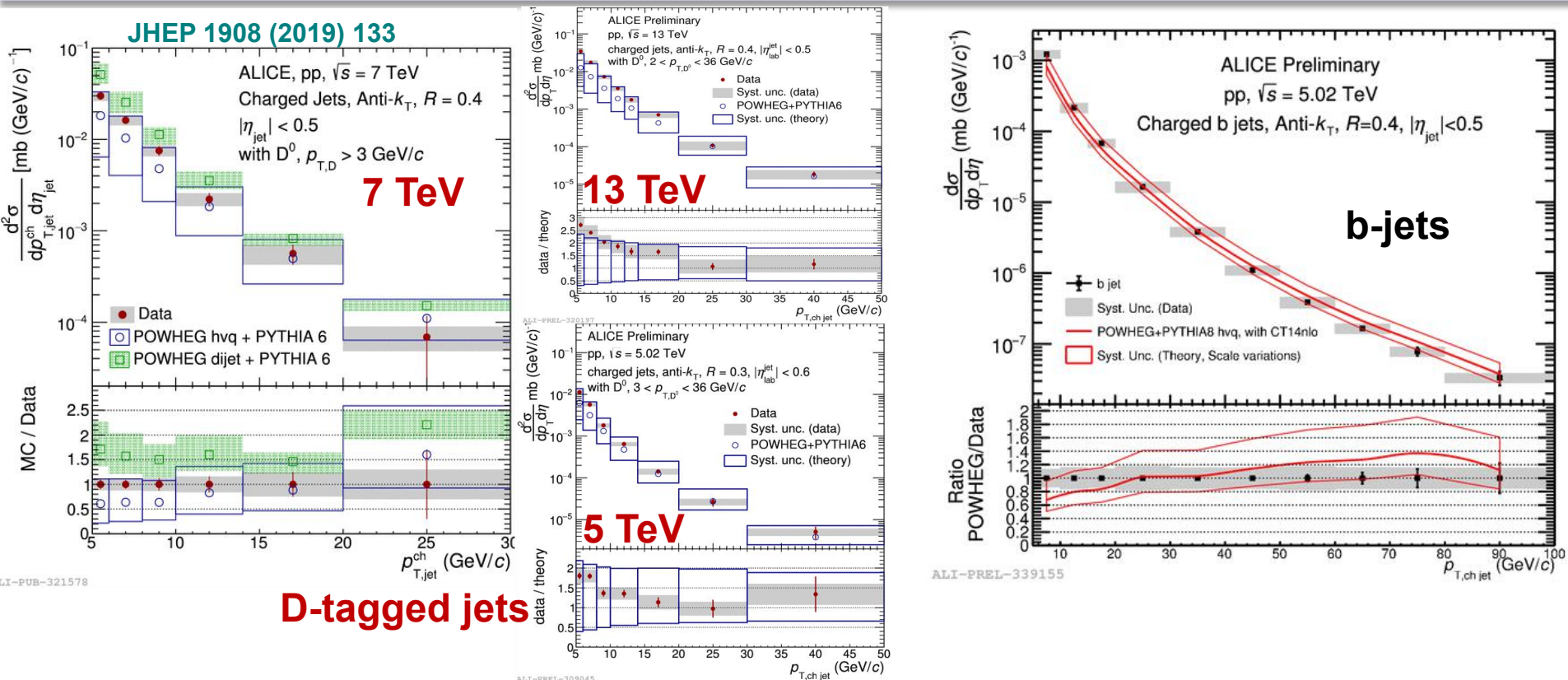
ALI-PREL-329771



ALI-PUB-326668

- FONLL pQCD describes **beauty electrons** and **beauty/charm ratio**
- Agreement for **electrons** at mid-rapidity and **muons** at $2.5 < y < 4$

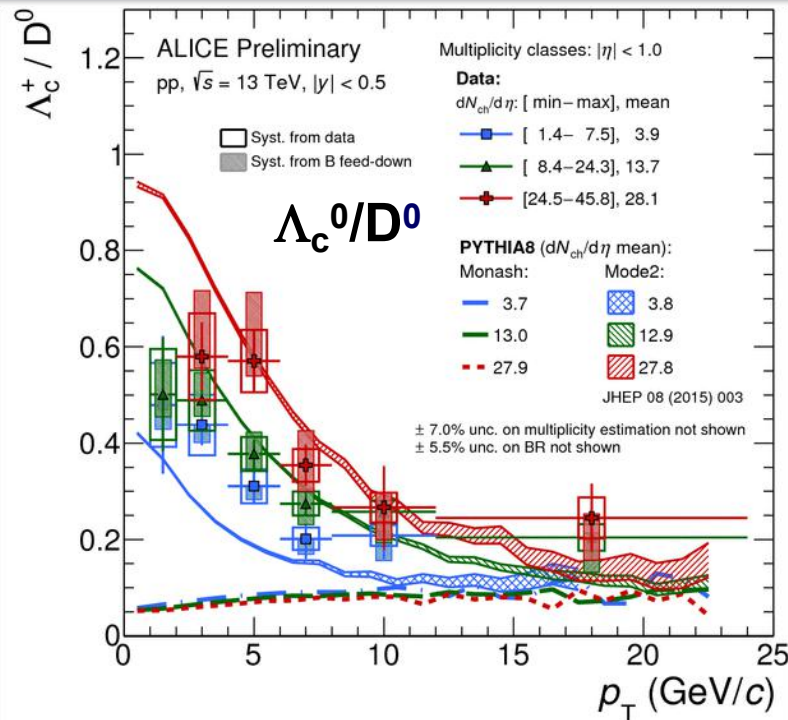
D-tagged and b-tagged jets



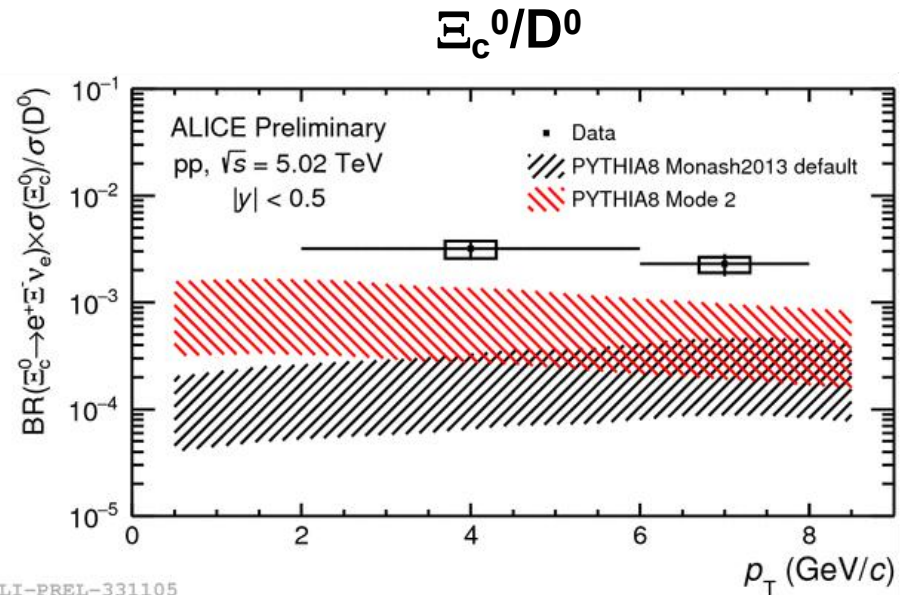
- **D-jets** are jets tagged with the reconstruction of D^0 -mesons at 5, 7 and 13 TeV
- **b-jets** tagged based on impact parameter
- POWHEG(HVQ)+PYTHIA6(Perugia11) describes both adequately
- Strongly restricts models
=> **unique opportunity to study flavor-dependent jet properties**

Reference for nuclear modification

Baryon-to-meson ratio: Λ_c^+/D^0 , Ξ_c^0/D^0



ALI-PREL-331105



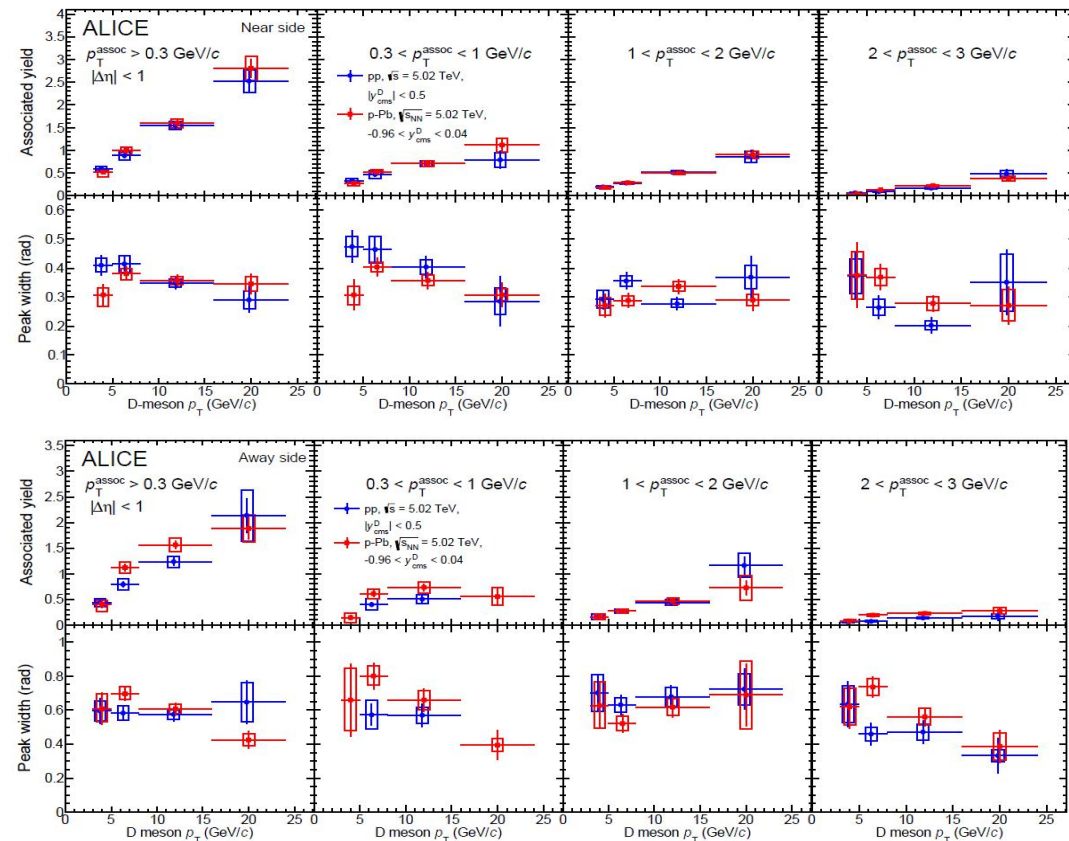
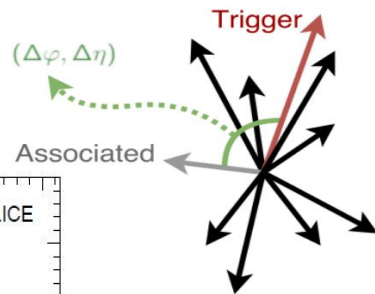
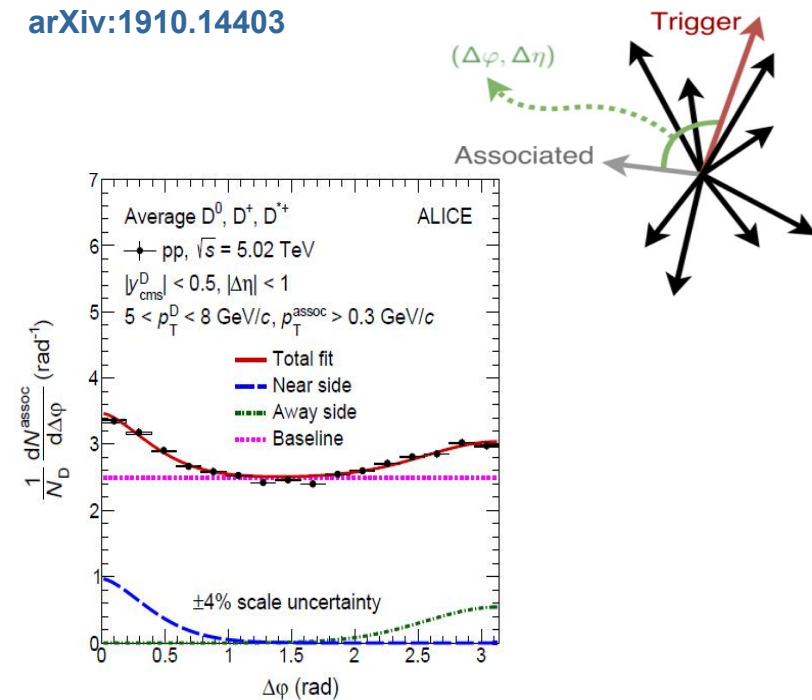
PYTHIA8: JHEP 05 (2006) 026
 DIPSY: JHEP 1503 (2015) 148
 HERWIG7: EPJ C76 (2016) no.4 196

ALI-PREL-336438

- Ξ_c^0/D^0 as well as Λ_c^+/D^0 are underestimated by models based on ee collisions: Does charm hadronization depend on collision system?
 - PYTHIA8 with string formation beyond leading colour approximation?
Christiansen, Skands, JHEP 1508 (2015) 003
 - Feed-down from augmented set of charm-baryon states?
He, Rapp, 1902.08889
- Detailed measurement of charm baryons provide valuable input for theoretical understanding of HF fragmentation

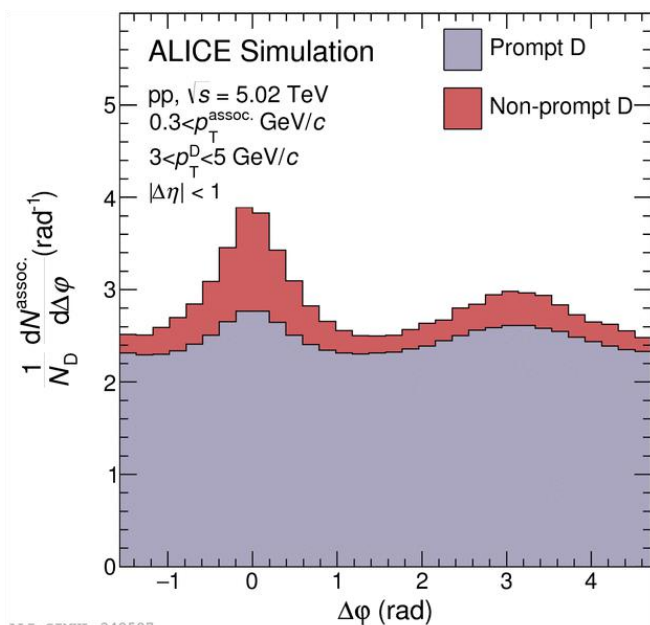
D-h angular correlations

arXiv:1910.14403



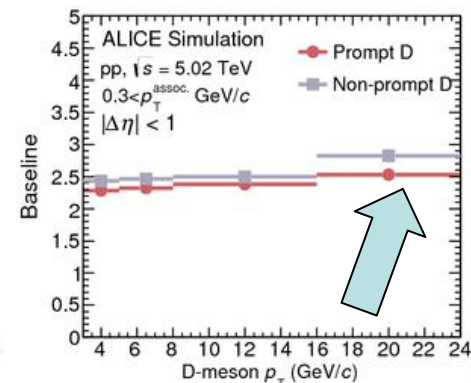
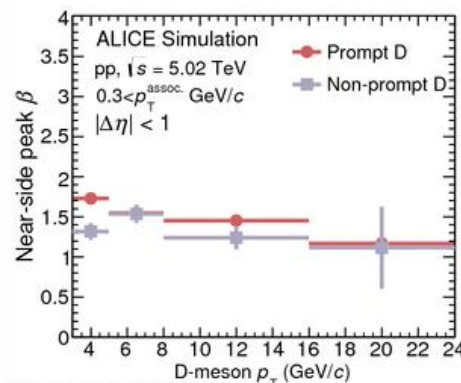
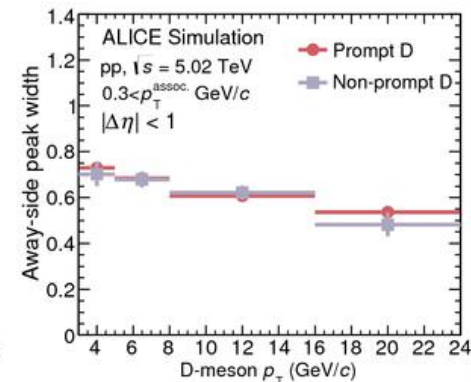
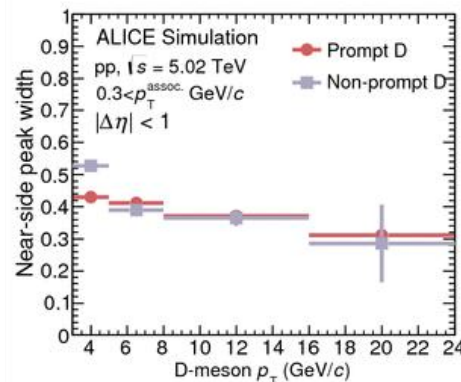
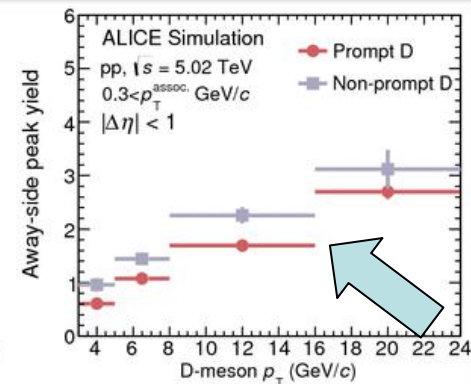
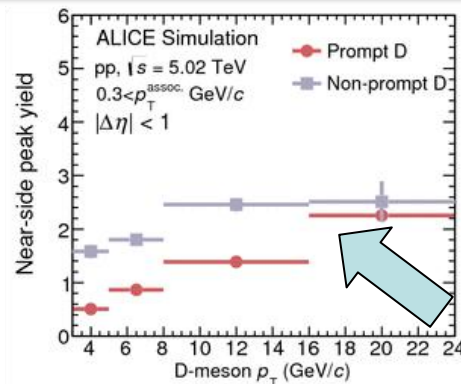
- Near-side peak narrowing with increasing p_T^D
- Away-side yields increase with p_T^D value
- No significant difference between D-h correlation parameters in pp and p-Pb systems

D-h in PYTHIA: prompt/non-prompt D



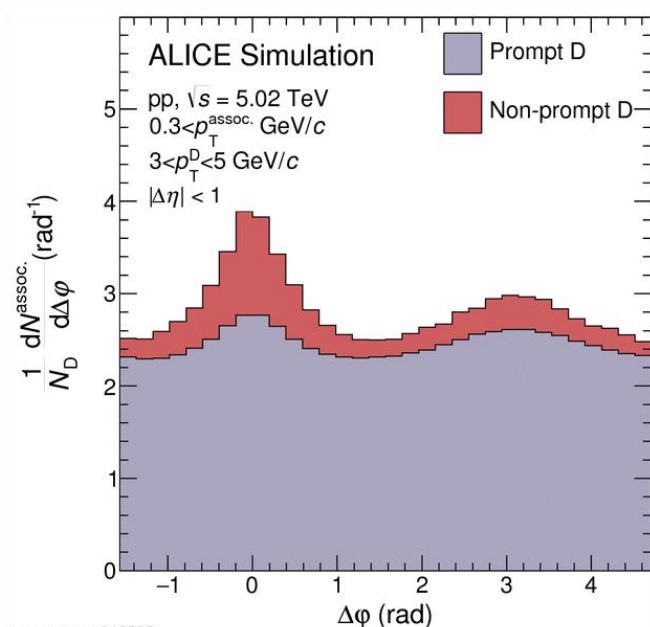
E Frajna (ALICE), <https://indi.to/tHf8p>

- Higher per-trigger yields and baseline for non-prompt D mesons



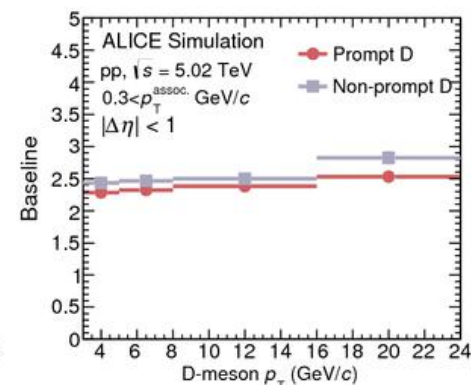
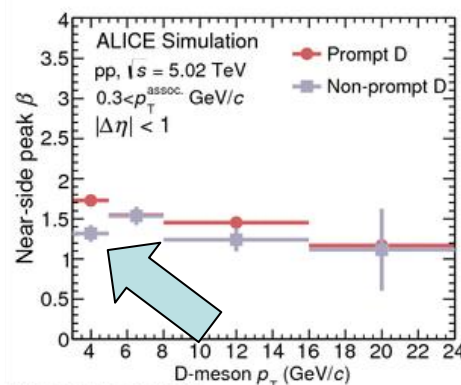
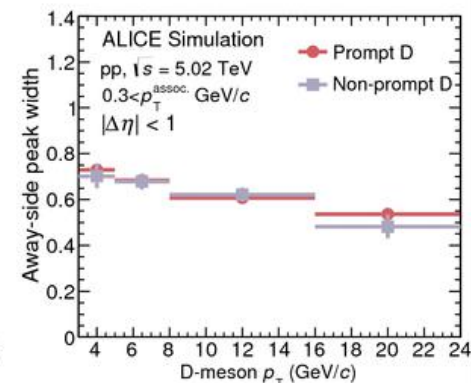
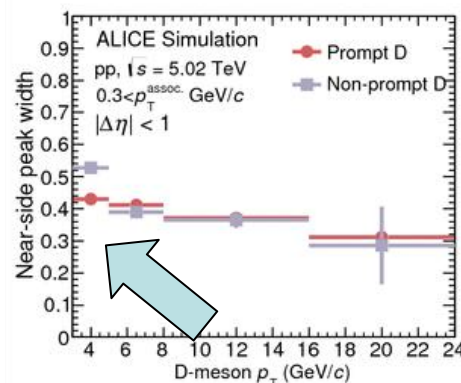
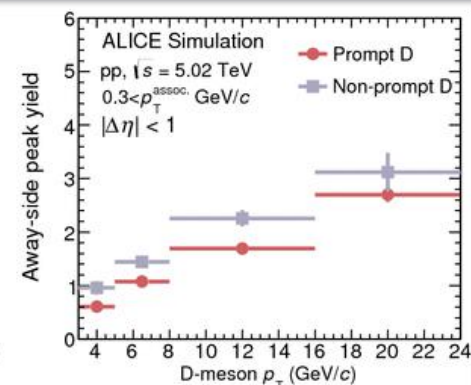
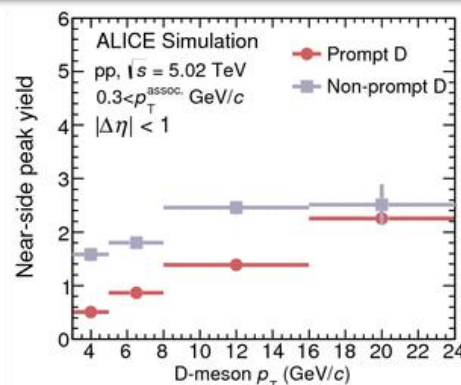
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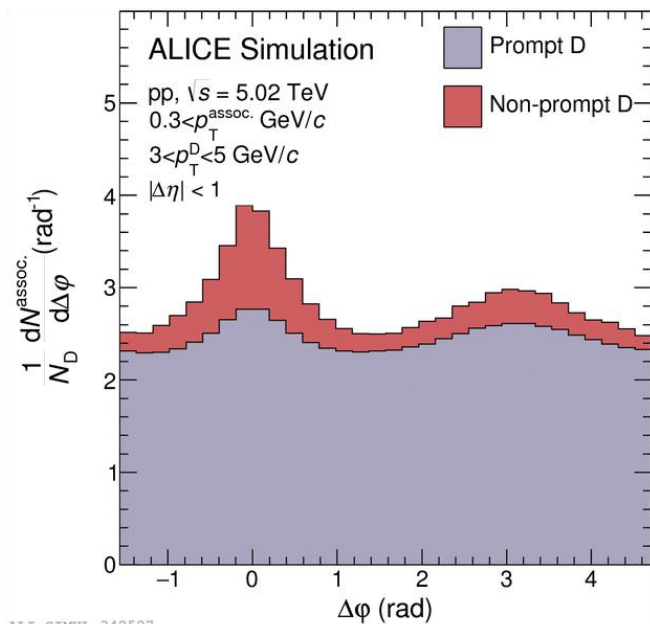
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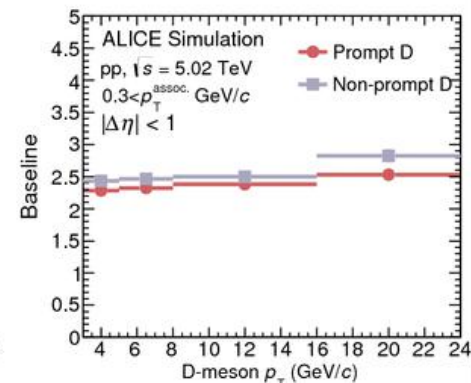
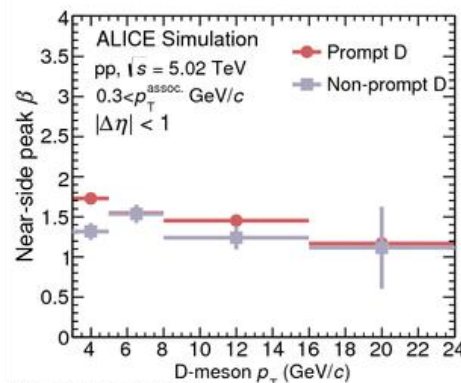
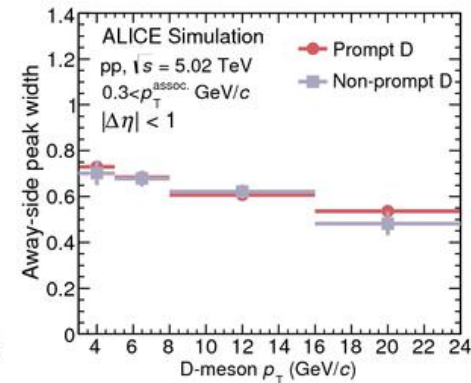
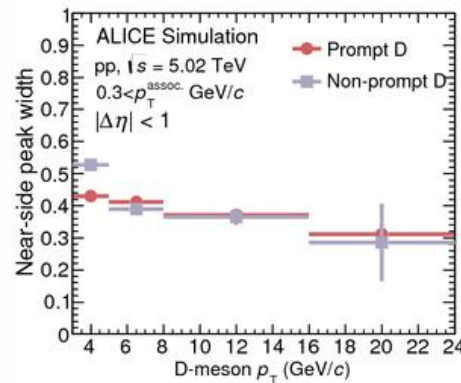
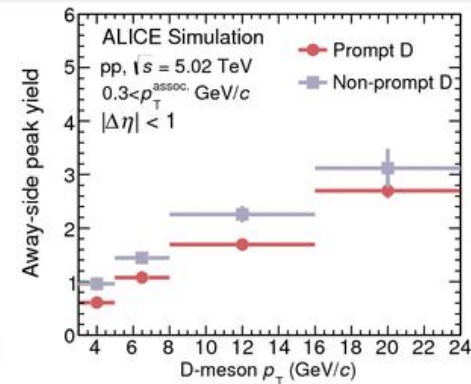
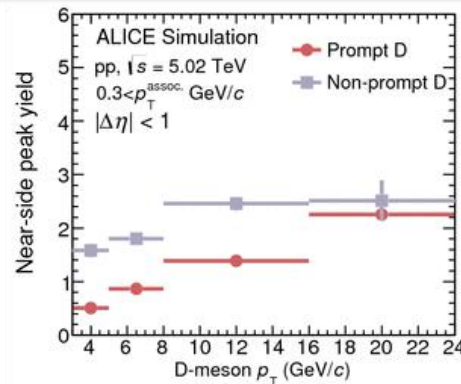
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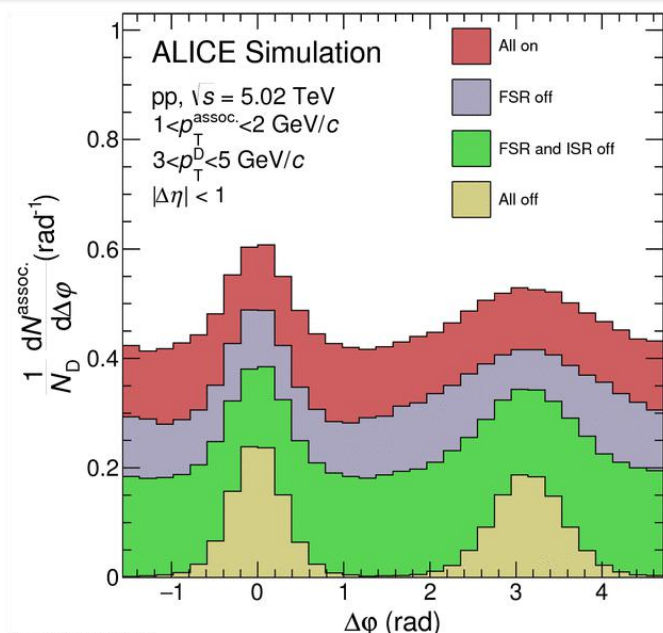
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- A possibility to statistically separate b and c contributions**

E Frajna, R V, Universe 2019 5 (5) 118



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D-h in PYTHIA: partonic processes

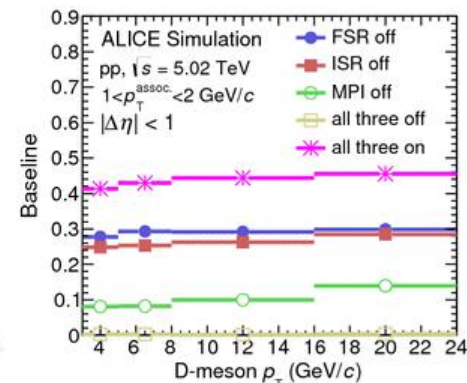
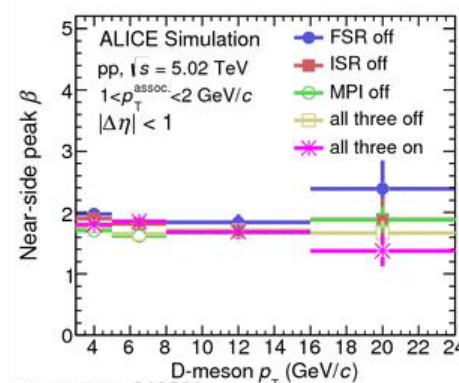
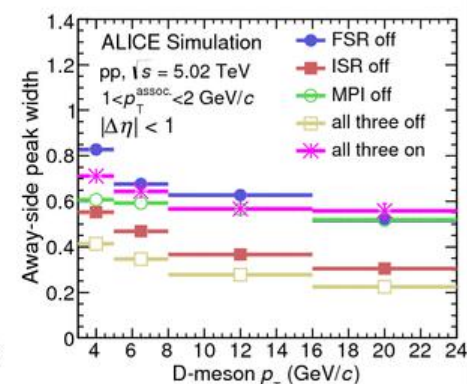
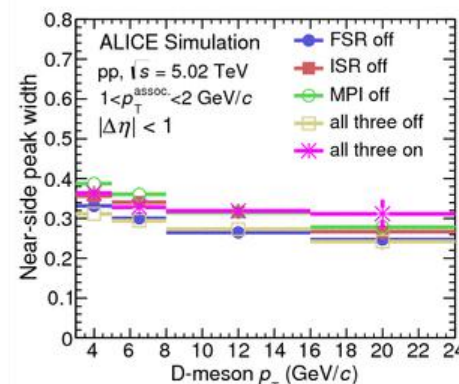
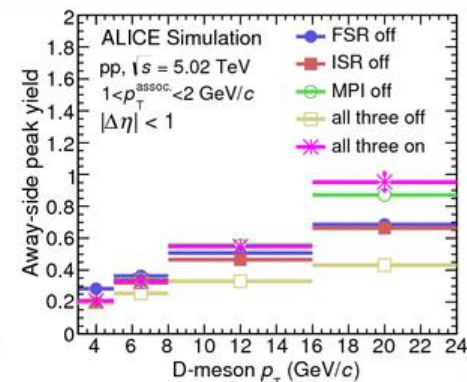
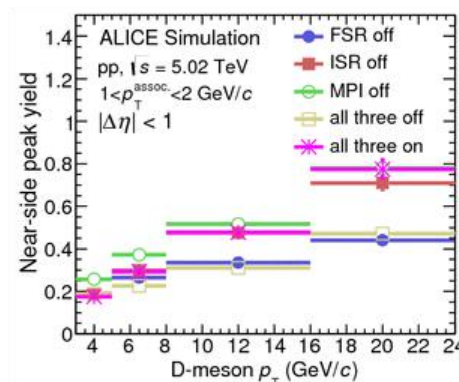


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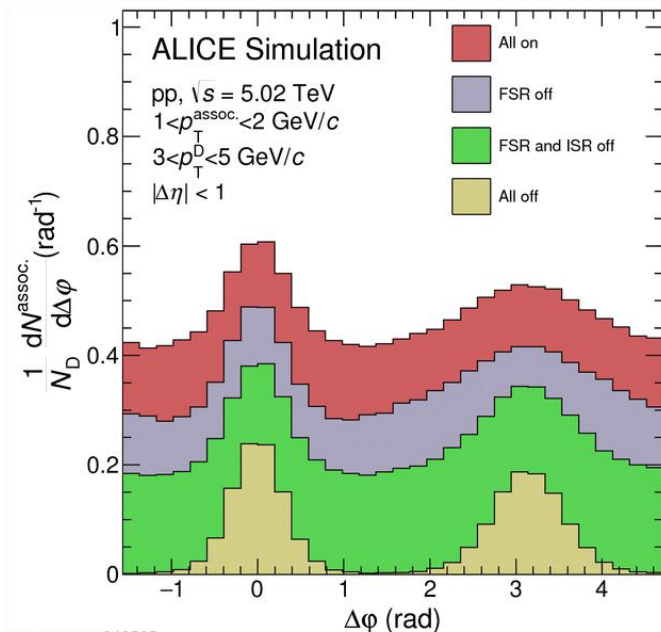
Partonic processes in PYTHIA 8

- Initial-state radiation
- Final-state radiation
- Multiple-parton interactions



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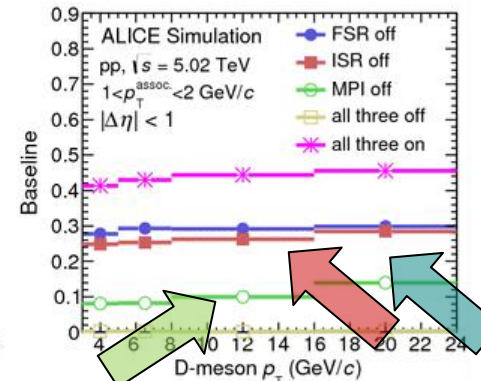
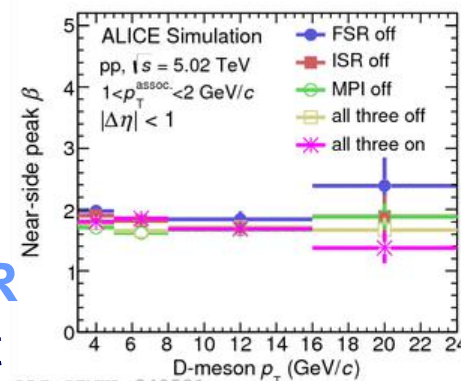
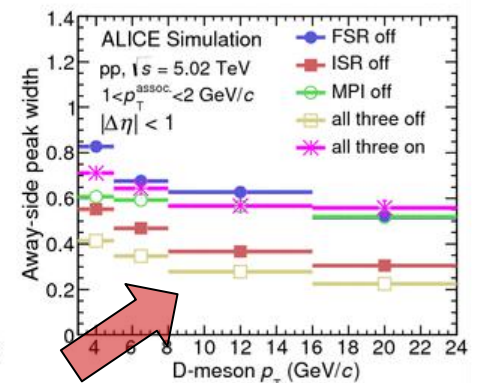
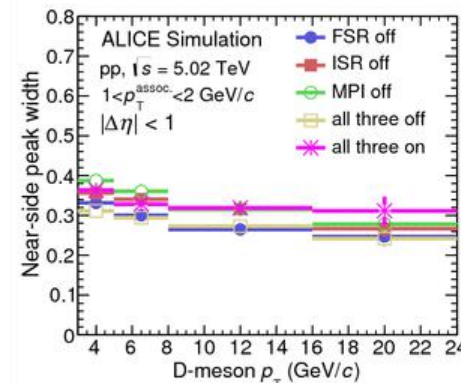
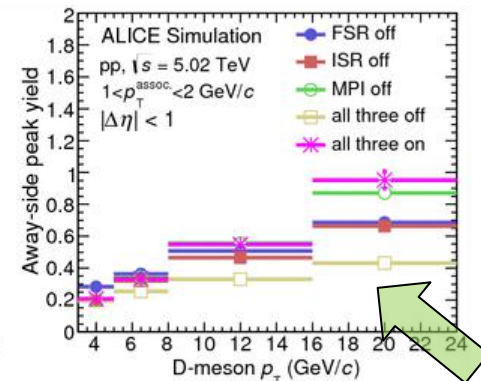
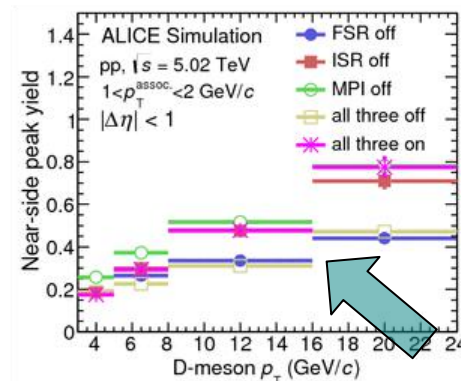
D-h in PYTHIA: partonic processes



ALI-SIMUL-342525

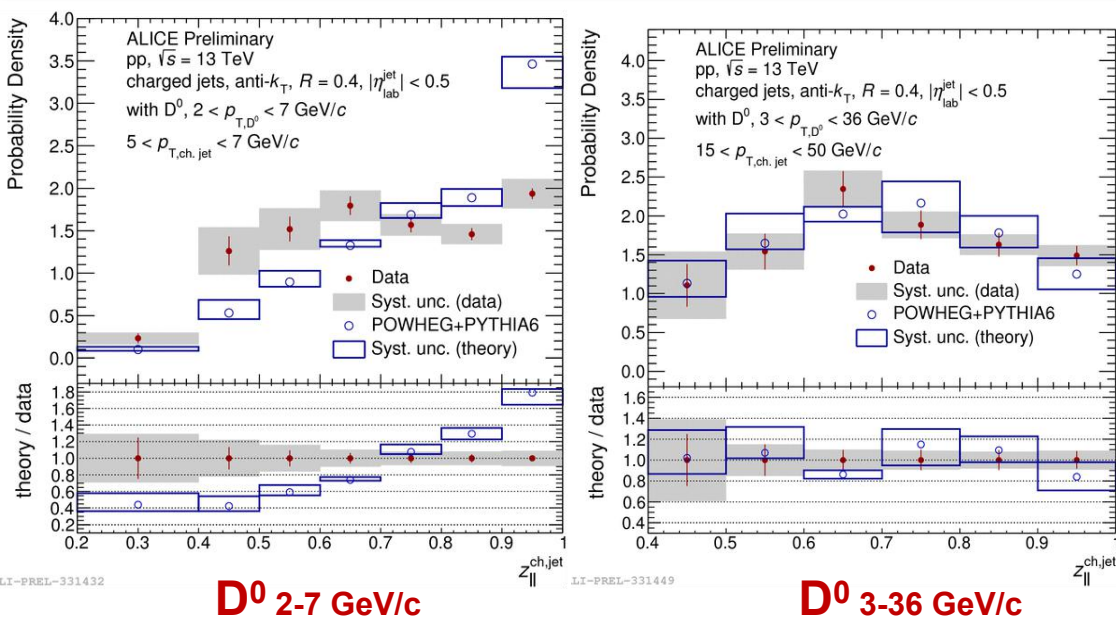
E Frajna (ALICE), <https://indi.to/tHf8p>

- **Near-side yield:** significant **FSR** contribution (at higher p_T^{trigger}).
- **Away-side yield:** **MPI** contribution
- **Away-side width:** increased by parton-level effects - mainly **ISR**
- **Baseline:** contributions of **ISR**, **FSR** and **MPI** effects to underlying event



ALI-SIMUL-342521

Charm fragmentation

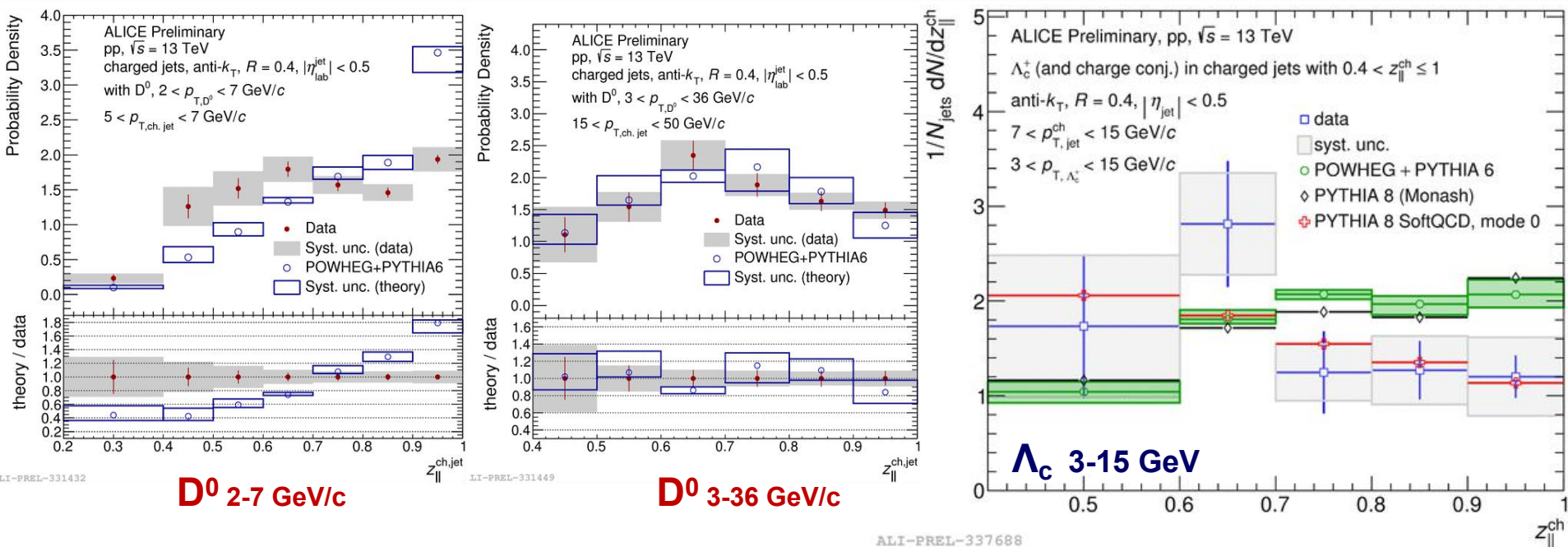


Fragmentation of D mesons

- Comparison to model POWHEG hvq CT10NLO + PYTHIA6
- Softer fragmentation in data for low p_T
- Model consistent with data at higher p_T

$$z_{||}^{ch} = \frac{\overrightarrow{p}_D \cdot \overrightarrow{p}_{ch,jet}}{\overrightarrow{p}_{ch,jet} \cdot \overrightarrow{p}_{ch,jet}}$$

Charm fragmentation



- Fragmentation of D mesons

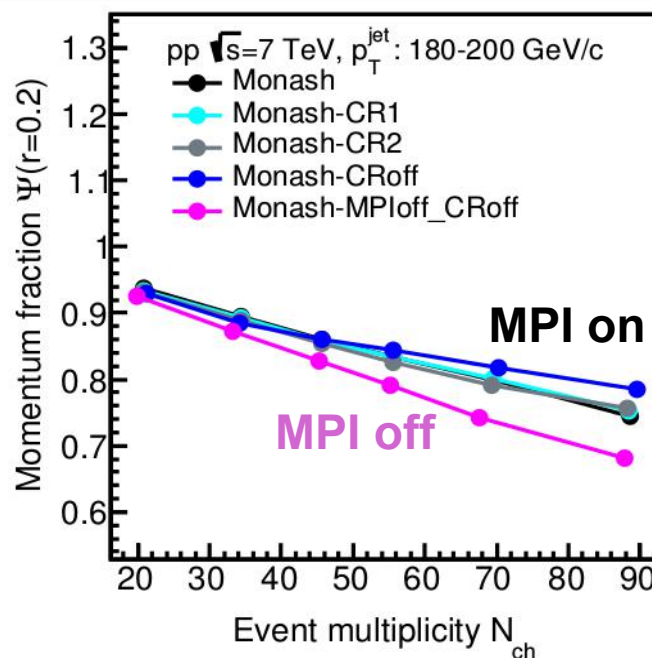
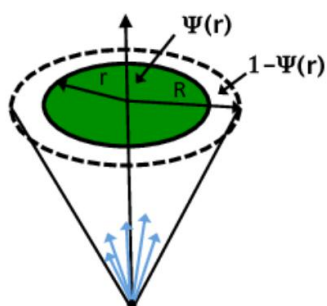
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$$z_{\parallel}^{\text{ch}} = \frac{\vec{p}_D \cdot \vec{p}_{\text{ch jet}}}{p_{\text{ch jet}} \cdot p_{\text{ch jet}}}$$

- Λ_c -tagged jets at 13 TeV - first measurement at the LHC

- Exciting prospects for high luminosity LHC run
- Comparison to models seems to favor PYTHIA with softer settings

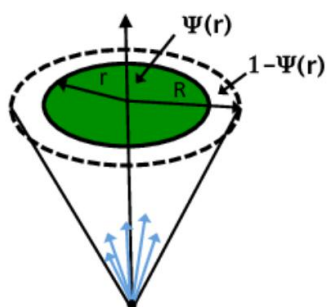
Jet structure vs. multiplicity



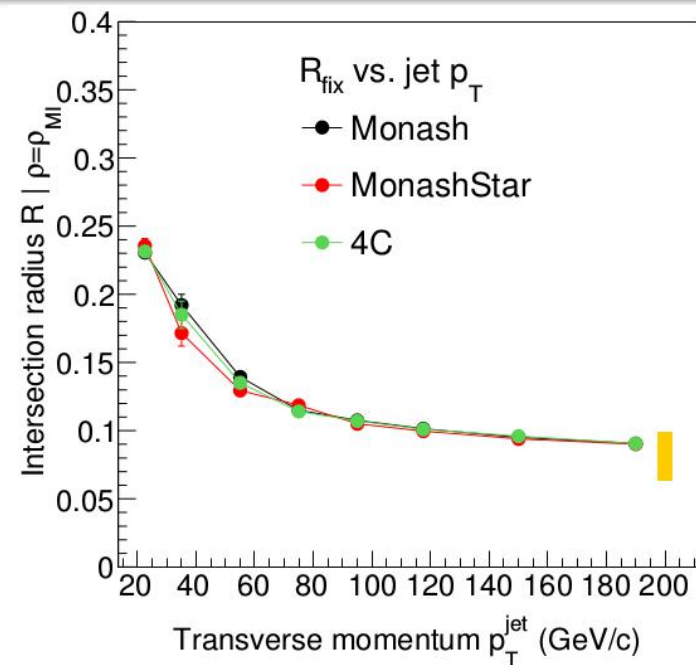
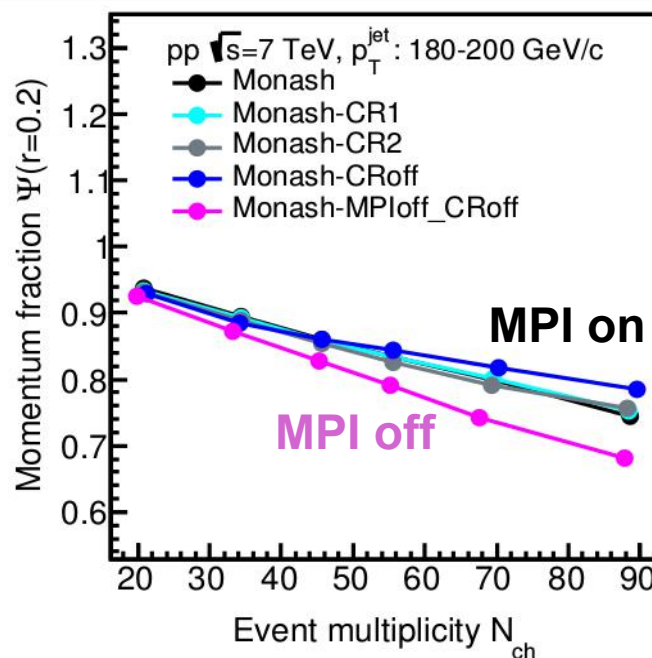
Z Varga, RV, GG Barnaföldi,
Adv.High Energy Phys.
2019 (2019) 6731362

- PYTHIA8,
HIJING++
- Radial structure of **light-flavor jets** $\psi(N_{\text{ch}})$
 - Significantly influenced by **multiple-parton interactions**

Jet structure vs. multiplicity

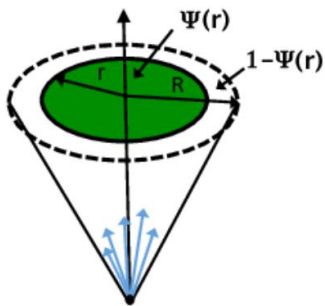


Z Varga, RV, GG Barnaföldi,
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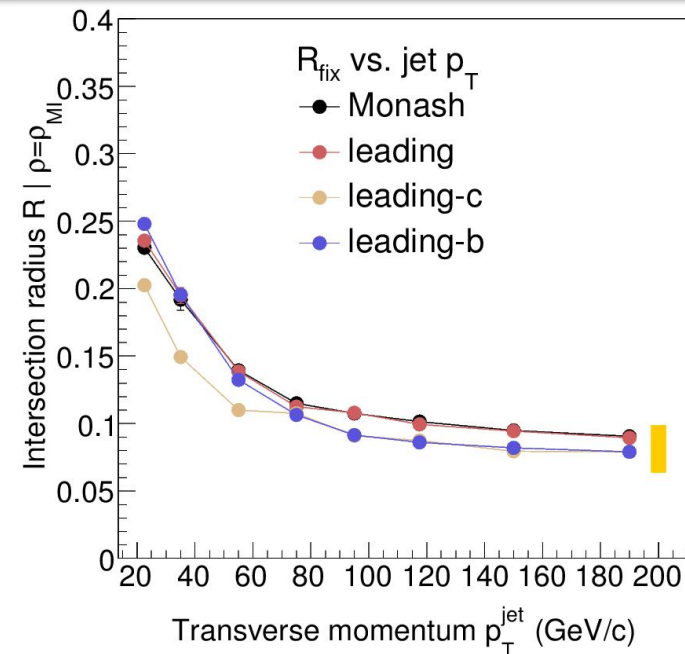
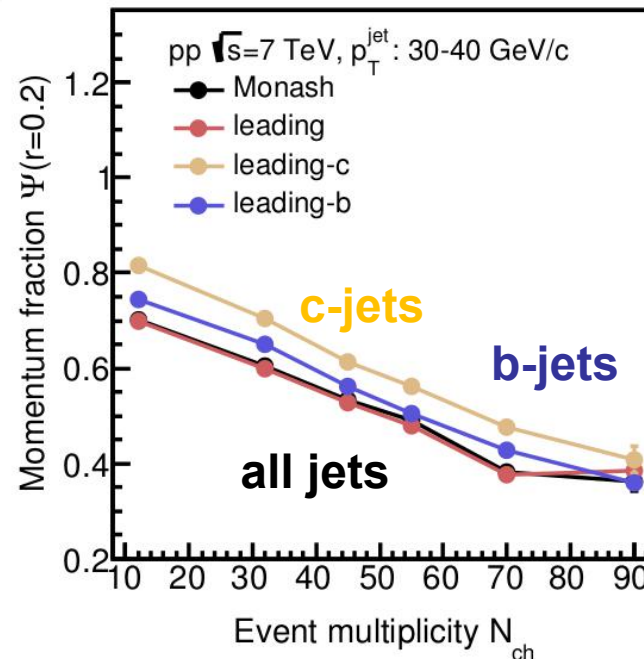


- PYTHIA8, HIJING++
- Radial structure of **light-flavor jets** $\psi(N_{ch})$
 - Significantly influenced by **multiple-parton interactions**
- Multiplicity-scaled jet size measure $R_{\text{fix}}(p_T)$
 - Does not depend on any physical settings for LF (generator, tune, CR/MPI, jet algorithm etc.)

Jet structure vs. multiplicity

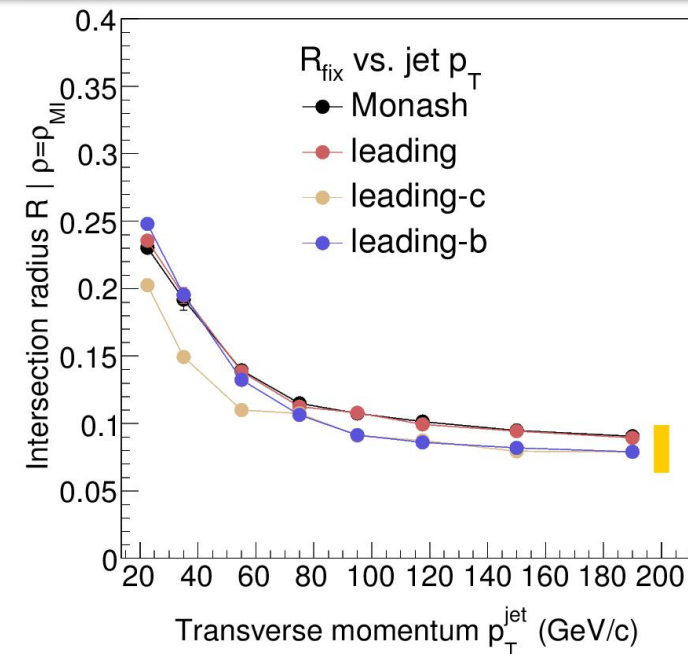
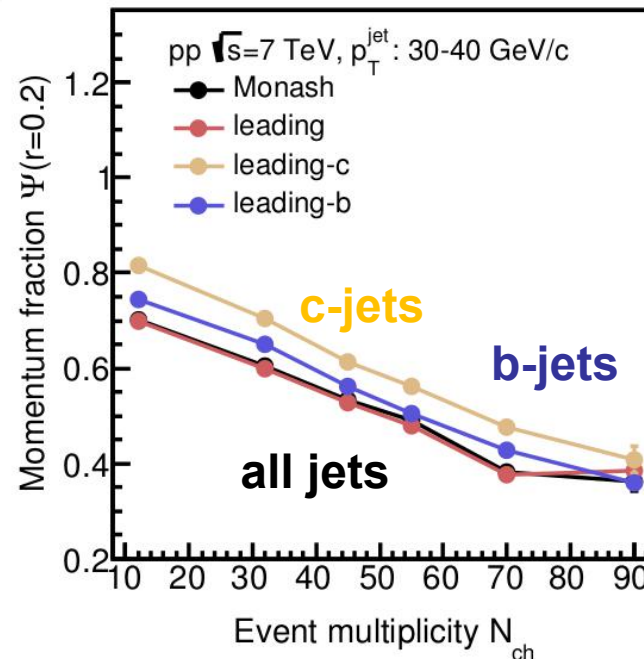
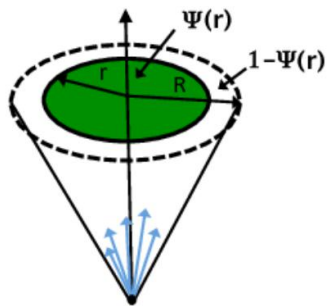


Z Varga, RV, GG Barnaföldi,
Universe 5 (2019) no.5, 132



- PYTHIA8, HIJING++
- Radial structure of **heavy-flavor jets** $\psi(N_{ch})$
 - Integral structures splitting for the three flavors (l,c,b)
- Multiplicity-scaled jet size measure $R_{\text{fix}}(p_T)$
 - Strong dependence of the split on momentum
- **Heavy flavor jet structures sensitive to fragmentation**

Jet structure vs. multiplicity



- PYTHIA8, HIJING++

- **Radial structure of heavy-flavor jets** $\psi(N_{ch})$

- Integral structures splitting for the three flavors (l,c,b)

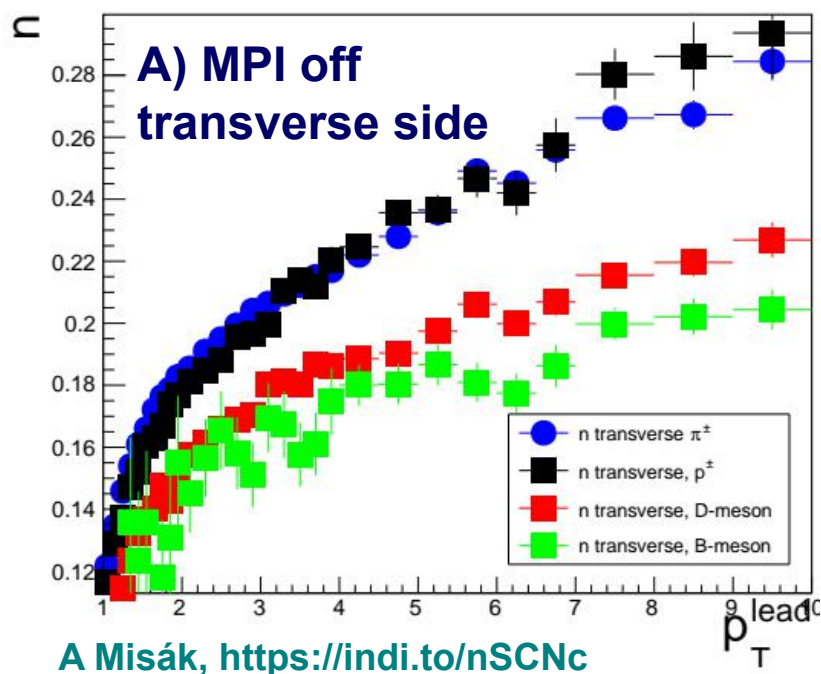
- **Multiplicity-scaled jet size measure** $R_{\text{fix}}(p_T)$

- Strong dependence of the split on momentum

- Heavy flavor jet structures sensitive to fragmentation

Flavor-inclusive analysis underway in ALICE 13 TeV pp

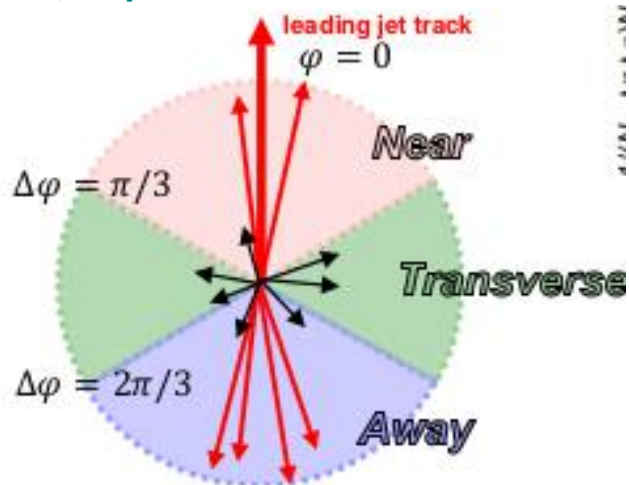
Underlying event w/ identified triggers



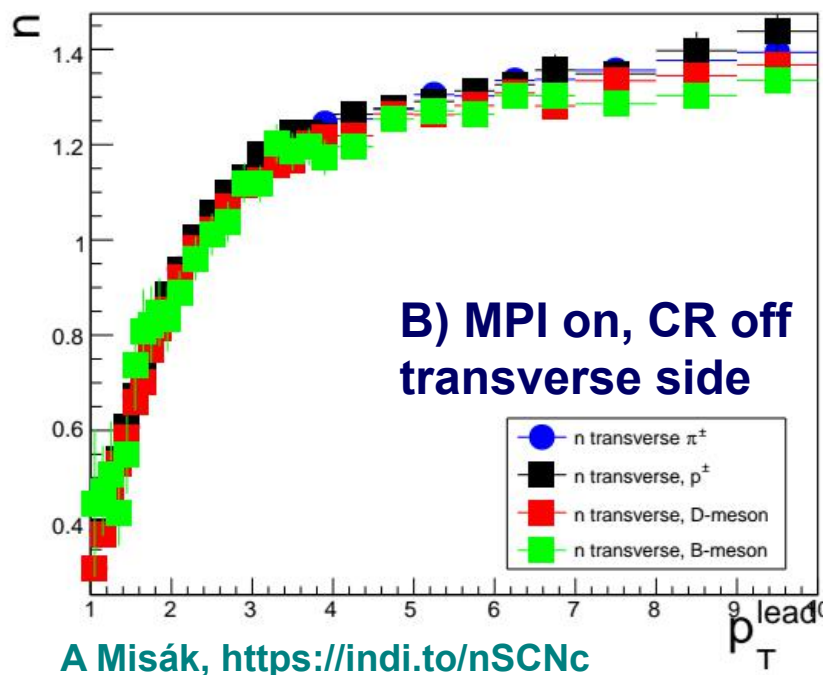
- PYTHIA8 simulations, 7 TeV pp
- Identify a trigger: π , p , **D** or **B**
- Examine particle production in underlying event (transverse side)

A. No MPI case

- particle production clearly ordered by flavor of trigger



Underlying event w/ identified triggers



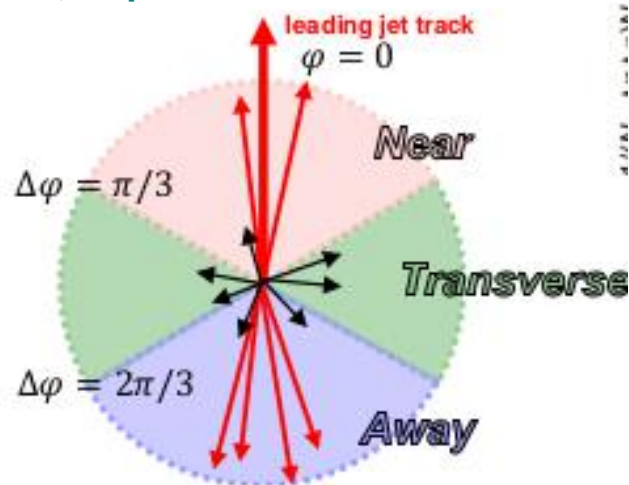
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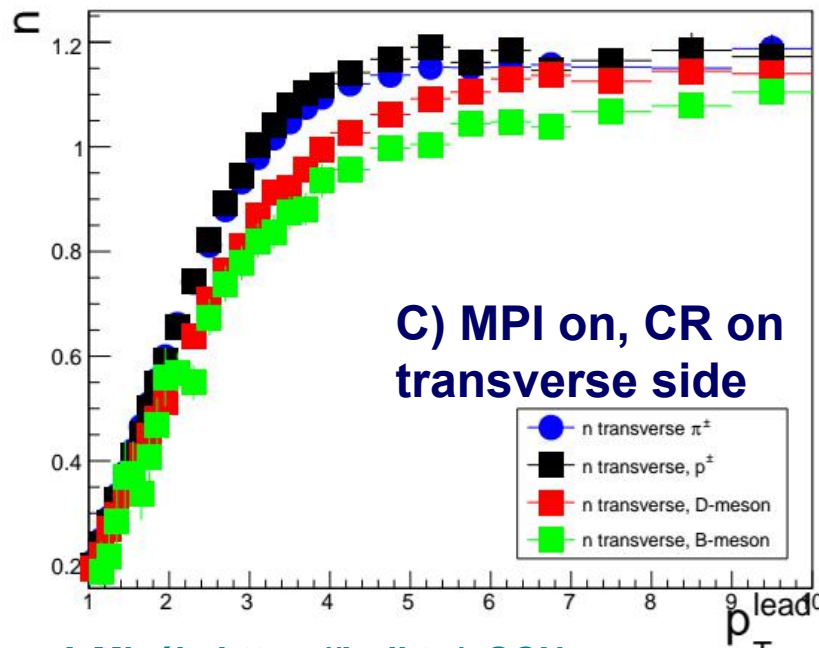
- particle production clearly ordered by flavor of trigger

B. No CR case:

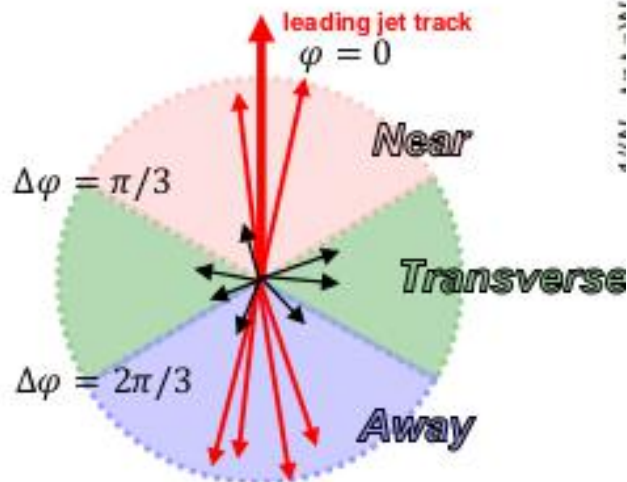
- flavor ordering levelled.
- Agrees with traditional assumption: **UE does not depend on leading hard process**



Underlying event w/ identified triggers



A Misák, <https://indi.to/nSCNc>



- PYTHIA8 simulations, 7 TeV pp
- Identify a trigger: π , p , **D** or **B**
- Examine particle production in underlying event (transverse side)

A. No MPI case:

- particle production clearly ordered by flavor of trigger

B. No CR case:

- flavor ordering levelled.
- Agrees with traditional assumption: UE does not depend on leading hard process

C. Physical case (both MPI & CR)

- Flavor-dependence (re)introduced by color reconnection
- Similar effect seen in LF & strange

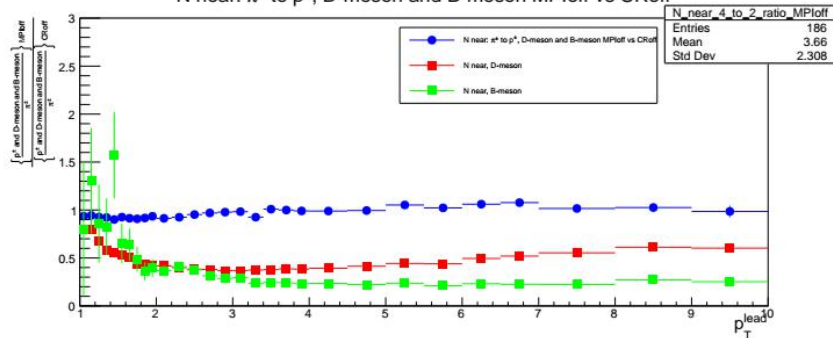
Ortiz, Valencia, Palomo, PRD 99 (2019), 034027

HF fragmentation and underlying event

effect of MPI

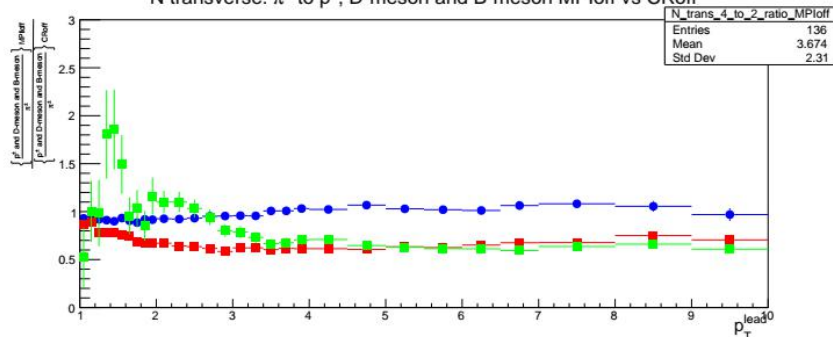
A Misák, <https://indi.to/nSCNc>

N near: π^\pm to p^\pm , D-meson and B-meson MPIoff vs CRoff



near side

N transverse: π^\pm to p^\pm , D-meson and B-meson MPIoff vs CRoff



transverse side

- Relative effect of **multiple-parton interactions**
 - Near side: flavor-dependent radiation/fragmentation
 - **Transverse side: LF and HF separated**
sensitive to color charge effects (quark vs gluon jets)

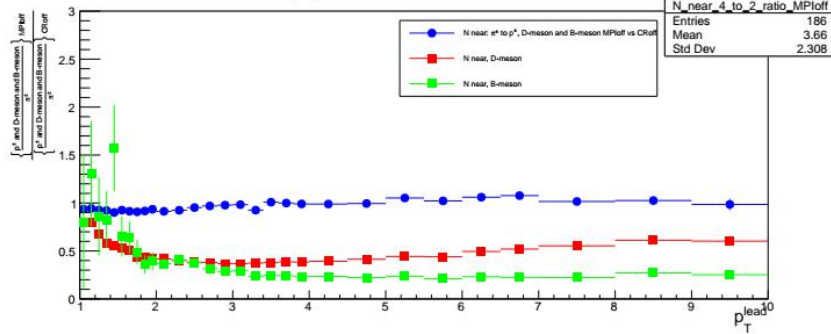
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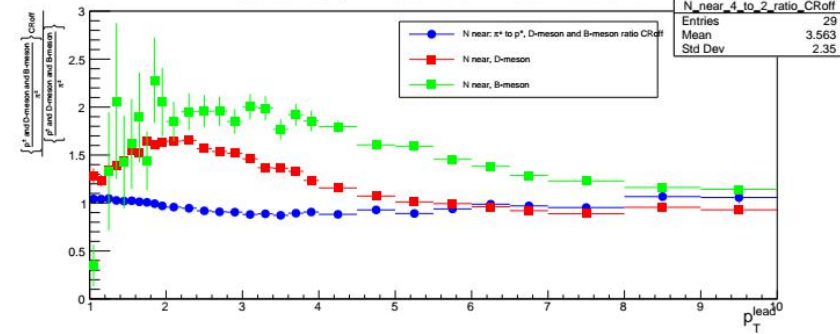
effect of CR

N near: π^\pm to p^\pm , D-meson and B-meson MPIoff vs CRoff



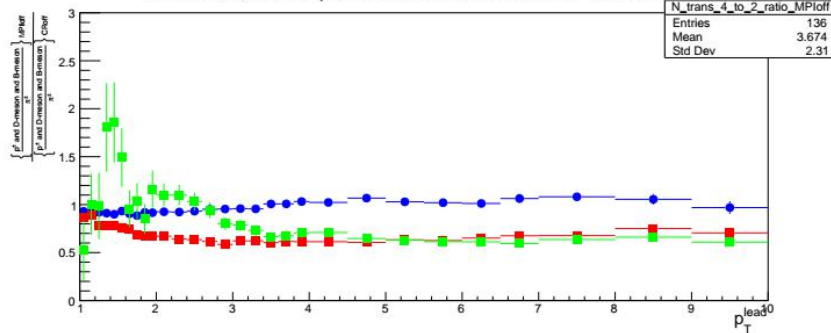
near side

N near: π^\pm to p^\pm , D-meson and B-meson ratio CRoff

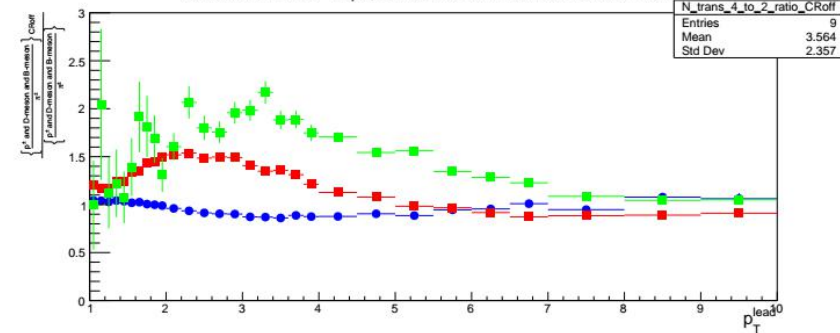


transverse side

N transverse: π^\pm to p^\pm , D-meson and B-meson MPIoff vs CRoff



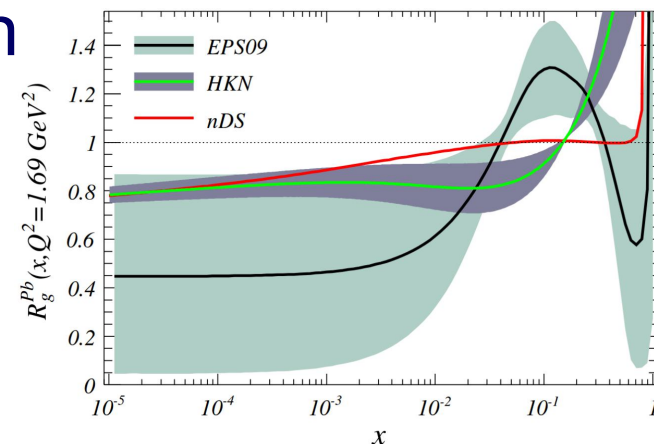
N transverse: π^\pm to p^\pm , D-meson and B-meson ratio CRoff



- Relative effect of **multiple-parton interactions**
 - Near side: flavor-dependent radiation/fragmentation
 - **Transverse side: LF and HF separated**
sensitive to color charge effects (quark vs gluon jets)
- **Color reconnection: same relative effect in jets and the UE**

p-Pb collisions: CNM effects?

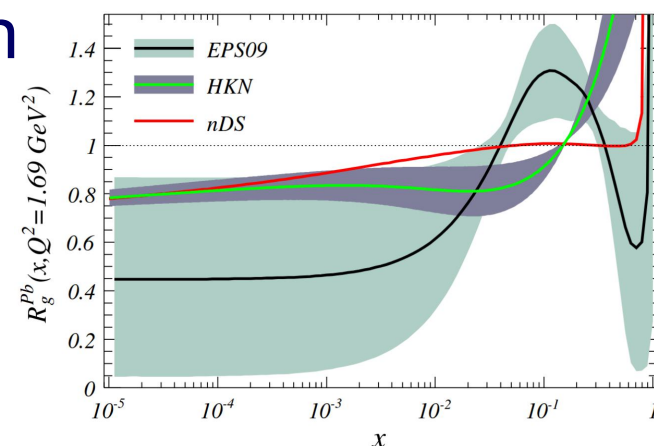
- Nuclear modification
 - PDF modification:
(anti)shadowing, gluon saturation
 - Energy loss in CNM,
 k_T -broadening
- Baseline for hot nuclear effects*



p-Pb collisions: CNM effects?

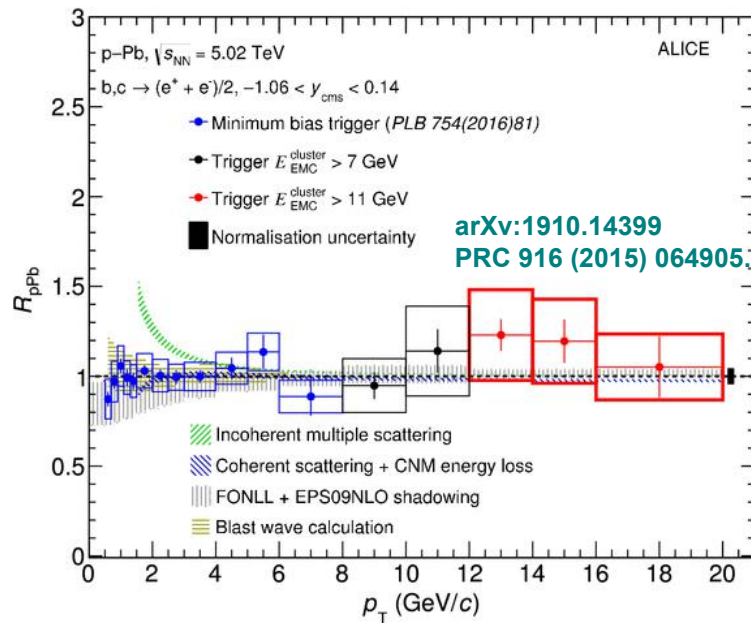
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Baseline for hot nuclear effects

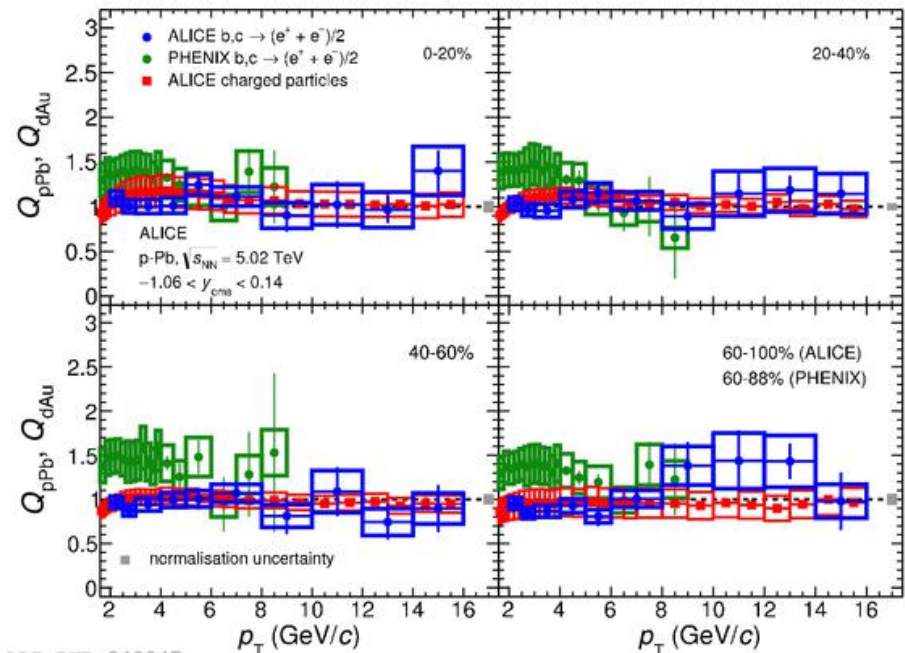


- Multiplicity-dependence?
 - *Any hot droplets?*
- Origin of collectivity in small systems?
 - Disentangle initial and final state effects

HFE in p-Pb collisions



ALI-PUB-340012

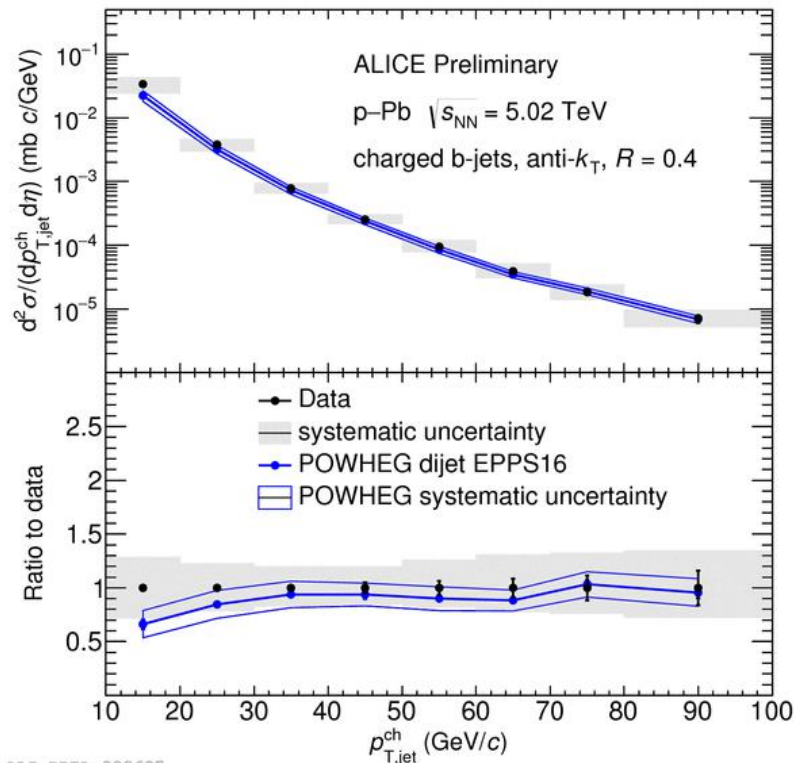


ALI-PUB-340047

PHENIX: PRL 109 24 (2012) 242301
Kang et al: PLB 740(2015)23
Sharma et al: PRC 80(2009) 054902
FONLL: JHEP 9805(1998)007

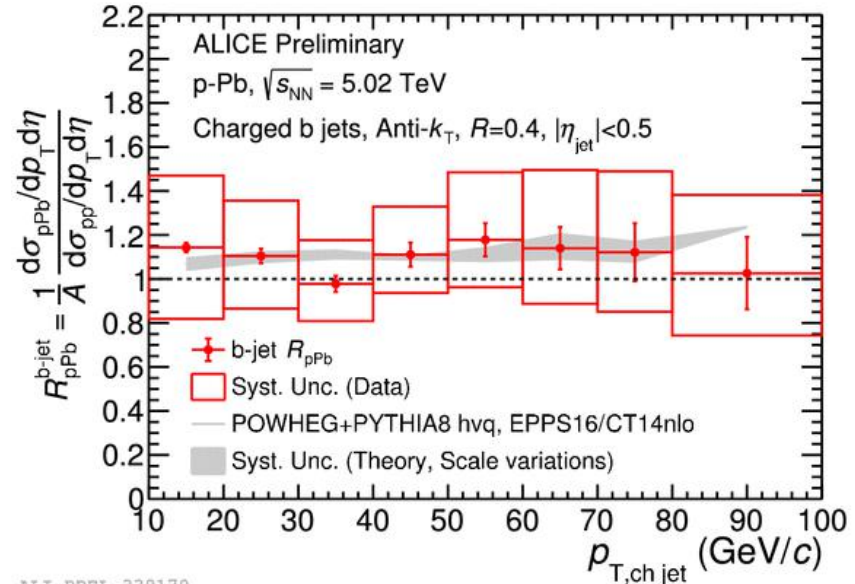
- **HFE production in p-Pb collisions:**
No modification w.r.t. pp collisions within uncertainties
- **Q_{pPb} consistent with unity at all centralities**
 - More radial flow in PHENIX d-Au than at the LHC ?

b-tagged jets



ALI-PREL-323637

R V (ALICE), arXiv:1910.01981

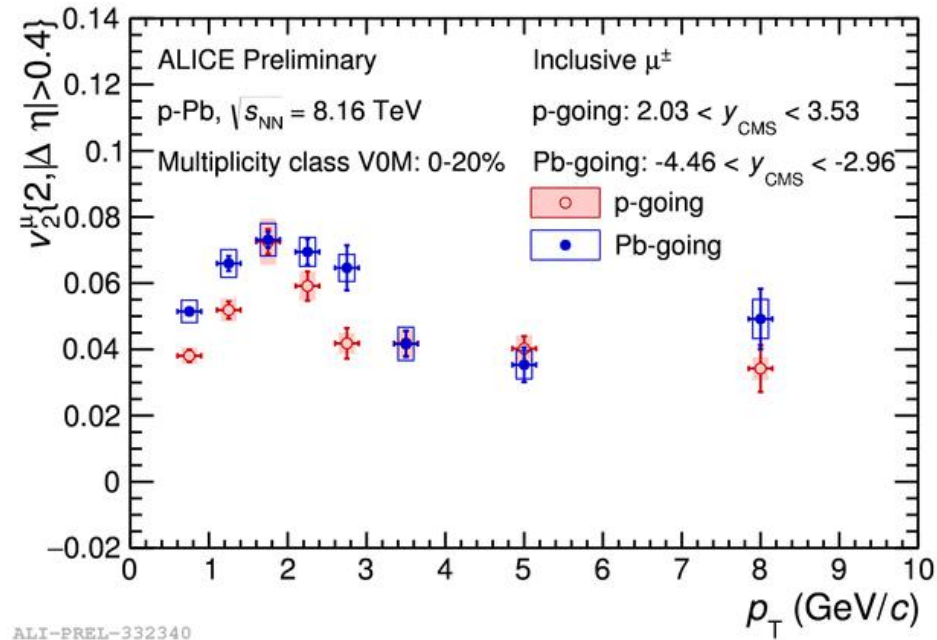
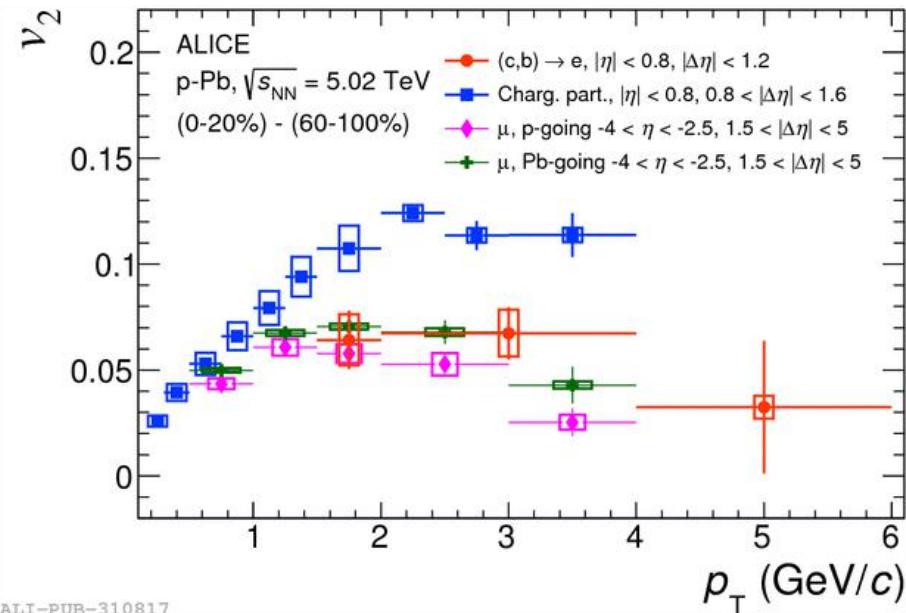


ALI-PREL-339170

- **b-tagged jet cross section and R_{pPb} measured for $10 < p_T < 100$ GeV/c**
 - Tagging based on reconstructed secondary vertex
- Data is well described by POWHEG simulators within uncertainties
- **R_{pPb} consistent with unity** within uncertainties in the measured p_T range

Asymuthal anisotropy in p-Pb

PRL 122, 072301



Collectivity of HFE and HFM in small systems

$c,b \rightarrow e$ at mid-rapidity, $c,b \rightarrow \mu$ forward/backward

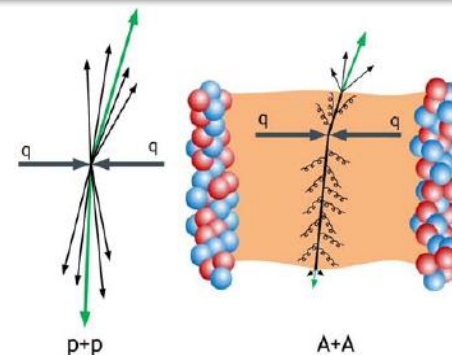
- Values of e and μ v_2 comparable with each other within uncertainties
- Low- p_T : comparable to charged hadrons
- Mid- p_T : about half the charged hadron v_2
- Tendency of smaller p-going than Pb-going v_2

Heavy ions: hot nuclear effects

■ Nuclear modification

$$R_{AA}(p_T) = \frac{1}{\langle N_{\text{coll}} \rangle} \frac{dN_{AA}/dp_T}{dN_{pp}/dp_T}$$

- **Collisional energy loss**
- **Energy loss via gluon radiation**
- Dead cone effect → expected mass ordering:
 $\Delta E_g > \Delta E_q > \Delta E_c > \Delta E_b \rightarrow ? R_{AA}^h < R_{AA}^D < R_{AA}^B$
- Color charge effect (HF is mostly quarks \Leftrightarrow gluon contribution in LF)
- **Change of fragmentation: Baryons, jets**

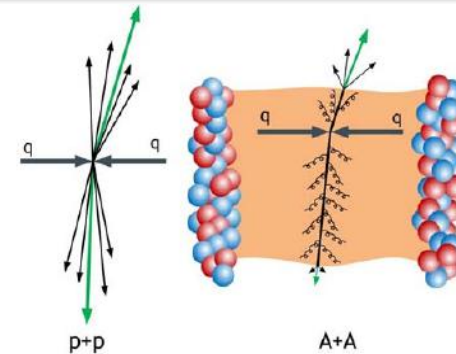


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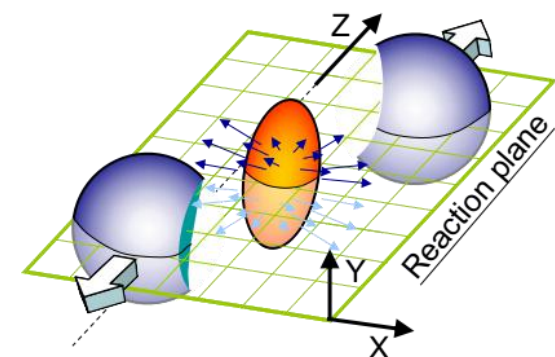


■ **Collectivity: strongly coupled medium => substantial v_n**

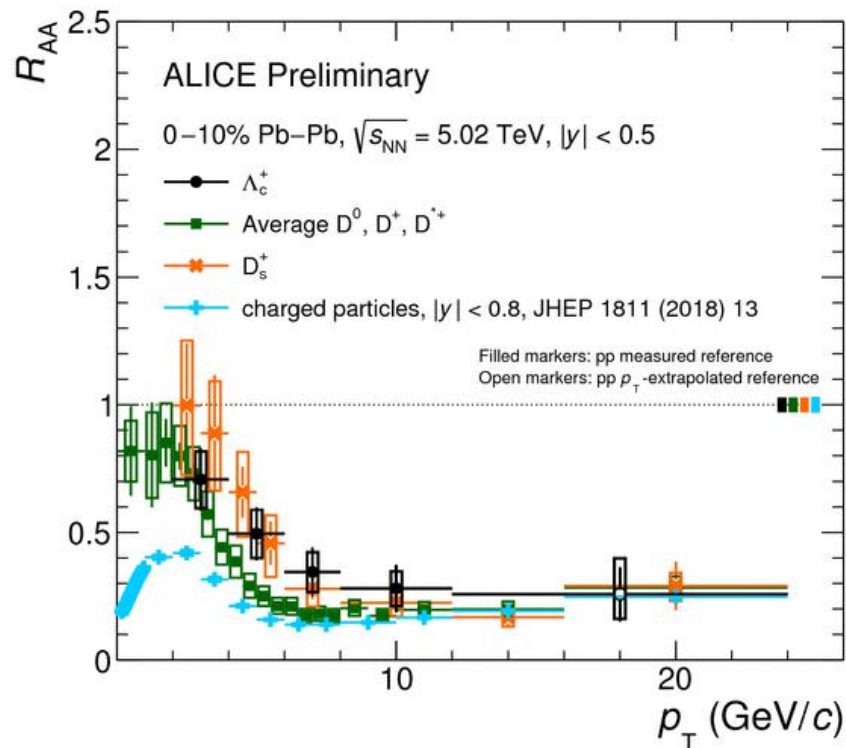
$$E \frac{d^3 N}{d^3 p} = \frac{1}{2\pi} \frac{d^2 N}{p_T dp_T dy} \left(1 + 2 \sum_{n=1}^{\infty} v_n \cos(n(\varphi - \Psi_R)) \right)$$

$$v_n = \langle \cos(n(\varphi - \Psi_R)) \rangle$$

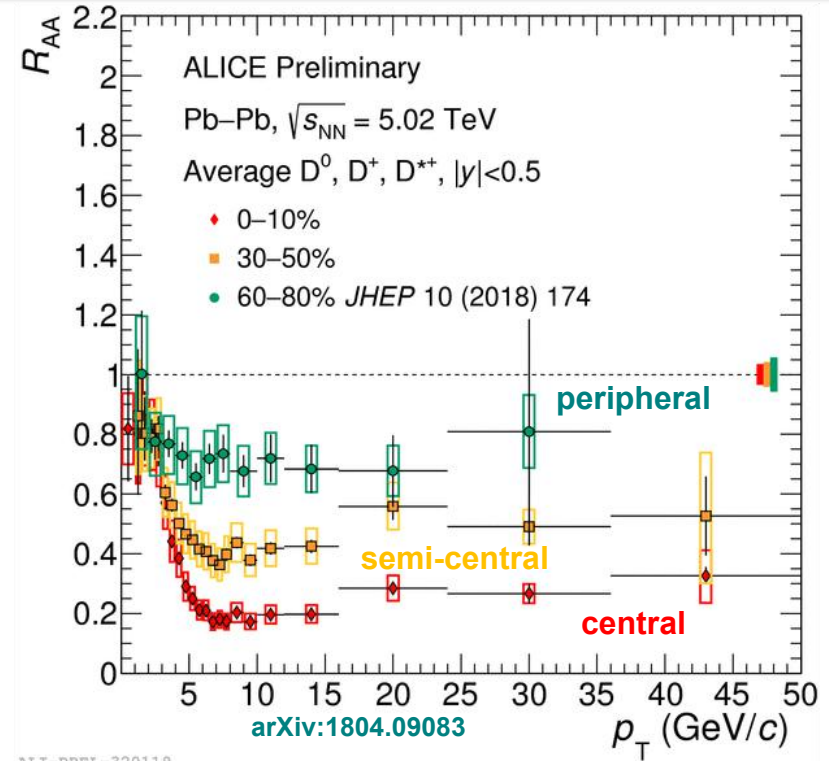
- Does heavy flavour flow?
- In what stage does it pick up flow?
 - Does it thermalize with the medium?
 - Do heavy quarks coalesce with flowing light quarks?



Pb-Pb: Suppression of charm



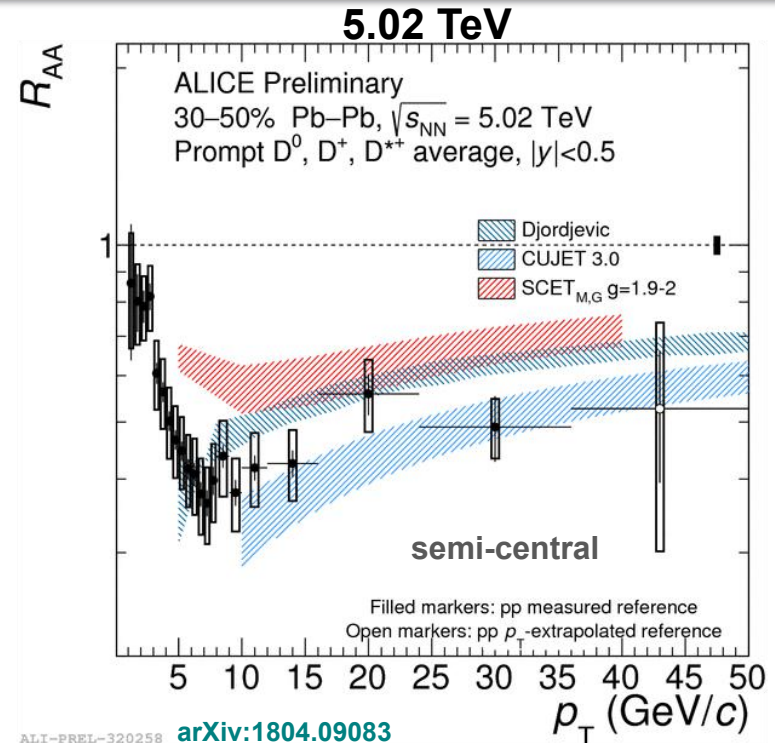
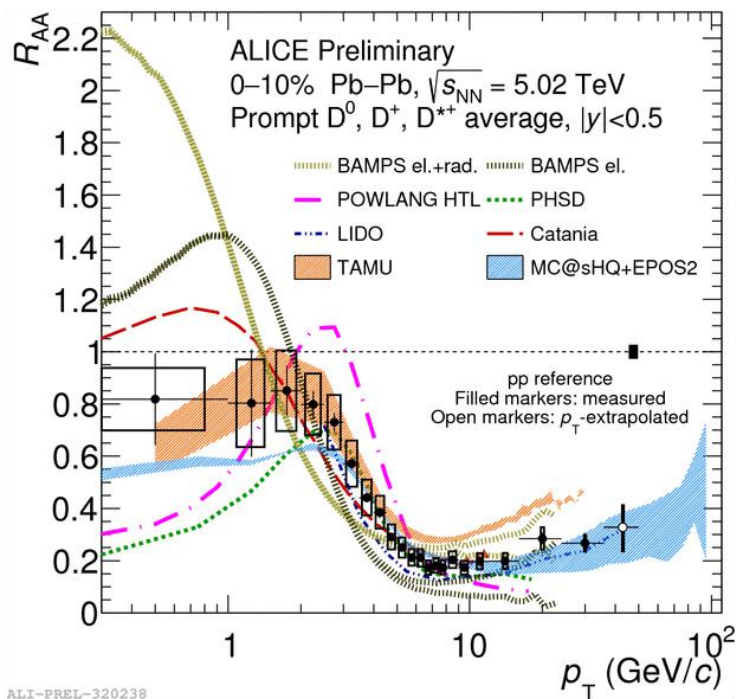
ALI-PREL-330734



ALI-PREL-320119

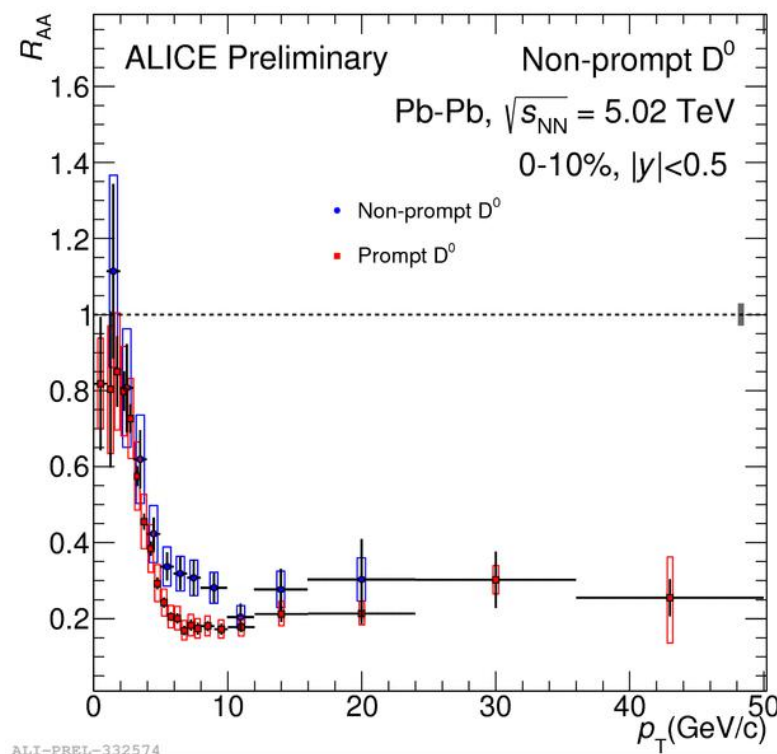
- D^0 measurements down to $p_T \sim 0$
- **High- p_T** : Suppression pattern similar to light flavor
 - **Mass ordering?** Expected $\Delta E_q > \Delta E_c$ but observed $R_{AA}^h \approx R_{AA}^D$
- **Low- p_T** : Charm suppression is significantly weaker than light flavor
 - Coalescence of light and charm quarks?

Pb-Pb: Suppression of D mesons



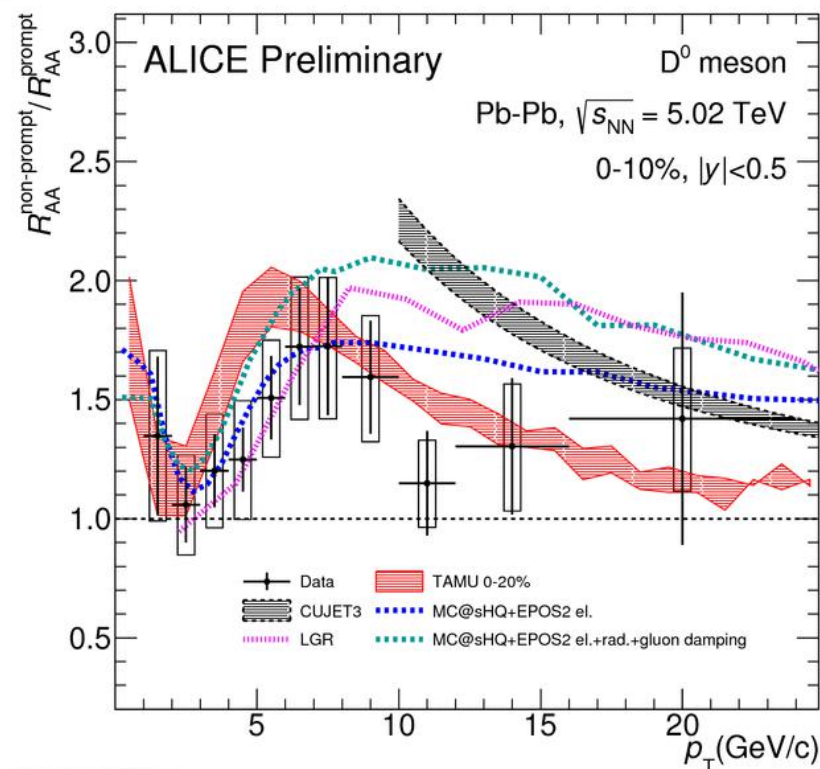
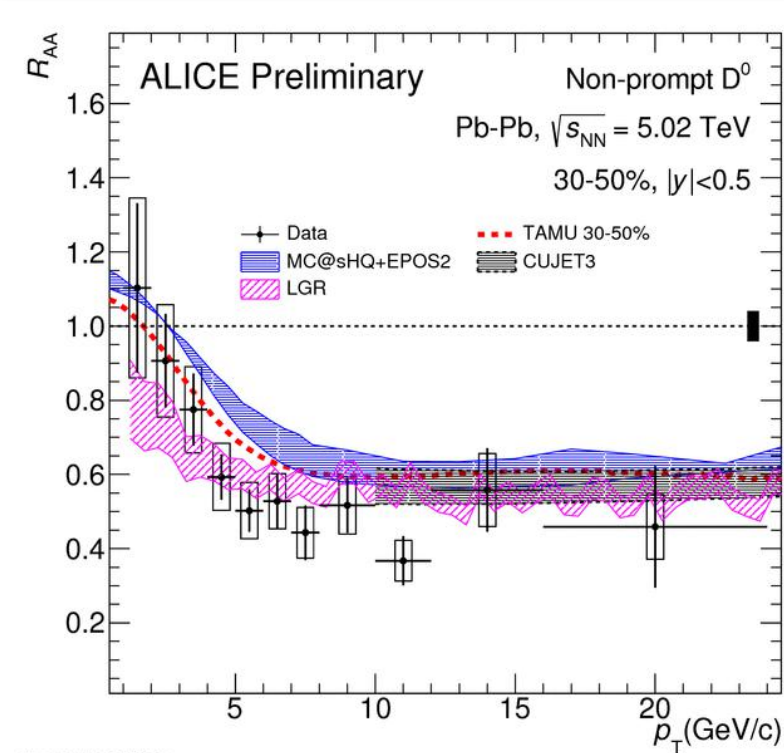
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- **Low- p_T** : Charm suppression is significantly weaker than light flavor
 - **Coalescence of light and charm quarks?**
 - Several models give good description, low discrimination power

Prompt and non-prompt D mesons



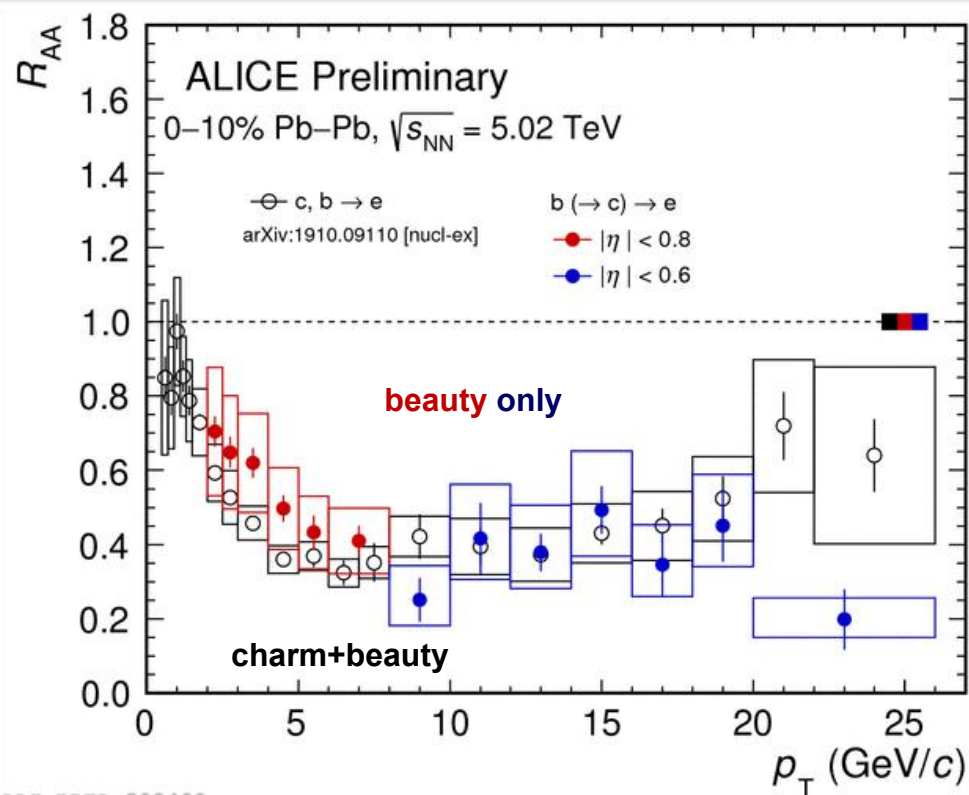
- Non-prompt D mesons: access to beauty suppression in Pb-Pb collisions
 - Intermediate p_T : non-prompt D^0 is less suppressed than prompt D^0

Prompt and non-prompt D mesons



- Non-prompt D mesons: access to beauty suppression in Pb-Pb collisions
 - Intermediate p_T : non-prompt D^0 is less suppressed than prompt D^0
- Calculations including flavour dependent energy loss describe it
 - Ratio helps cancel some of the model and data uncertainties

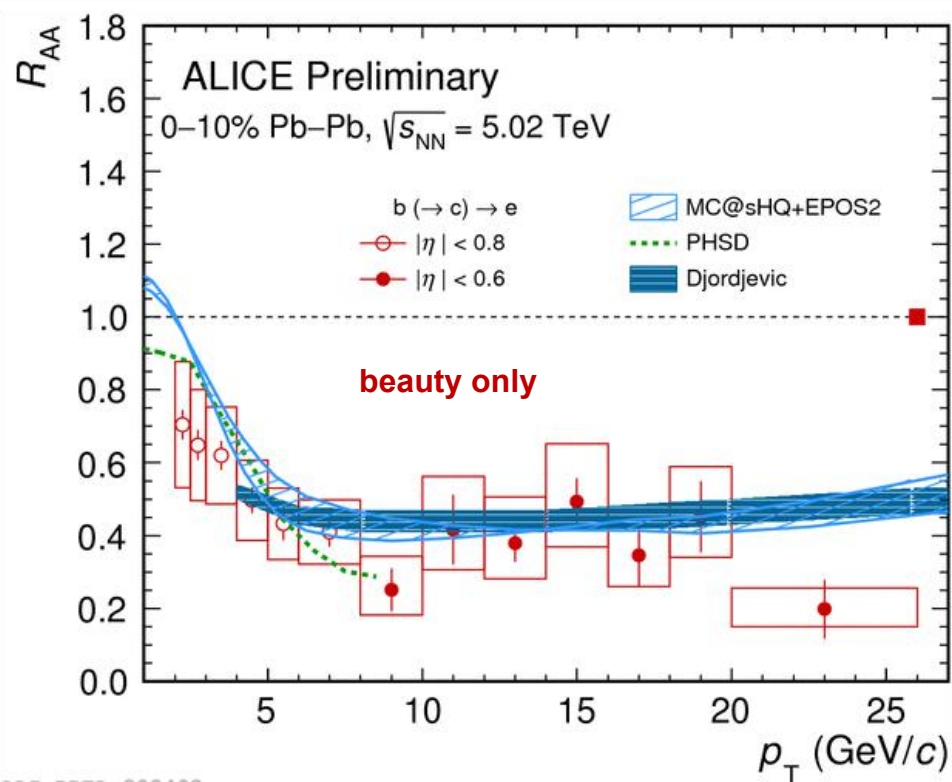
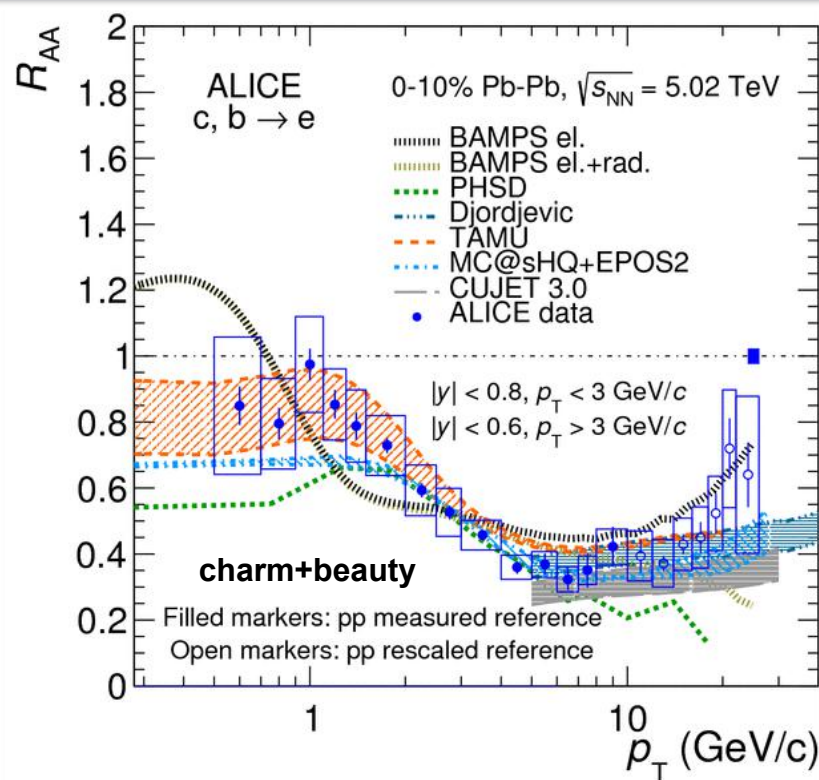
Charm and Beauty - HF electrons



ALI-PREL-308490

- Significant $(c,b) \rightarrow e$ suppression in Pb-Pb collisions from medium to high p_T
 - Note: Results in p-Pb collisions are consistent with unity
- Separated beauty-decay electrons hint a weaker b-quark suppression

Charm and Beauty - HF electrons

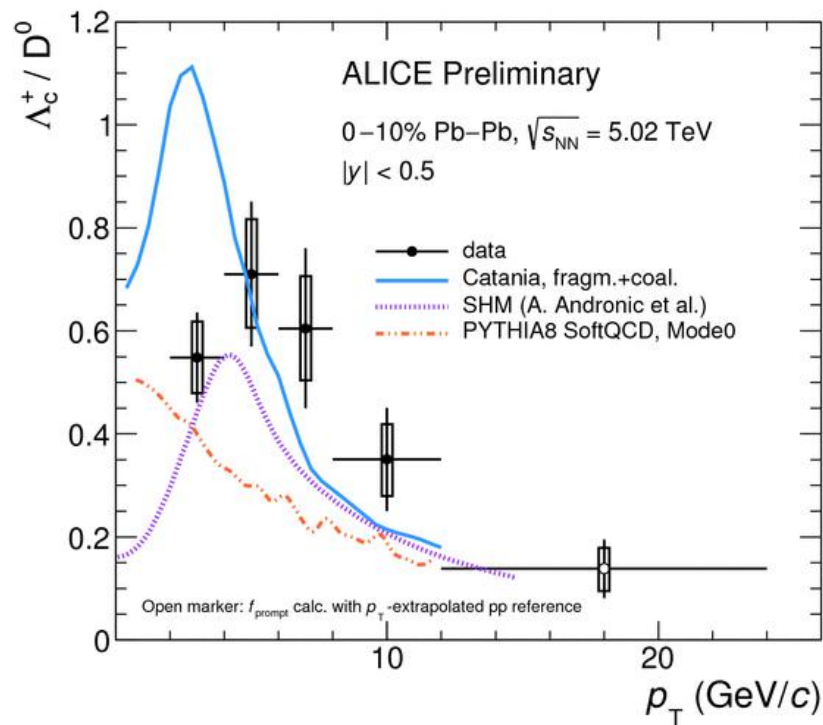
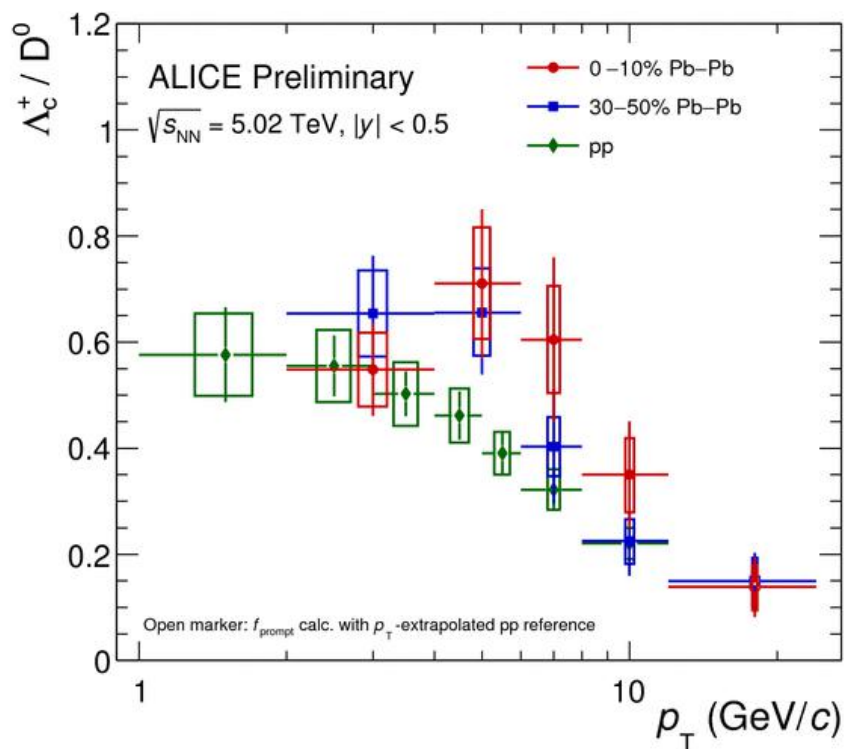


ALI-PUB-327783

ALI-PREL-308498

- Significant (c,b) \rightarrow e suppression in Pb-Pb collisions from medium to high p_T
 - Note: Results in p-Pb collisions are consistent with unity
- Separated beauty-decay electrons hint a weaker b-quark suppression
- Models describe both (c,b) \rightarrow e and b(\rightarrow c) \rightarrow e within uncertainties
 - Difference understood by quark mass dependent energy loss

Production of Λ_c in Pb-Pb collisions

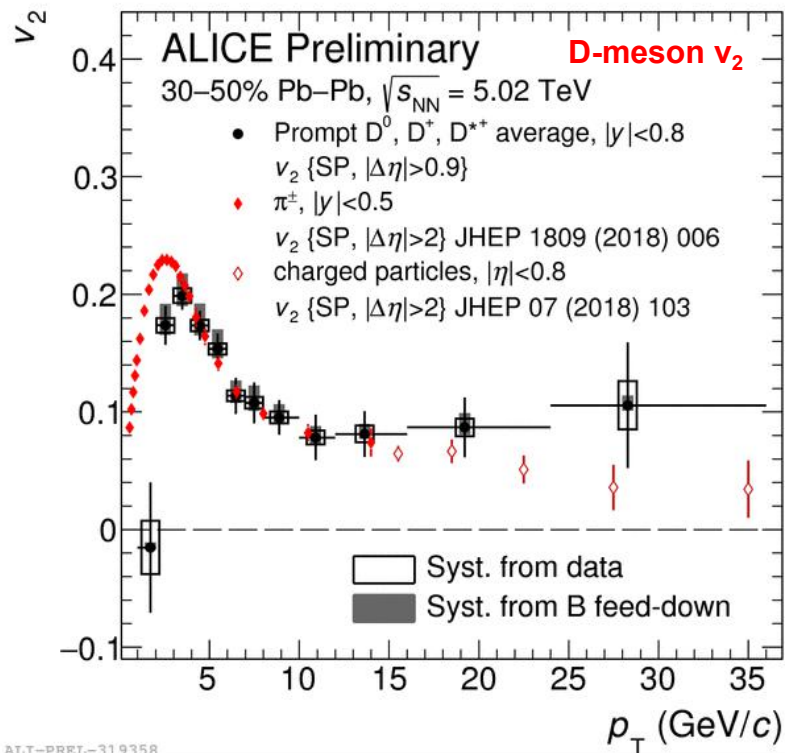


ALI-PREL-323761

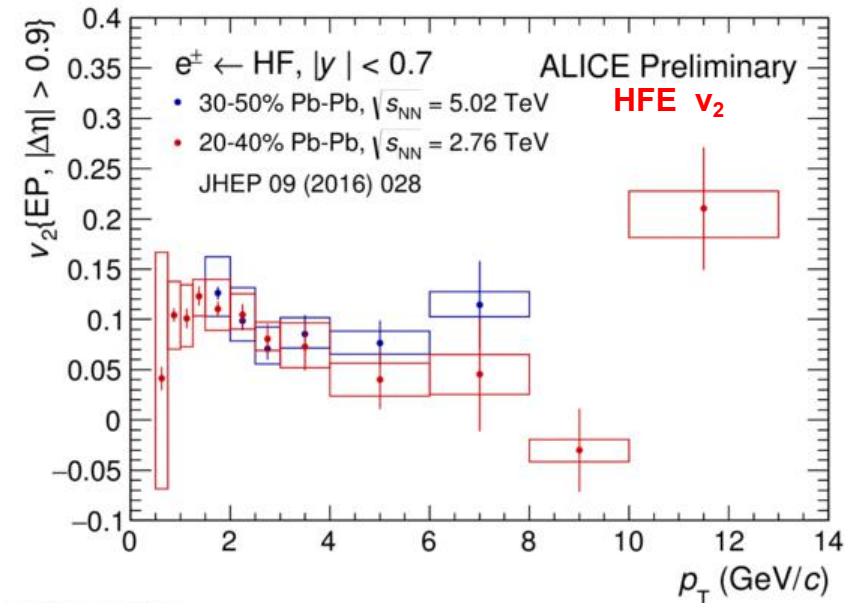
ALI-PREL-325749

- Charged baryon/meson ratio Λ_c/D_0
 - mid- p_T : tendency of moderate increase from pp to central Pb-Pb collisions
 - Models include recombination follow the same trend as data
- Hint of baryon to meson enhancement

Heavy-flavor azimuthal anisotropy



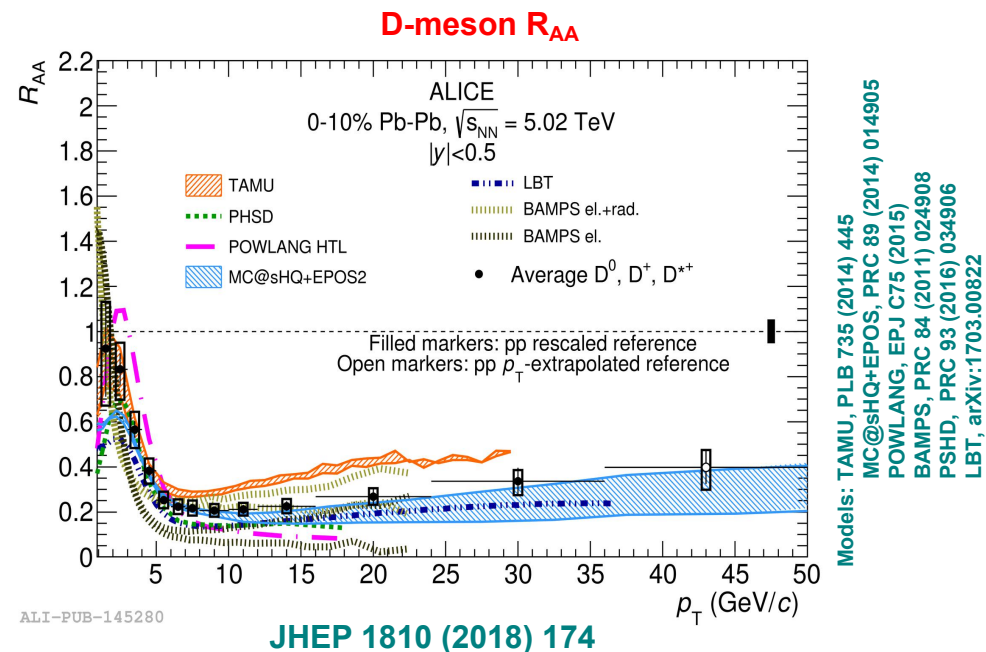
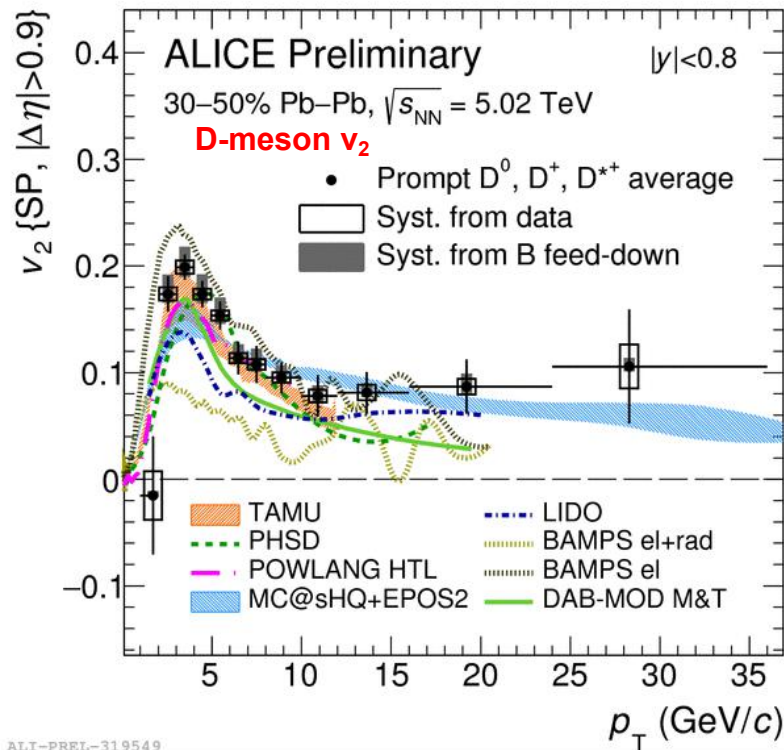
ALI-PREL-319358



ALI-PREL-126507

- **D mesons flow:** A significant v_2 of D mesons is observed at the LHC
 - D-meson v_2 is qualitatively similar to **charged particle v_2** at $\sqrt{s_{NN}}=5.02$ TeV
- **Heavy-flavor electrons flow:** A significant v_2 observed at the LHC
 - HFE v_2 at $\sqrt{s_{NN}}=2.76$ TeV and $\sqrt{s_{NN}}=5.02$ TeV agree within uncertainties

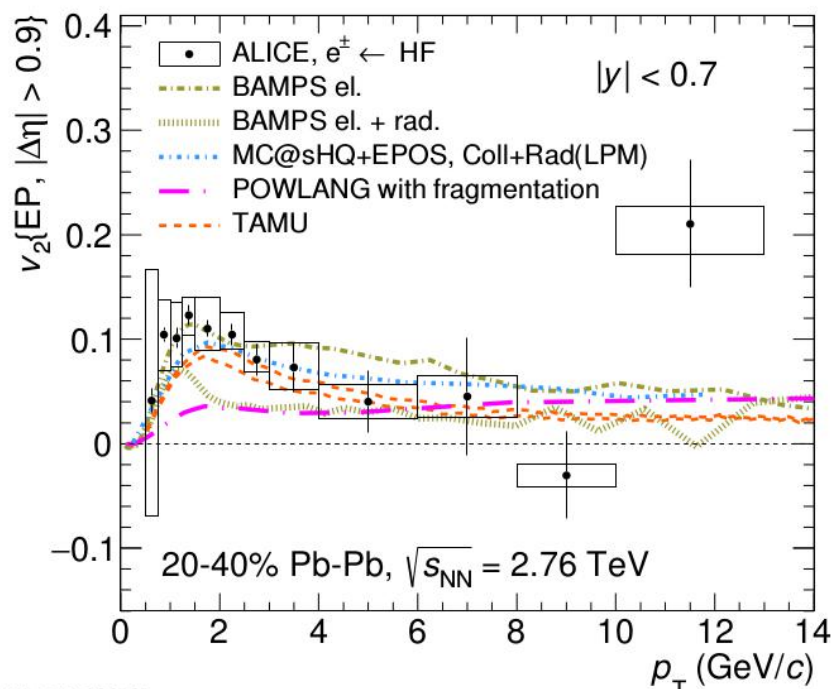
Azimuthal anisotropy of D: and R_{AA}



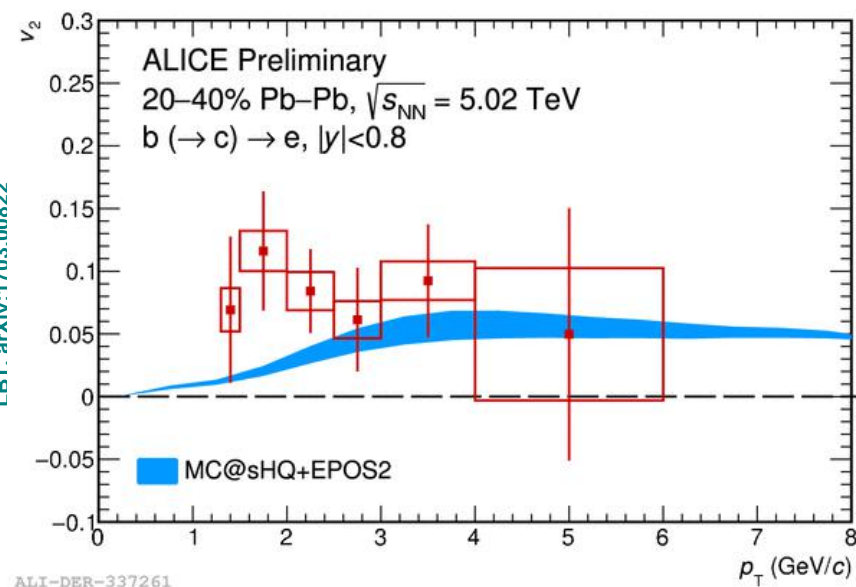
- **D mesons flow:** A significant v_2 of D mesons is observed at the LHC
 - D-meson v_2 is qualitatively similar to charged particle v_2 at $\sqrt{s_{NN}}=5.02$ TeV
- Models in which charm picks up **flow via recombination or collisional energy loss** do better in reproducing R_{AA} and v_2 simultaneously

R_{AA} and v_2 together provide strong constraints on models

Azimuthal anisotropy of HFE: c vs. b



Models: TAMU, PLB 735 (2014) 445
 MC@sHQ+EPOS, PRC 89 (2014) 014905
 POWLANG, EPJ C75 (2015)
 BAMPS, PRC 84 (2011) 024908
 PSHD, PRC 93 (2016) 034906
 LBT. arXiv:1703.00822



ALI-DER-337261

ALI-PUB-106765

JHEP 1609 (2016) 028

- HFE: significant v_2 of both the charm and beauty contributions**
 - Several models describe **HFE** v_2 (charm and beauty contributions)
 - Separated beauty-electron contribution** to the v_2 qualitatively similar

Summary

QCD vacuum: pp collisions at $\sqrt{s}=5.02, 7, 8$ and 13 TeV

- *D-meson, HFE, HFM spectra* adequately described by pQCD models
- *HF-tagged jets*: information about fragmentation, model development
- *Charmed baryons*: Unexpected enhancement, recent model explanation
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Nuclear modification in p-Pb collisions at $\sqrt{s_{NN}}=5.02$ TeV

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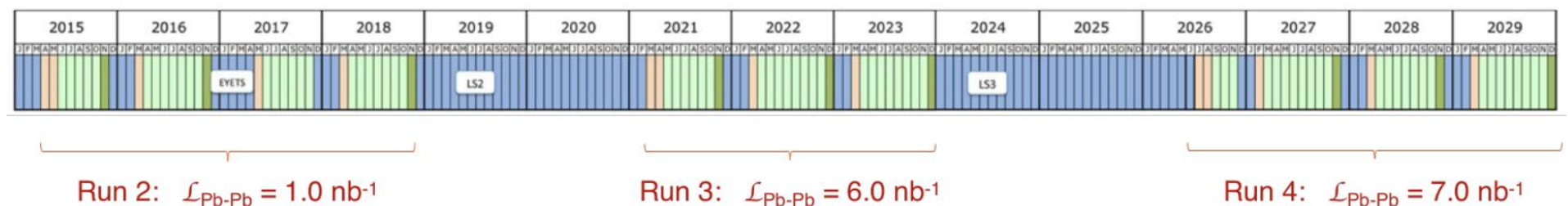
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Medium effects in Pb-Pb collisions at $\sqrt{s_{NN}}=5.02$ TeV

- ***Energy loss***: No ordering in high- p_T suppression: $R_{AA}^{\pi} \approx R_{AA}^{\Lambda_c}$
Ordering at lower p_T ranges: $R_{AA}^{b \rightarrow e} > R_{AA}^{b,c \rightarrow e}$
- ***Collectivity and coalescence***:
 - R_{AA} at low p_T hints coalescence with the flowing medium
 - Significant azimuthal anisotropy $\rightarrow v_2$ & R_{AA} *constrain models*
- Λ_c : HF Barion over meson enhancement hinted by data

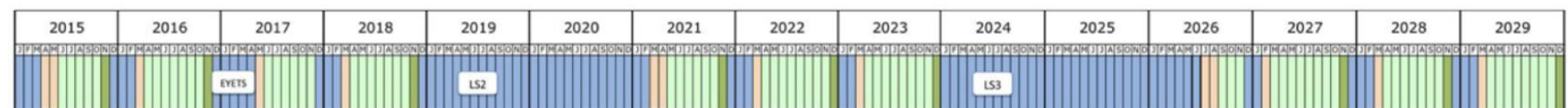
ALICE Upgrade for Run-3 and Run-4



- Up to 50 kHz Pb-Pb interaction rate
- Requested Pb-Pb luminosity: 13 nb⁻¹ (50-100x Run2 Pb-Pb)
- Improved tracking efficiency and resolution at low pT
- Detector upgrades: ITS, TPC, MFT, FIT
- Faster, continuous readout



ALICE Upgrade for Run-3 and Run-4



Run 2: $\mathcal{L}_{\text{Pb-Pb}} = 1.0 \text{ nb}^{-1}$

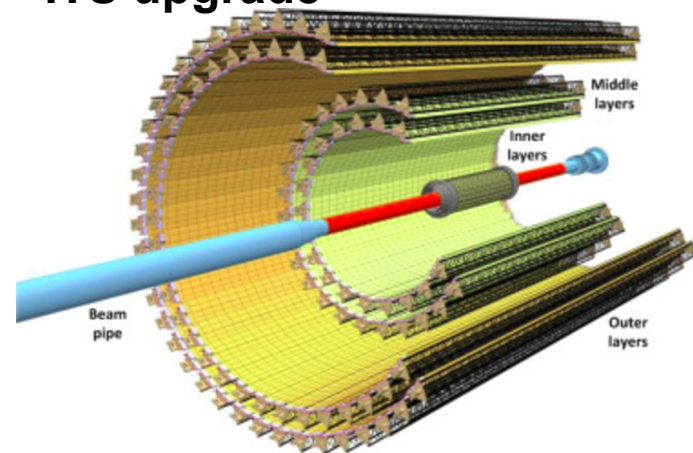
Run 3: $\mathcal{L}_{\text{Pb-Pb}} = 6.0 \text{ nb}^{-1}$

Run 4: $\mathcal{L}_{\text{Pb-Pb}} = 7.0 \text{ nb}^{-1}$

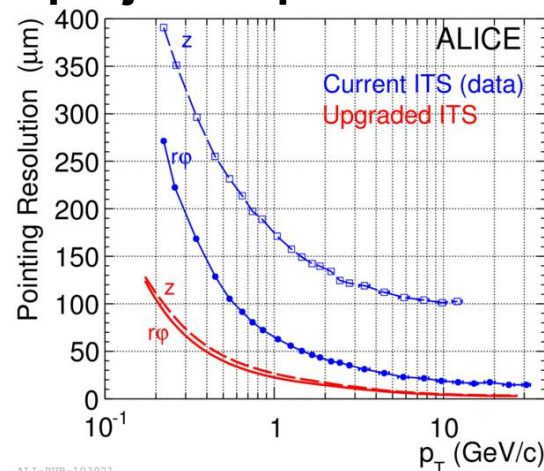


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ITS upgrade

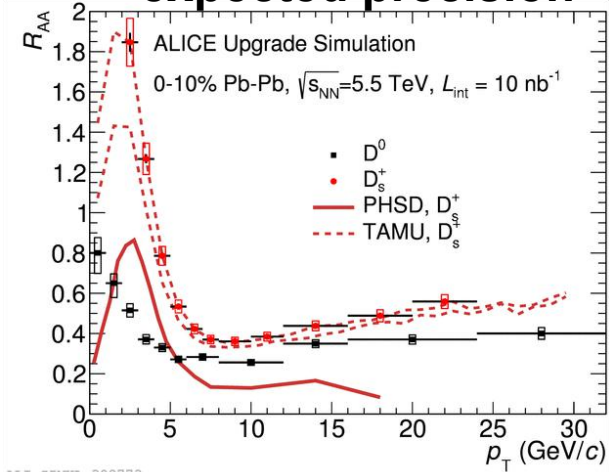


projected performance



ALI-PUB-103021

expected precision



ALI-SIMUL-308773

Thank you!



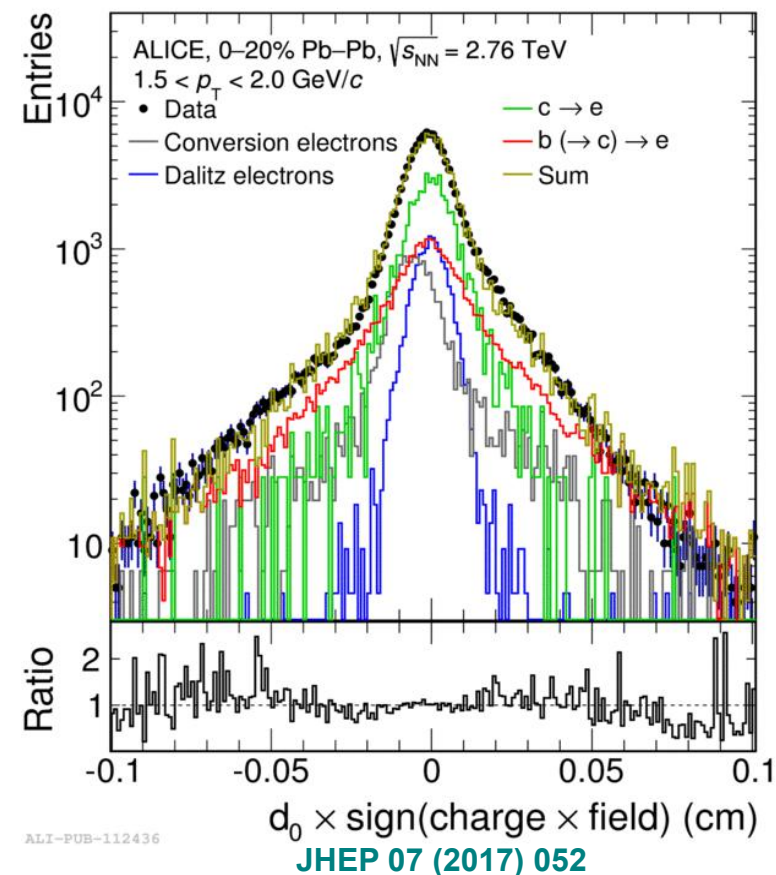
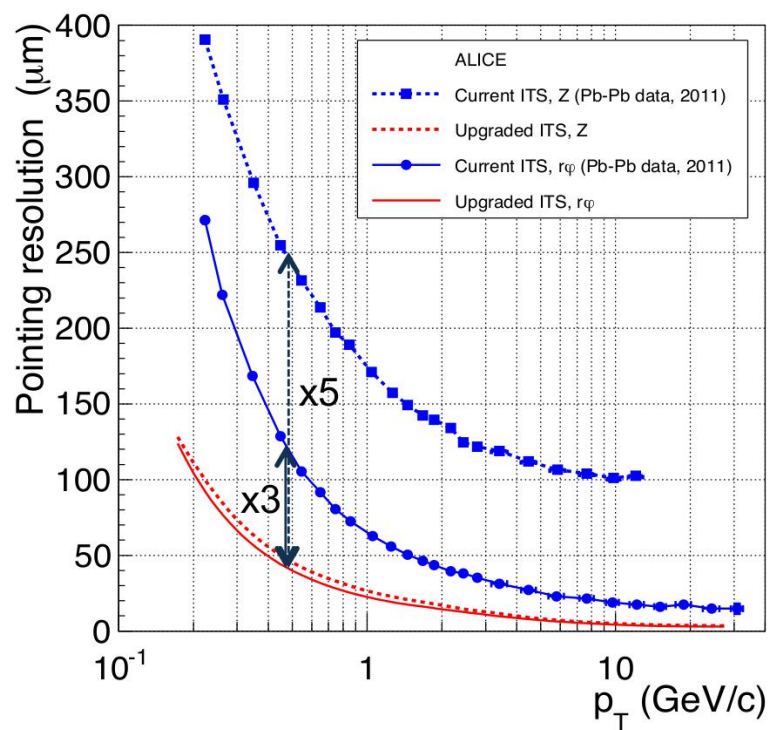
Physics reach after LS2 (2019-20)

Observable	Current, 0.1 nb ⁻¹		Upgrade, 10 nb ⁻¹	
	p_T^{\min} (GeV/c)	statistical uncertainty	p_T^{\min} (GeV/c)	statistical uncertainty
Heavy Flavour				
D meson R_{AA}	1	10 %	0	0.3 %
D_s meson R_{AA}	4	15 %	< 2	3 %
D meson from B R_{AA}	3	30 %	2	1 %
J/ ψ from B R_{AA}	1.5	15 % ($p_{T-int.}$)	1	5 %
B^+ yield	not accessible		3	10 %
Λ_c R_{AA}	not accessible		2	15 %
Λ_c/D^0 ratio	not accessible		2	15 %
Λ_b yield	not accessible		7	20 %
D meson v_2 ($v_2 = 0.2$)	1	10 %	0	0.2 %
D_s meson v_2 ($v_2 = 0.2$)	not accessible		< 2	8 %
D from B v_2 ($v_2 = 0.05$)	not accessible		2	8 %
J/ ψ from B v_2 ($v_2 = 0.05$)	not accessible		1	60 %
Λ_c v_2 ($v_2 = 0.15$)	not accessible		3	20 %
Dielectrons				
Temperature (intermediate mass)	not accessible			10 %
Elliptic flow ($v_2 = 0.1$) [4]	not accessible			10 %
Low-mass spectral function [4]	not accessible		0.3	20 %
Hypernuclei				
${}^3_\Lambda\text{H}$ yield	2	18 %	2	1.7 %

ITS performance

- Semiconducting technology
- Resolves secondary vertex

heavy quark lifetimes: $c\tau(\mathbf{D}) \sim 100\text{-}300 \text{ mm}$
 $c\tau(\mathbf{B}) \sim 400\text{-}500 \text{ mm}$
 Secondary vertex resolution: $\sim 100 \text{ mm}$

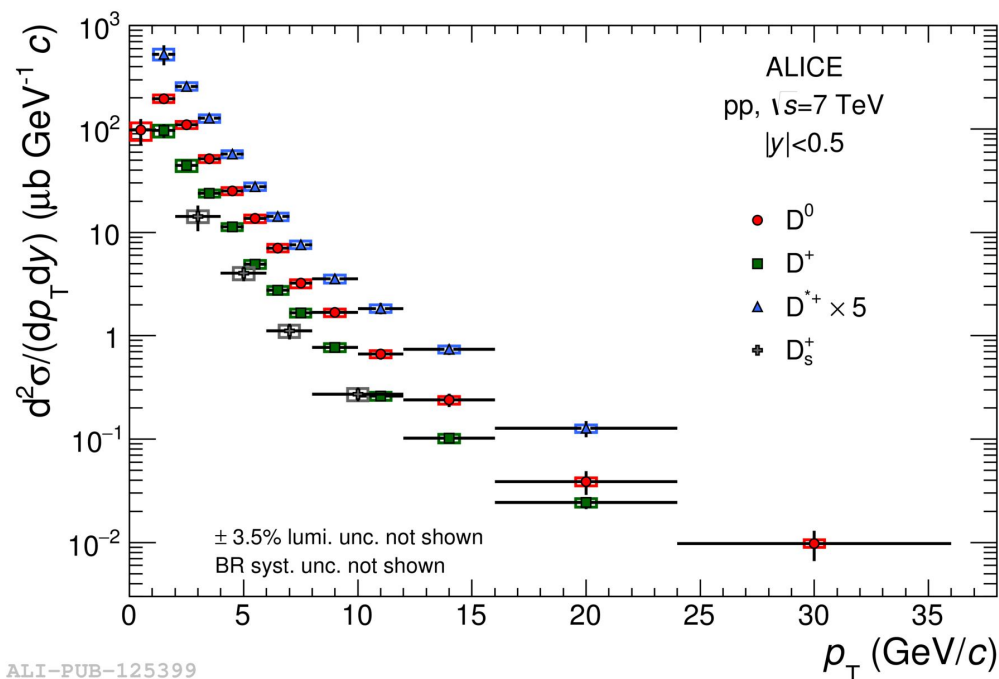


Distribution of electron track DCA (distance of closest approach to primary vertex).

MC template fitting allows for statistical separation of charm and beauty contributions.

p_T spectrum of D mesons

Eur.Phys.J. C77 (2017) 550



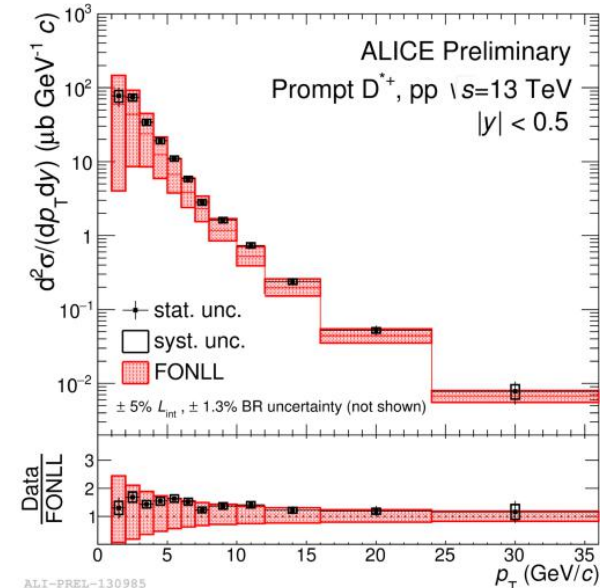
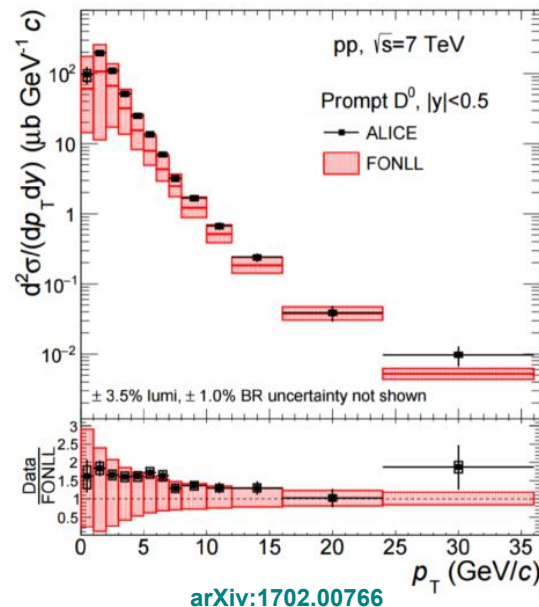
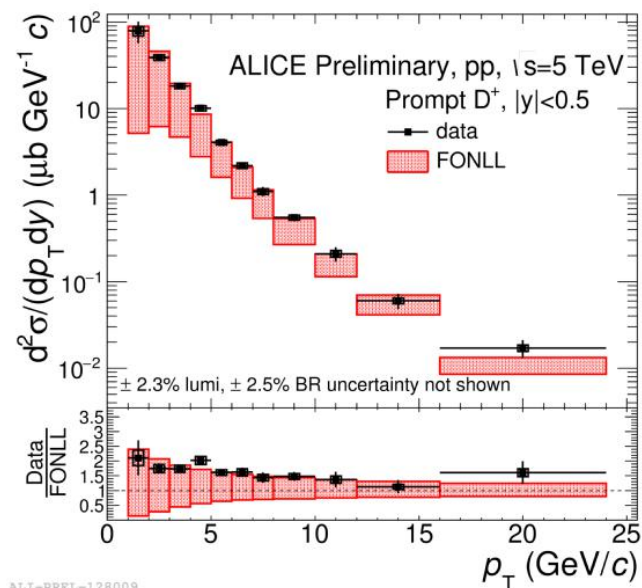
$D^0 \rightarrow K^- \pi^+$	BR ~ 3.9%
$D^{*+} \rightarrow D^0 (\rightarrow K^- \pi^+) \pi^+$	BR ~ 2.6%
$D^+ \rightarrow K^- \pi^+ \pi^+$	BR ~ 9.5%
$D_s^+ \rightarrow \Phi (\rightarrow K^+ K^-) \pi^+$	BR ~ 2.3%

ALI-PUB-125399

**Recent high-precision measurements in pp at $\sqrt{s}=7$ GeV:
Reference for heavier systems (p-Pb and Pb-Pb)**

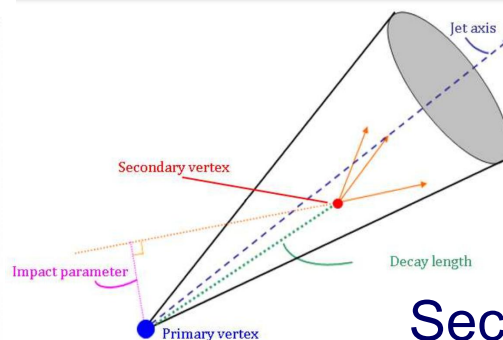
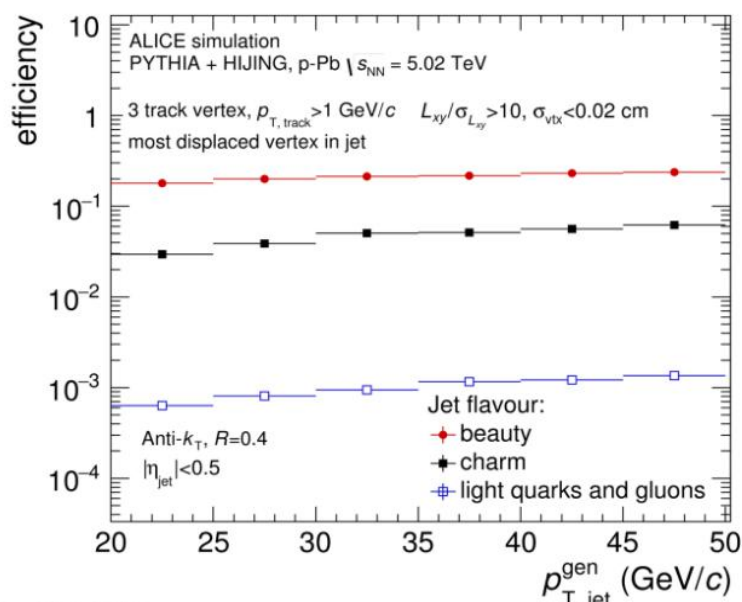
- D^0 at very low p_T (<1 GeV/c): PID only, no vertex reconstruction or topological cuts

D mesons at different energies (pp)



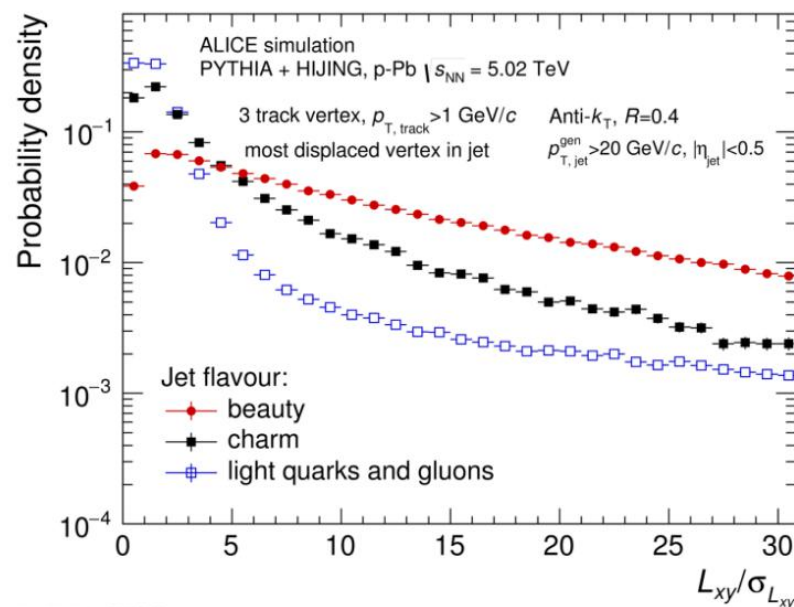
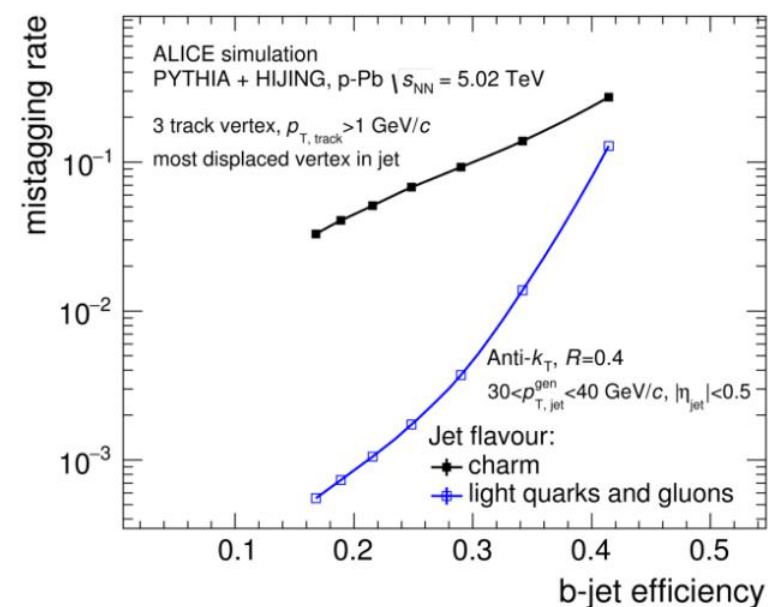
- D-meson production cross section
- Down to $p_T = 0$ for D^0 at 7 TeV
- pQCD calculations describe the data within uncertainties
- data uncertainties much lower than theoretical one

b-jet tagging performance

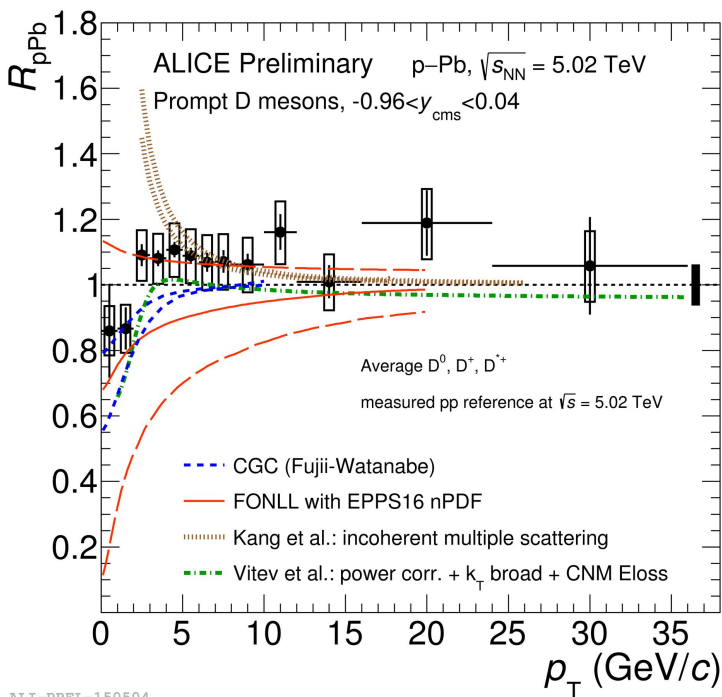


Secondary vertex method

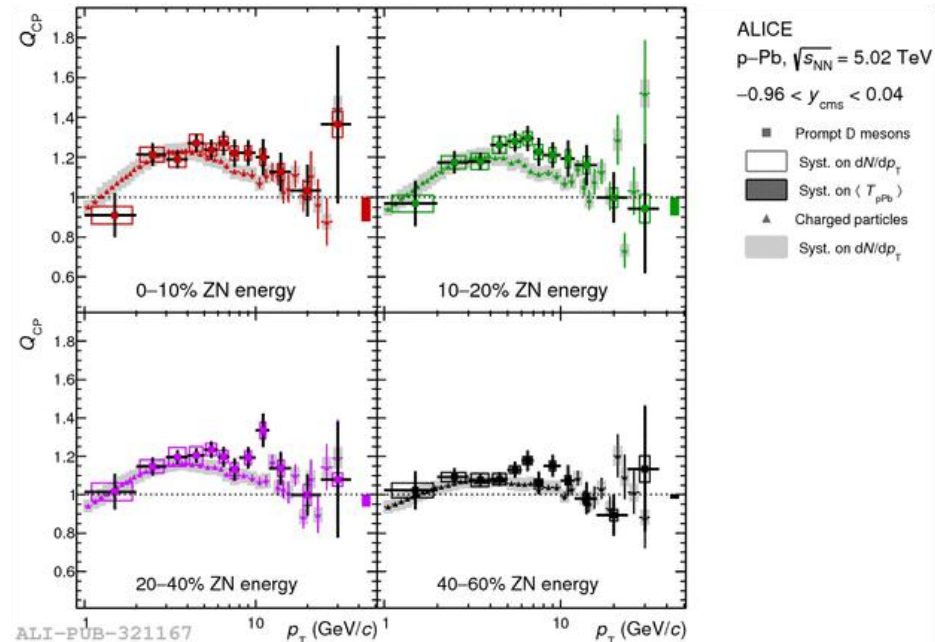
- L_{xy} : projection of decay length on the (x,y) plane
- $L_{xy}/\sigma_{L_{xy}}$: significance of L_{xy}
- σ_{vtx} : secondary vertex dispersion



CNM effects in p-Pb collisions?

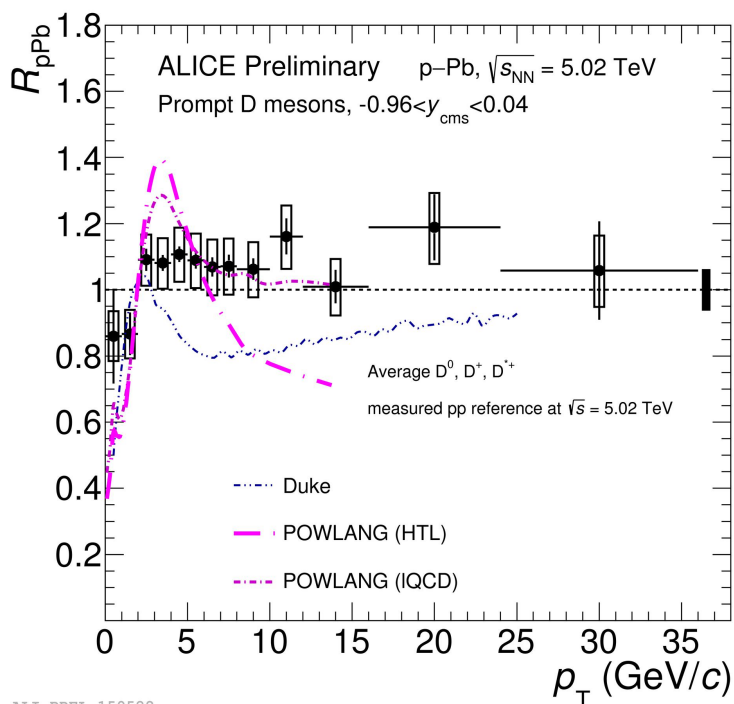


Models:
 CGC, arXiv:1308.1258
 MNR: NPB 373 (1992) 295
 Vitev, PRC 75 (2007) 064906
 Kang, PLB 740, 23 (2015)

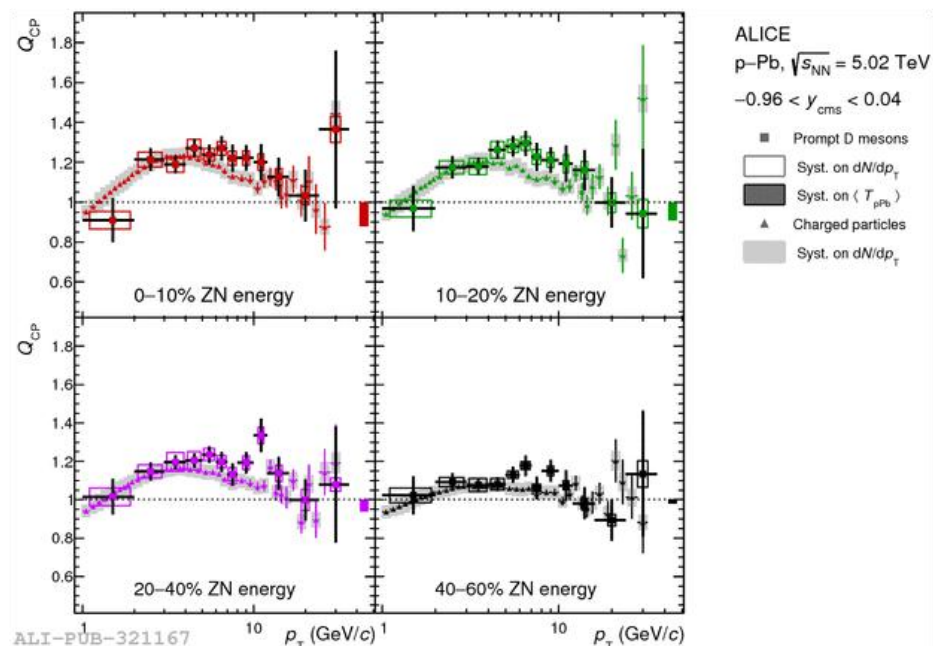


- **D-meson production in p-Pb collisions:**
 - **No modification w.r.t. pp collisions within uncertainties**
 - No indication of CNM effects from intermediate to high p_T
 - Data described by several models containing CNM effects
- **Hint of $Q_{CP} > 1$ for central collisions (1.5σ at $3 < p_T < 8$ GeV/c)**
 - similar to light hadrons
 - Radial flow? Initial or final-state effect?

Hot effects in p-Pb collisions?

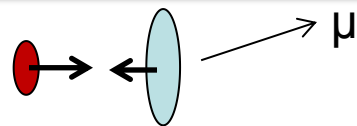


Models:
 Duke, NPP 276 (2016) 225
 Powlang, JHEP 03 (2016) 123

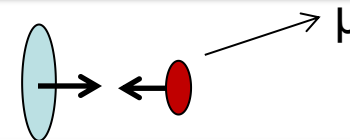


- D-meson production in p-Pb collisions:
 - No modification w.r.t. pp collisions within uncertainties
 - No indication of CNM effects from intermediate to high p_T
 - Data described by several models containing CNM effects
- **A model including small-volume QGP formation also describes data (but not favored by)**

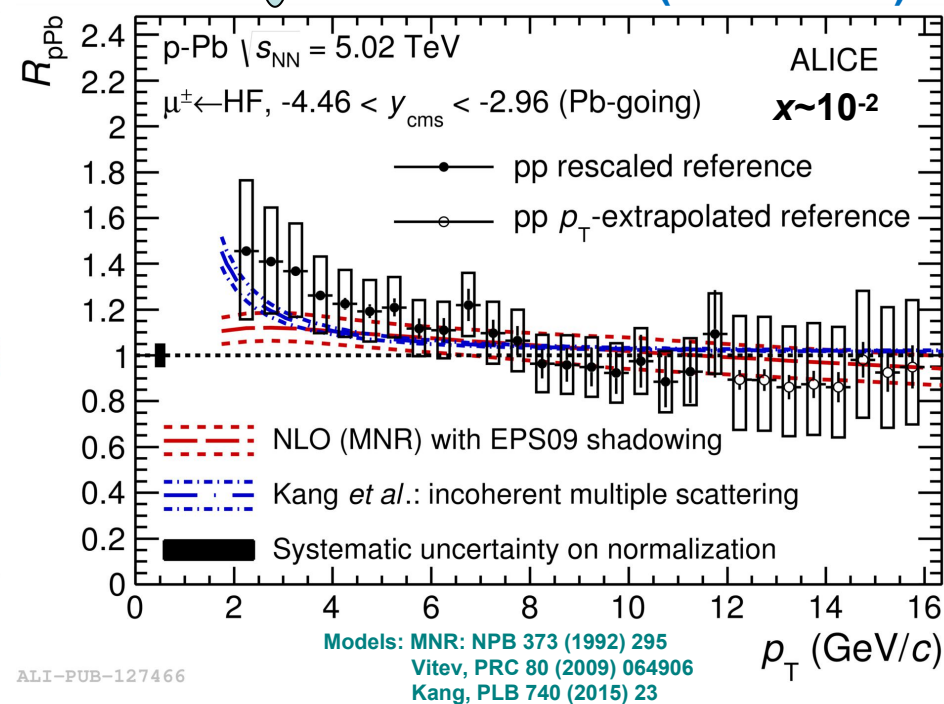
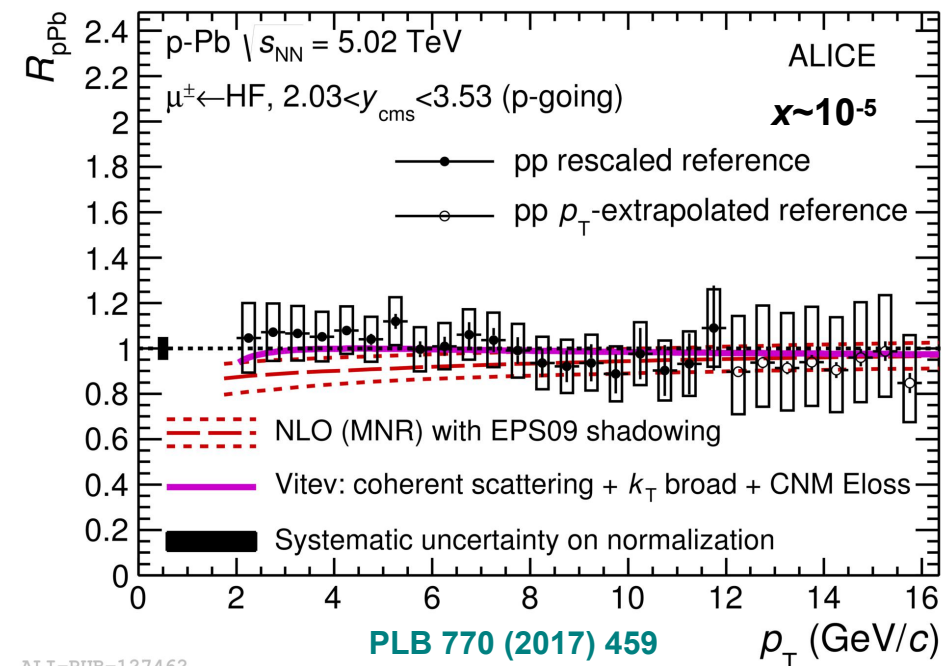
CNM effects - Forward, backward



**p-going
(forward)**

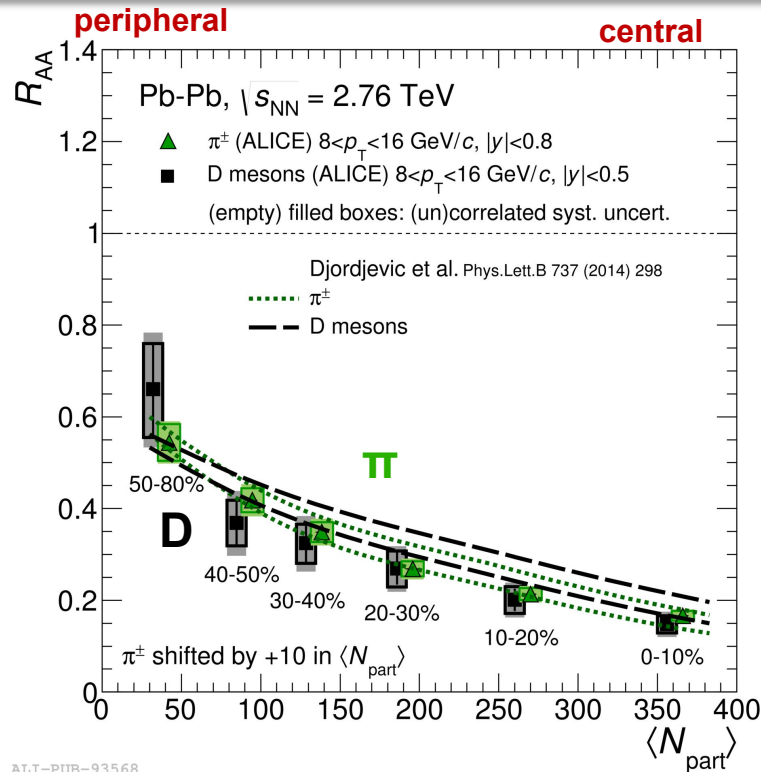


**Pb-going
(backward)**

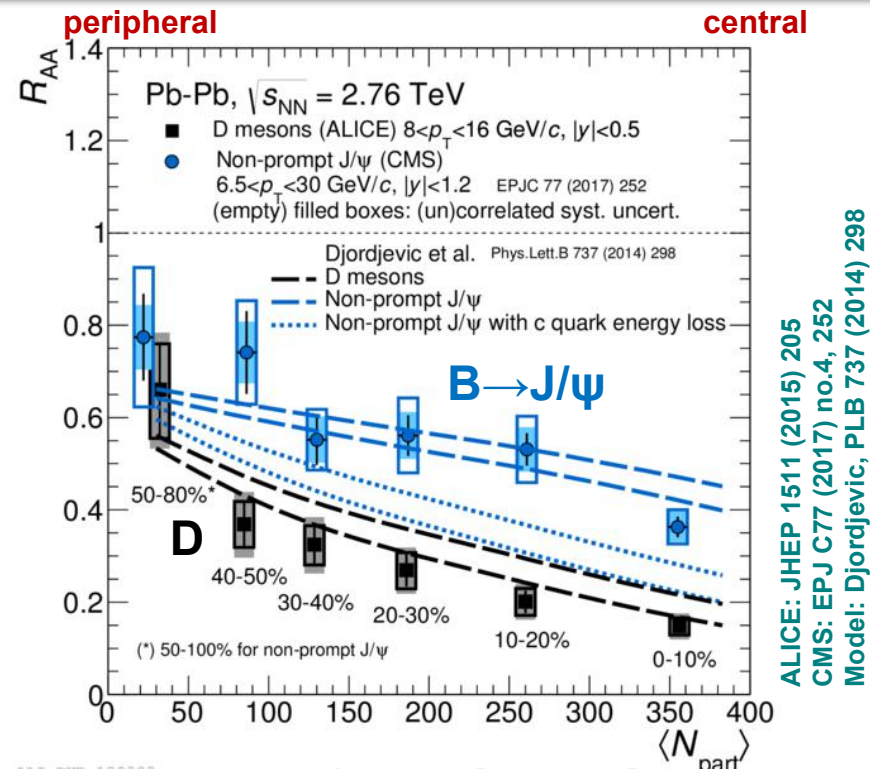


- Heavy-flavour decay muons probe the nPDFs at different x values
- Forward production is consistent with no nuclear modification
- **Hint of an enhancement of HF muons at backward rapidity at low p_T**
- Measurements described by models within uncertainties

Flavour/mass dependence - hadrons



$$R_{AA}^h \approx R_{AA}^D$$



$$R_{AA}^h \approx R_{AA}^D < R_{AA}^B$$

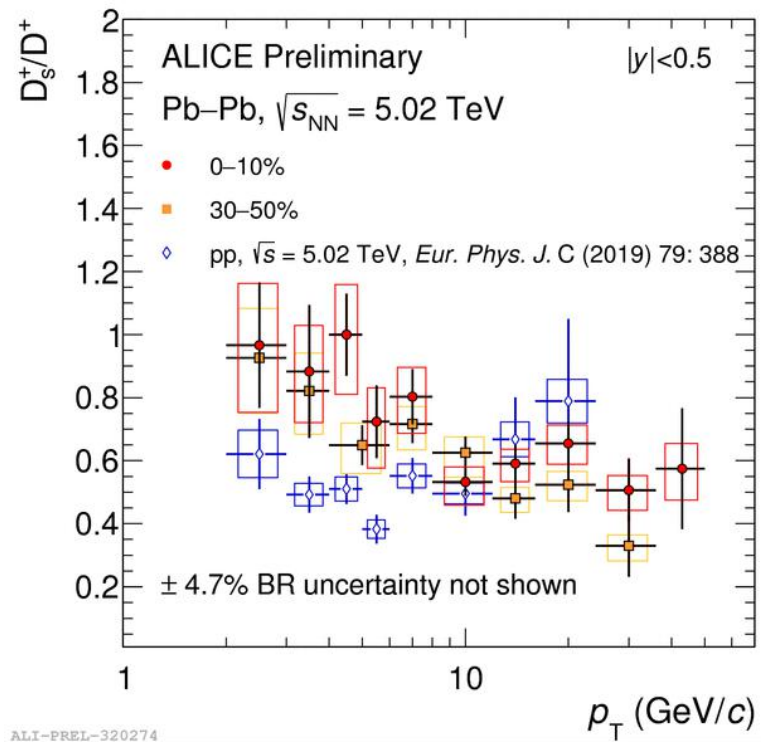
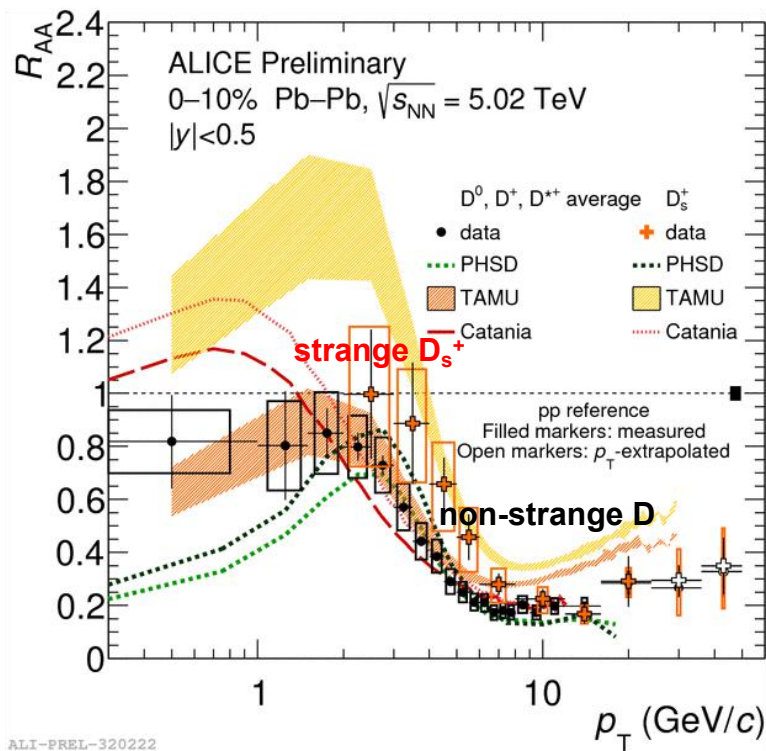
- **D-meson** suppression at high p_T consistent with **pions**

Understanding: different fragmentation, p_T -spectrum shape, color charge effects level out expected ordering

- **B \rightarrow J/ ψ** suppression at high p_T is weaker (*note the $|y|$ range*)

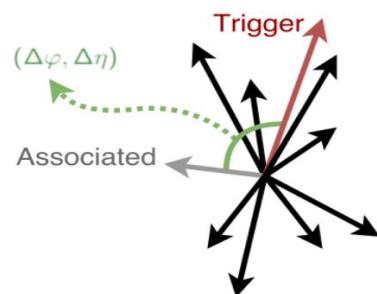
Model understanding: different parton masses cause different energy loss in similar kinematic range

Coalescence of strange and charm

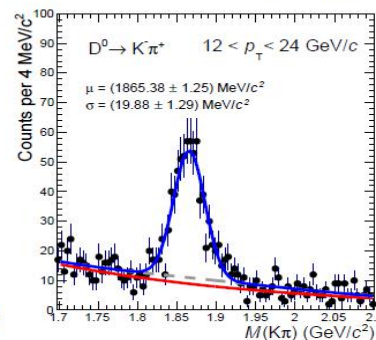
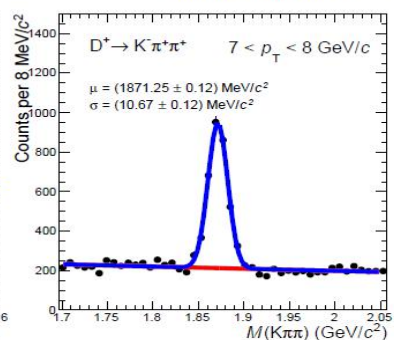
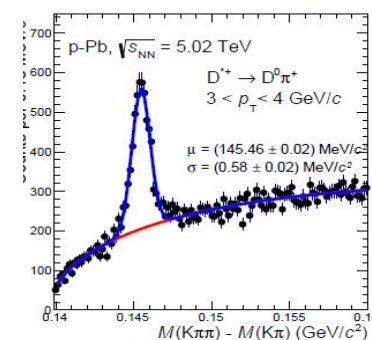
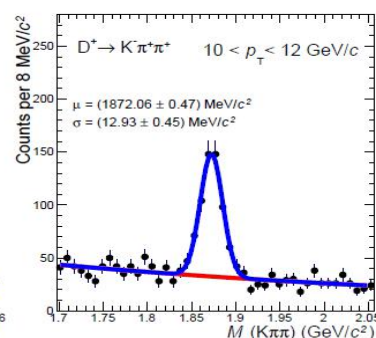
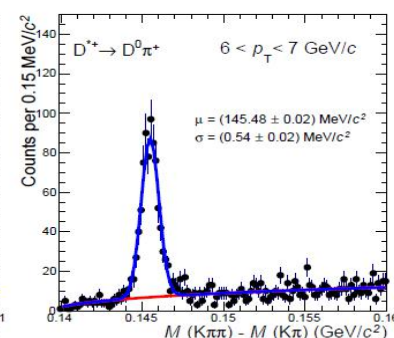
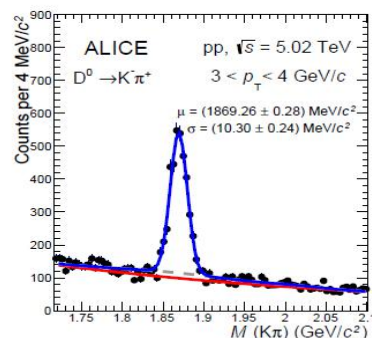
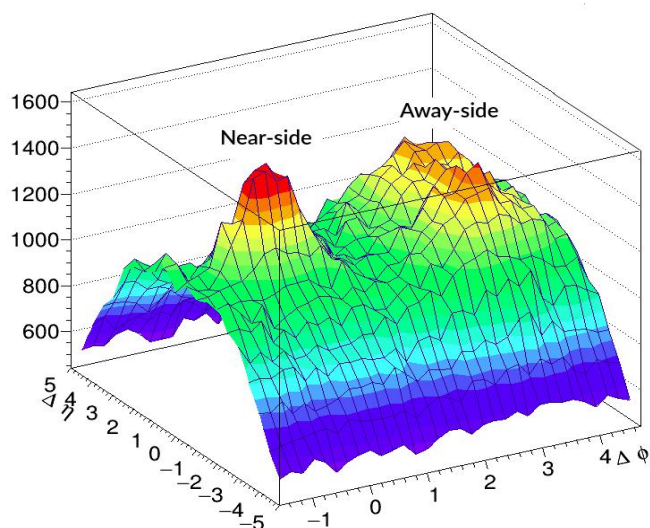


- Strangeness enhancement expected to show up in coalescence
- Hint of a weaker D_s suppression than for non-strange D mesons
 - No evidence of centrality-dependence
- Consistent with a strangeness-enhancement scenario with coalescence

D-h correlations - reconstruction



$$\frac{1}{N_{trigger}} \frac{d^2 N_{assoc}}{d\Delta\varphi d\Delta\eta}$$



arXiv:1910.14403

$$\bar{c}_{inclusive}(\Delta\varphi, \Delta\eta) = \frac{p_{prim}(\Delta\varphi)}{S_{peak}} \left(\frac{C(\Delta\varphi, \Delta\eta)}{ME(\Delta\varphi, \Delta\eta)} \Big|_{peaks} - \frac{B_{peak}}{B_{sidebands}} \frac{C(\Delta\varphi, \Delta\eta)}{ME(\Delta\varphi, \Delta\eta)} \Big|_{sidebands} \right)$$

E Frajna (ALICE),

<https://indico.cern.ch/event/867085/contributions/3656153>

Comparison to Monte Carlo simulations (near-side)

PYTHIA6: LO generator with initial and final state parton shower, Lund string fragmentation.

PYTHIA8: also includes multiple-parton interactions and improved colour reconnection description.

HERWIG 7: NLO including heavy flavor, cluster hadronisation model, the showering ordering is different from PYTHIA (angular ordering with respect to p_T ordering).

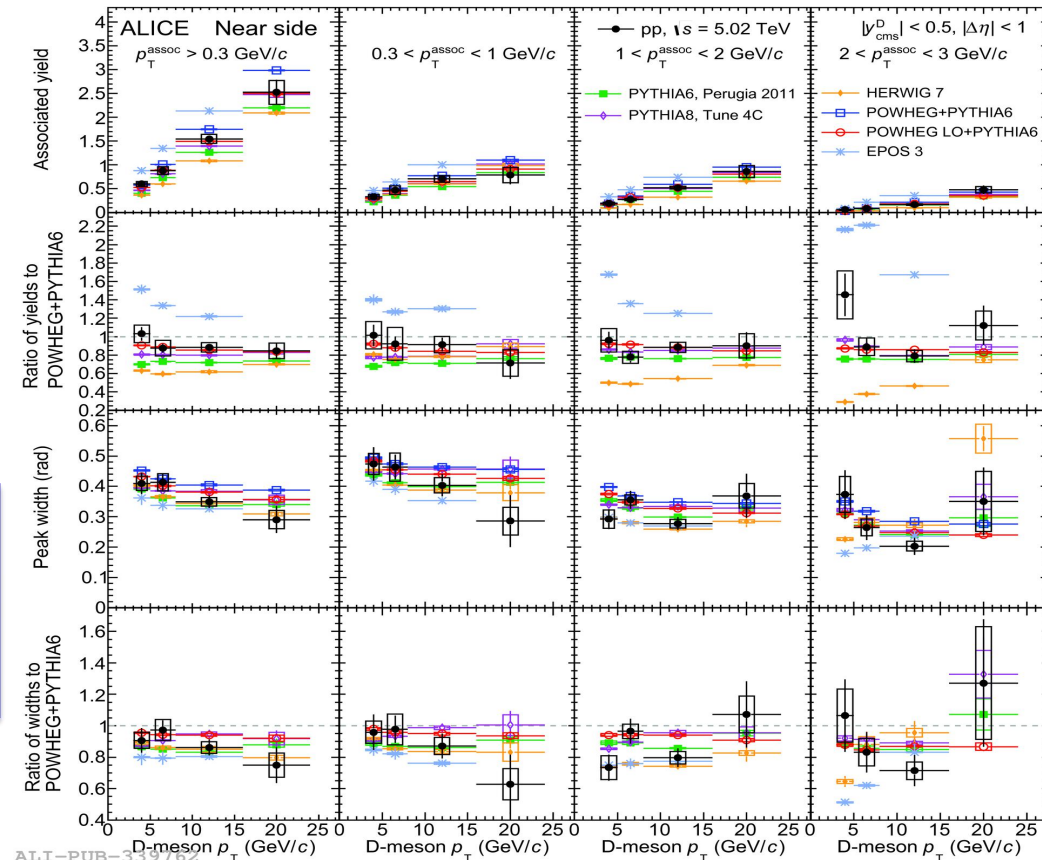
POWHEG+PYTHIA: NLO calculation of hard processes, followed by Lund fragmentation.

POWHEG LO+PYTHIA: hard process stopped at the LO level, Lund fragmentation.

EPOS3: 3D+1 viscous hydrodynamical evolution starting from flux tube initial conditions, which are generated in the Gribov-Regge multiple scattering framework.

Near-side and away-side: sensitivity to fragmentation and parton shower

- Best description by POWHEG+PYTHIA6, POWHEG LO+PYTHIA6 and PYTHIA8 & Yields typically underestimated by HERWIG & NLO models predict slightly broader peaks & EPOS3 typically overpredicts the yields



ALI-PUB-339762

arXiv:1910.14403

Comparison to Monte Carlo simulations (away-side)

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HERWIG 7: NLO including heavy flavor, cluster hadronisation model, the showering ordering is different from PYTHIA (angular ordering with respect to p_T ordering).

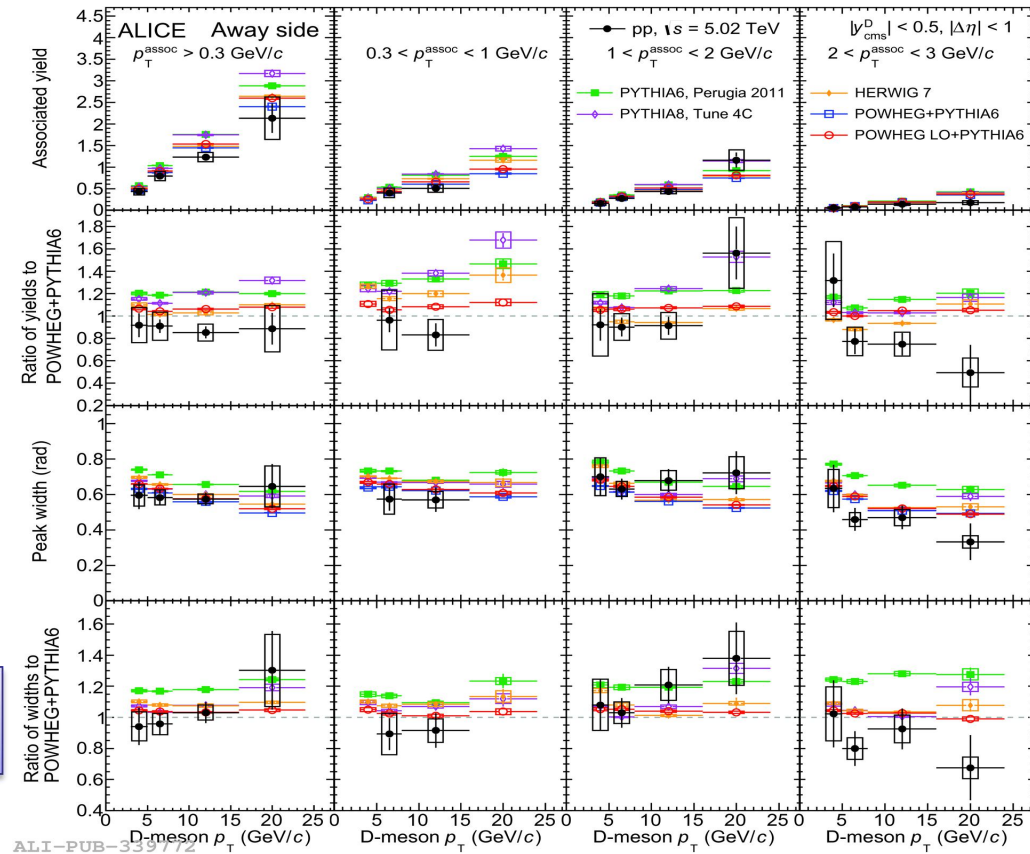
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- PYTHIA6 (Perugia11) overpredicts both the yields and widths & PYTHIA8 (4C) overpredicts low- p_T yields and widths



arXiv:1910.14403

Comparison to Monte Carlo simulations (baseline)

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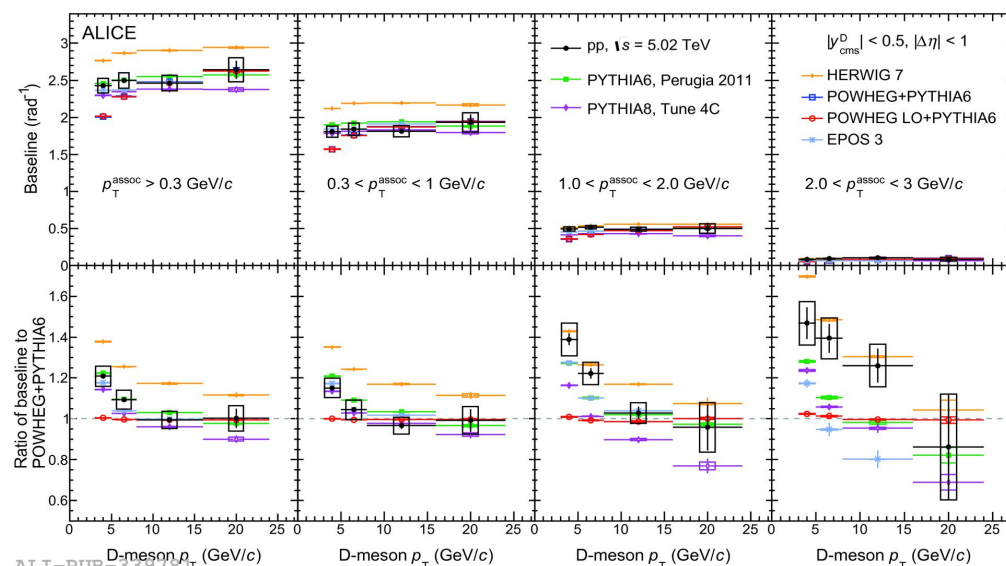
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- PYTHIA6 (Perugia11) overpredicts both the yields and widths & PYTHIA8 (4C) overpredicts low- p_T yields and

Baseline: Sensitive to the underlying event

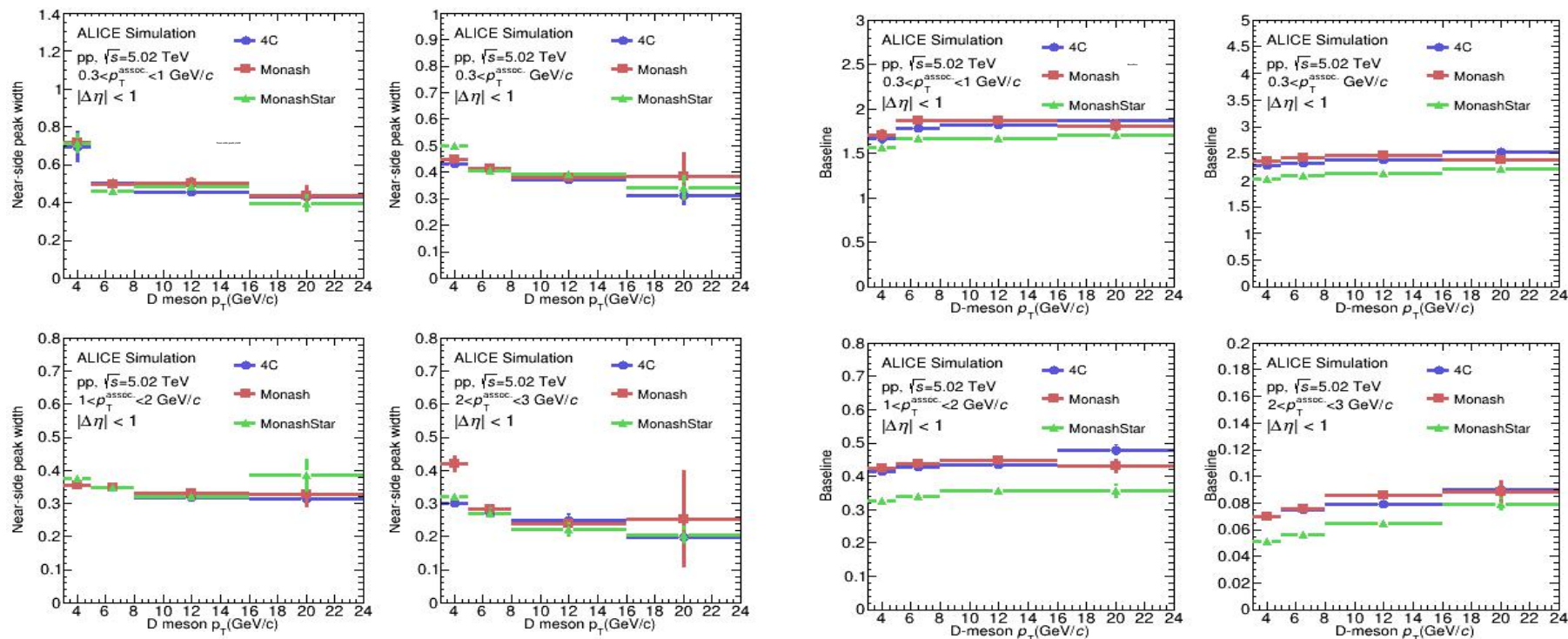
- $p_T^{\text{assoc}} < 1$ GeV: best description by PYTHIA
- $p_T^{\text{assoc}} > 1$ GeV: best description by HERWIG
- POWHEG NLO and LO are the same in all ranges (not trivial since influence expected from NLO charm contributions)



ALI-PUB-33978

arXiv:1910.14403

CORRELATIONS USING PYTHIA 8 - different tunes



- Near side peaks are similarly predicted
- Significantly lower baseline for MonashStar ($\sim 20\%$ at max)
- Different underlying events

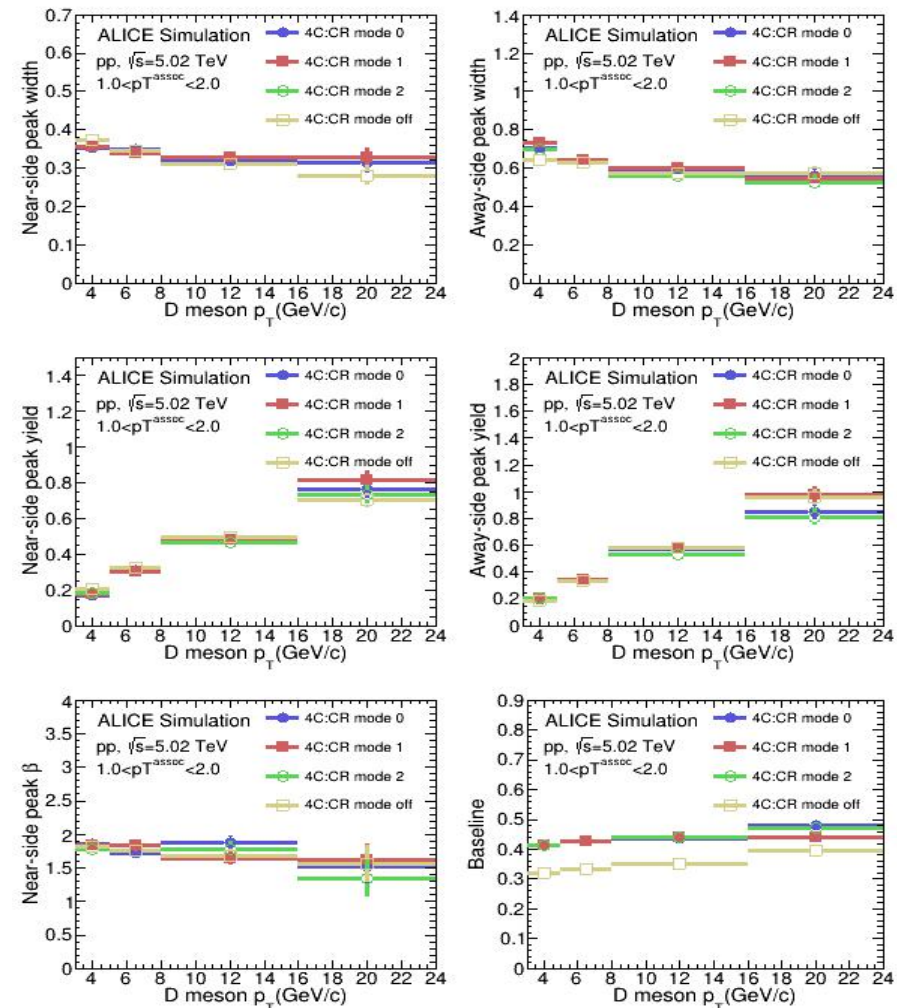
Different colour reconnection modes

- Mode 0 : The MPI-based original Pythia 8 scheme.
- Mode 1 : The new QCD based scheme.
- Mode 2 : The new gluon-move model.
- Reconnection off.

A tendency for a narrowing of the **near-side** and **away-side peak** with increasing p_T^D .

An increasing trend of the **near-side** and **away-side yield** with increasing p_T^D .

Baseline: Other parameters than CR off are mostly the same => difference only in underlying event.

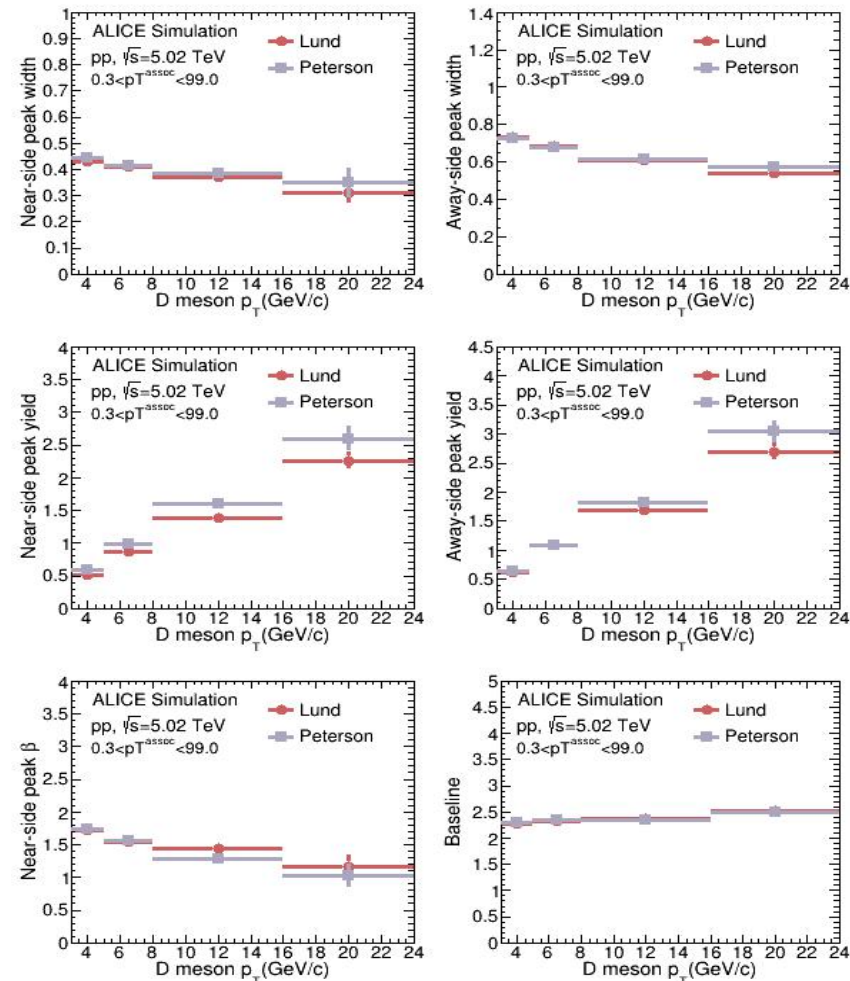


HF fragmentation: Lund vs. Peterson model

Peterson formula is a fragmentation function for heavy quarks. We use this instead of the Lund formula. For fits to experimental data, better agreement can be obtained.

$$f(z) = \frac{1}{z \left(1 - \frac{1}{z} - \frac{\epsilon}{1-z}\right)^2}$$

Hint of different trends, but **no significant difference between the two models.**

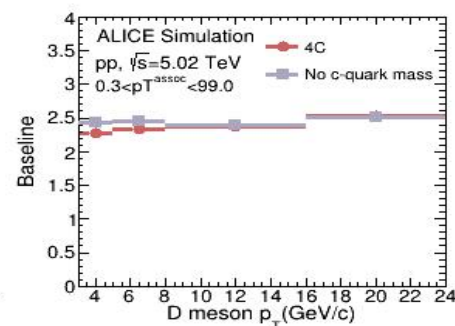
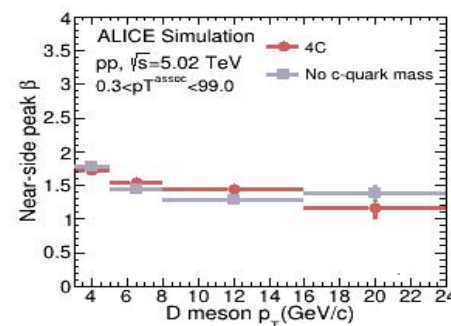
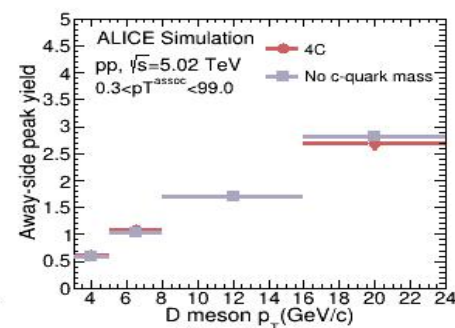
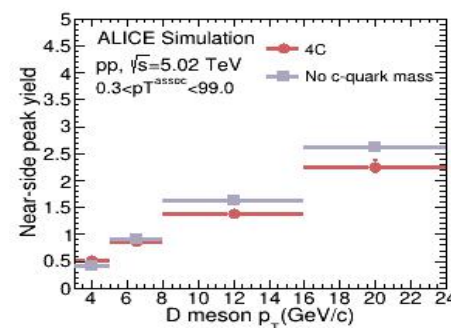
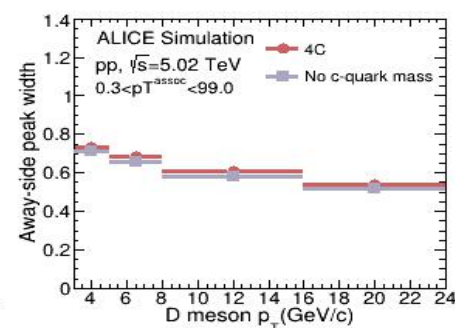
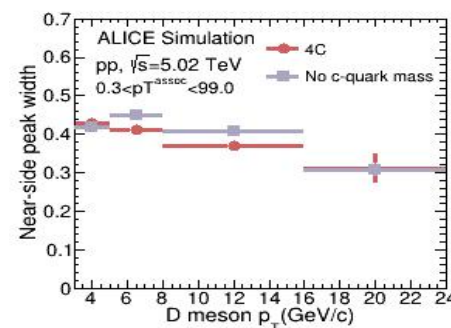


No c-quark mass

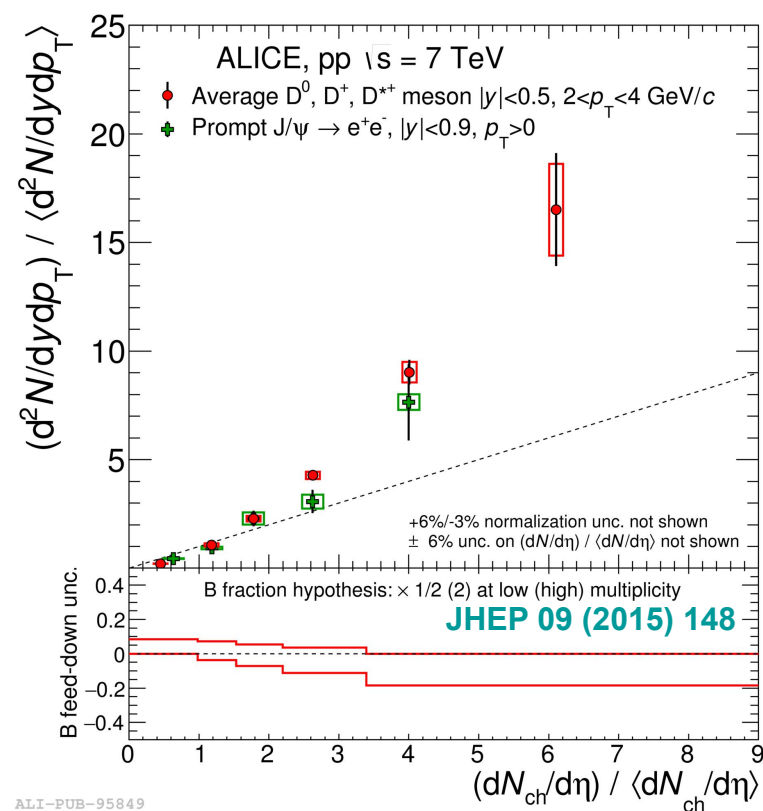
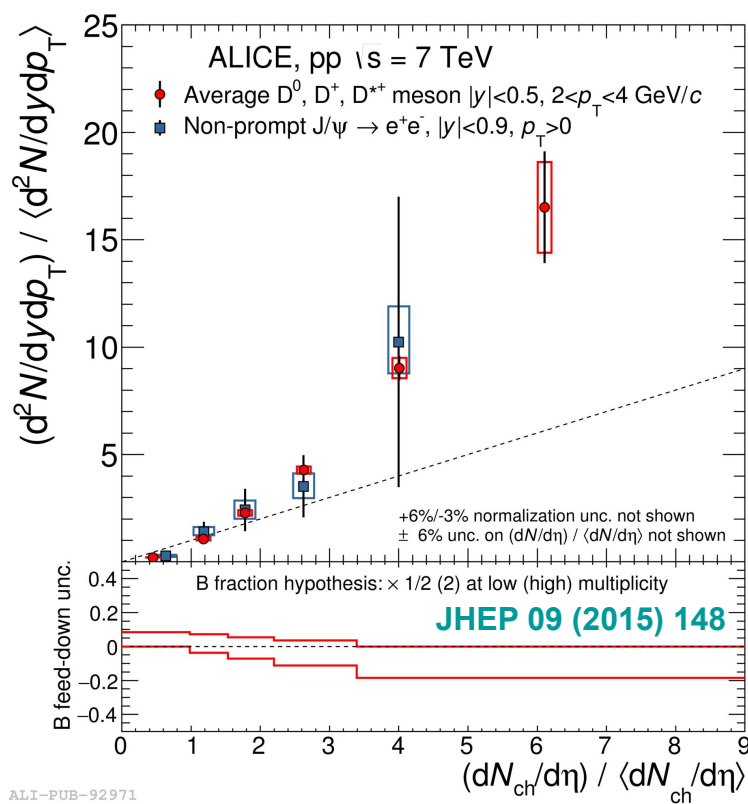
Disable the charm quark mass in order to sort the mass cone effect and the color charge effect.

Slight differences at **near-side width and yield**.

Baseline: Slight difference in underlying event at low p_T .

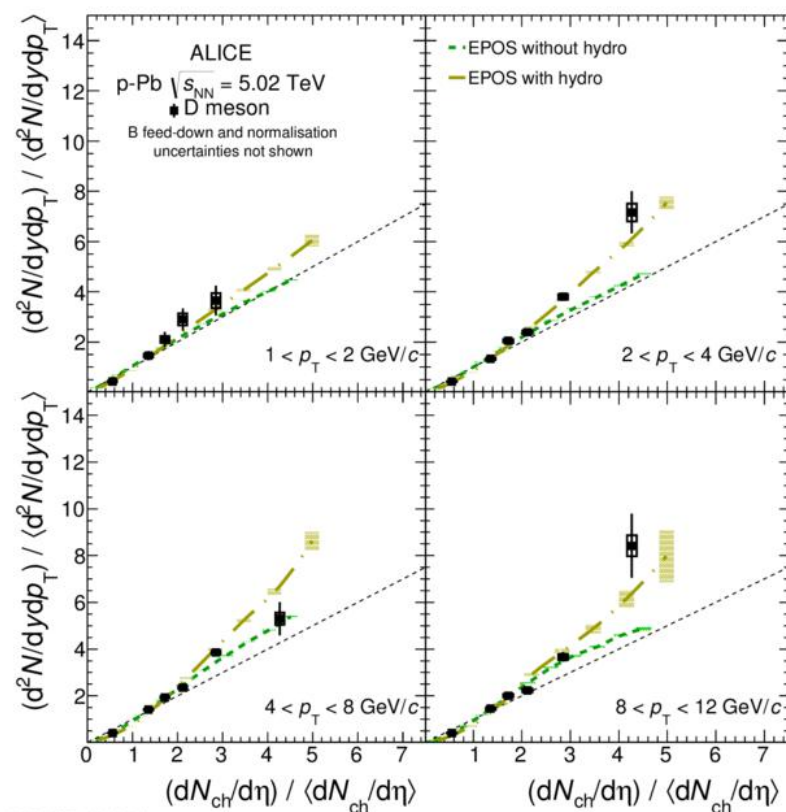


D-meson yields vs. multiplicity (pp)

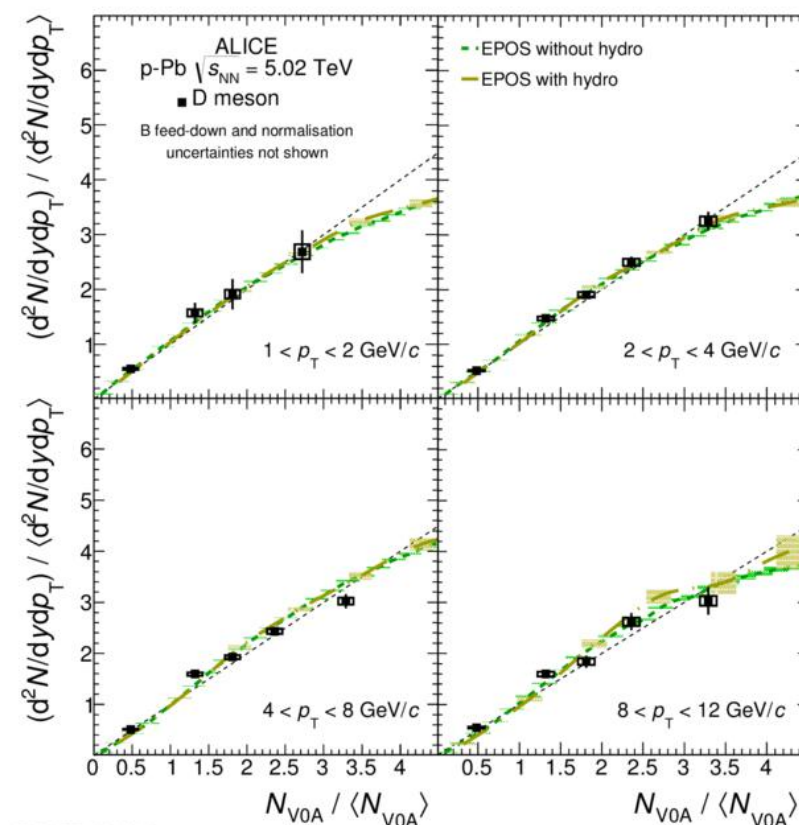


- Production vs. multiplicity of **D mesons** and muons steeper than linear
- Same trend for **non-prompt (B \rightarrow)J/Ψ** as well as **prompt J/Ψ** yields
 - No strong flavour dependence
 - Enhancement is likely to be related to $c\bar{c}$, $b\bar{b}$ production processes, is not strongly influenced by hadronisation

Yields vs. multiplicity in p-Pb: models



ALI-PUB-105465
multiplicity at mid-rapidity



ALI-PUB-105465
multiplicity at backward rapidity
(Pb-going): test auto-correlations

- Multiplicity at mid-rapidity: similar enhancement in p-Pb and pp collisions
- Multiplicity at backward rapidity: linear-like, less rapid increase in p-Pb coll.
- **EPOS with hydro** evolution: qualitatively good description in both cases